

**Title:** The effect of nurse-to-patient ratios on nurse-sensitive patient outcomes in acute specialist units: a systematic review and meta-analysis

**Authors and affiliations:** Andrea Driscoll<sup>a</sup>, Maria J Grant<sup>b</sup>, Diane Carroll<sup>c</sup>, Sally Dalton<sup>d</sup>, Christi Deaton<sup>e</sup>, Ian Jones<sup>f</sup>, Daniela Lehwaldt<sup>g</sup>, Gabrielle McKee<sup>h</sup>, Theresa Munyombwe<sup>d</sup>, Felicity Astin<sup>i</sup>

a. Professor Andrea Driscoll

Deakin University, Locked Bag 2000, Geelong, VIC 3220, Australia

Email: andrea.driscoll@deakin.edu.au

b. Ms Maria J Grant

University of Salford, The Crescent, Salford, M5 4WT, UK

Email: M.J.Grant@salford.ac.uk

c. Dr Diane Carroll

Munn Center for Nursing Research, Massachusetts General Hospital, Boston, MA 02114, USA

Email: dcarroll3@partners.org

d. Ms Sally Dalton

University of Leeds, Leeds LS2 9JT, UK

Email: s.dalton@leeds.ac.uk

e. Professor Christi Deaton

University of Cambridge, The Old Schools, Trinity Lane, Cambridge CB2 1TN, UK

Email: cd531@medschl.cam.ac.uk

f. Professor Ian Jones

Liverpool John Moores University, UK

Email: I.D.Jones@ljmu.ac.uk

g. Ms Daniela Lehwaldt

Dublin City University, Glasnevin, Dublin 9, Ireland

Email: Daniela.Lehwaldt@dcu.ie

h. Associate Professor Gabrielle McKee

Trinity College Dublin, College Green, Dublin 2, Ireland

Email: gmckee@tcd.ie

d. Dr Theresa Munyombwe

University of Leeds, Leeds LS2 9JT, UK

Email: t.munyombwe@leeds.ac.uk

i. Professor Felicity Astin

University of Huddersfield & Calderdale and Huddersfield NHS Foundation Trust, Huddersfield, HD1 3DH,  
UK

Email: Felicity.Astin@cht.nhs.uk

**Corresponding author:**

Professor Andrea Driscoll

Address: School of Nursing and Midwifery, Quality and Patient Safety Research (QPS), Deakin University

Locked Bag 20000, Geelong, VIC 3220, Australia

Email: [andrea.driscoll@deakin.edu.au](mailto:andrea.driscoll@deakin.edu.au)

Phone : +61 3 9251 7244

## **ABSTRACT:**

**Background:** Nurses are pivotal in the provision of high quality care in acute hospitals. However, the optimal dosing of the number of nurses caring for patients remains elusive. In light of this, an updated review of the evidence on the effect of nurse staffing levels on patient outcomes is required.

**Aim:** To undertake a systematic review and meta-analysis examining the association between nurse staffing levels and nurse sensitive patient outcomes in acute specialist units.

**Methods:** Five electronic databases were searched for English published between 2006 and 2017. The primary outcomes were nurse sensitive patient outcomes.

**Results:** Of 3429 unique articles identified, 35 met the inclusion criteria. All were cross-sectional and the majority utilised large administrative databases. Higher staffing levels were associated with reduced mortality, medication errors, ulcers, restraint use, infections, pneumonia, higher aspirin use and a greater number of patients receiving PCI within 90 minutes. A meta-analysis involving 175 755 patients, from six studies, admitted to ICU and/or cardiac/cardiothoracic units showed that a higher nurse staffing level decreased the risk of in-hospital mortality by 14% (0.86, 95%CI 0.79-0.94). However, the meta-analysis also showed high heterogeneity ( $I^2=86\%$ ).

**Conclusion:** Nurse-to-patient ratios influence many patient outcomes, most markedly in-hospital mortality. More studies need to be conducted on the association of nurse-to-patient ratios with nurse sensitive patient outcomes to offset the paucity and weaknesses of research in this area. This would provide further evidence for recommendations of optimal nurse-to-patient ratios in acute specialist units.

## **KEY WORDS:**

Nursing, workforce, staffing, systematic review, nurse-to-patient ratio

## Introduction

Over the last decade there has been a renewed focus upon what constitutes an adequate level of nurse staffing. This is in part due to some spectacular failures that have occurred in care provision for hospital in-patients leading to loss of life.<sup>1,2</sup> Organisations across countries have adopted different approaches to managing the nursing workforce. In Victoria, Australia and California, USA, standardised and mandatory nurse staffing levels have been in place for over a decade. In the UK and Ireland there are national nurse staffing recommendations, but these are not mandated by law.<sup>3-5</sup> Wales has a similar situation, they recently introduced the 'Nurse Staffing Levels Act 2016', however, there are no mandated NPRs only recommendations to guide decisions about nurse staffing levels.<sup>6</sup> The notion of an optimal level of nurse staffing is somewhat controversial because there is no one size fits all approach to assessing staffing levels. This lack of clarity is further aggravated by a lack of consensus about the most appropriate way of estimating the size and mix of nursing teams because all measurement approaches have limitations.<sup>4,7</sup>

One of the challenges faced by managers responsible for staffing is finding a way to understand the influence of the multiple factors that make up each individual care environment which are likely to differ across organisations and countries. Donabedian grouped potential factors into three broad domains; structural factors (the people, paraphernalia and place that make up the healthcare delivery system), processes of care (how care is done through the interactions between health professionals and patients) and subsequent outcomes (the end results of the care that takes place in the context of the organisation).<sup>8</sup>

To determine nurse-staffing levels, managers need to understand the underlying determinants which are patient factors (patient nursing need according to acuity and dependency levels), ward factors (patient throughput) and nursing staff factors (number and skill level).<sup>9</sup> Findings from a systematic review and meta-analysis, now a decade old, reported a significant association between increased nursing staffing in hospitals and improved nurse sensitive patients outcomes.<sup>10</sup> A more recent literature review by Penoyer and colleagues found an association between nurse staffing levels and patient outcomes in ICU<sup>11</sup>. However, their review only included studies from 1998-2008. In light of this an updated literature review is warranted. This

review will examine recently published studies investigating associations between nurse staffing levels and nurse sensitive patient outcomes in acute specialist units.

## Methods

To support the quality of the systematic review, a protocol was developed based on the PRISMA statement.<sup>12</sup> The review protocol was not registered.

### Review objective

To identify studies, conducted in acute specialist units, which examine the association between nurse staffing levels (Nurse-to-Patient Ratio (NPR)) and nurse sensitive patient outcomes (as defined below).

### Definitions

#### *a) Nurse-to-Patient Ratio*

NPRs are typically expressed in two ways; the number of nurses working per shift or over a 24 hour period divided by the number of beds occupied by a patient over the same time period or the number of nursing hours per patient bed days (NHPPD). There are other more complex approaches to measure nurse staffing requirements but there is no single recommended approach.<sup>3</sup> Many of the studies included in this review have determined NPRs. A higher level of nursing staff indicates more nurses (or higher proportion of nurses) for assigned patients. Lower nurse staffing is defined as fewer nurses (or lower proportion) for the number of assigned patients<sup>11</sup>.

Moreover, little is known about how nurse staffing levels are managed across hospitals in Europe. NPRs are easily and cheaply measured but is a relatively blunt instrument that can function as one indicator, and can be triangulated with other measurement approaches, to establish safe nurse staffing levels.

#### *b) Nurse sensitive patient outcome measures*

The nurse sensitive patient outcomes measures included in this study were based on adverse events from previous studies that have been sensitive to changes in nurse staffing.<sup>10, 13</sup> The nurse sensitive patient outcome measures we included were: mortality, failure to rescue, shock (including sepsis resuscitation),

cardiac arrest, unplanned extubation, hospital acquired pneumonia, respiratory failure, surgical bleeding, heart failure/fluid overload, catheter associated urinary tract infection, pressure sores, patient falls, nosocomial bloodstream infection, medication error, length of stay, hospital-acquired sepsis, deep vein thrombosis, central nervous system complications, death, wound infection, pulmonary failure, and metabolic derangement.

### **Search strategy**

The search strategy was developed by the research team with input from expert information technologists (Appendix 1). Electronic databases and grey literature was searched (Medline (OvidSP), Medline in Process (OvidSP), CINAHL (Cumulative Index to Nursing and Allied Health Literature) (EBSCO), PsycInfo (OvidSP), Embase (OvidSP), HMIC (Health Management Information Consortium) (OvidSP), Cochrane Database of Systematic Reviews, Web of Science; Science Citation Index Expanded (ISI Web of Knowledge), Web of Science; Social Sciences Citation Index (ISI Web of Knowledge), Web of Science; Conference Proceedings Citation Index –Science (ISI Web of Knowledge), Web of Science; Conference Proceedings Citation Index- Social Science & Humanities (ISI Web of Knowledge), Index to Theses, Proquest Dissertations and Theses). A combination of keywords was used and controlled vocabulary such as MeSH (Medical Subject Headings) when available. Search terms included 18 terms on settings i.e., coronary care, high dependency, critical care, intensive care, cardiac ward, intensive treatment unit and 17 terms relating to nursing or manpower or skill mix i.e., nurse staffing, nurse ratio, nurse mix, nurse dose, nurse workload and 78 nurse sensitive outcomes i.e., wound infection, pulmonary failure, shock, pneumonia, length of stay, outcome, patient safety. The search was limited to English language and conducted from January 2006 to February 2017. Conference abstracts and reference lists of included studies were manually searched and additional studies identified.

### **Inclusion criteria**

Following the literature search, a team of reviewers worked in pairs to independently screen titles and abstracts according to the inclusion criteria. Any disagreement between reviewers was resolved by a third reviewer. Studies that met the following inclusion criteria were included:

- Patients admitted to acute specialist units (e.g. Intensive Therapy Units/Critical Care/Intensive Care/Coronary Care, high dependency, and cardiothoracic surgery units, where a proportion of the nurses are required to have a postgraduate Critical Care qualification) with care provision for adults (over 18 years of age). Studies with mixed population ward were included.
- Investigating the effect of NPR using either number of nurses divided by number of patients over 24 hours or the NHPPD
- published from January 2006 to February 2017 in English.
- Quantitative methodology
- Primary outcome measures:
  - at least one nurse sensitive outcome such as mortality, failure to rescue, shock, cardiac arrest, unplanned extubation, hospital acquired pneumonia, respiratory failure, surgical bleeding, heart failure/fluid overload/imbalance, urinary tract infection, pressure sores, patient falls, nosocomial bloodstream infection, medication error, pain control, unplanned readmission.

### **Data extraction**

A tailor-made data extraction tool was developed a priori and piloted and refined.

The tool included six screening questions to ensure papers fit with the review inclusion criteria (Appendix 2). Information was also extracted from each study to record under the following headings: bibliographic details; setting/country; study design; outcomes, findings/conclusions and quality assessment.

### **Quality Assessment**

All included studies were assessed by the Newcastle-Ottawa Scale (NOS) to determine the quality of non-randomised studies.<sup>14</sup> This tool was designed to facilitate the incorporation of quality assessment into the systematic review. This tool has been used in previous Cochrane reviews for assessment of risk of bias in

non-randomised studies. The content validity and inter-rater reliability of this scale was previously established. The NOS comprises of eight items: representativeness of cohort, selection of cohort, ascertainment of exposure, outcome of interest was not present at baseline, comparability of cohorts, assessment of outcome, length of follow-up and adequacy of follow-up.<sup>14</sup> Each item was awarded a ‘\*’ for meeting the criterion. A study was also awarded an additional ‘\*’ if the analysis was adjusted for potential confounding variables. The quality of each study was graded as low, medium or high according to the number of stars (\*). The quality assessment was conducted independently by two reviewers. Disagreements were resolved by a third reviewer.

### **Statistical Analysis**

As this systematic review involved cross-sectional studies we used adjusted measures, as reported by authors, as the primary effect measures to control for confounding when it was available. Odds ratios were used as an appropriate effect measure if available. Other effect measures were: hazard ratios or risk ratios.

A meta-analysis was conducted on homogenous studies using a random-effect model with in-hospital mortality as the primary outcome. In studies where patient-to-nurse ratios were used, these were converted to NPRs by calculating the inverse ratio. The overall effect sizes will be presented in a forest plot. In studies where a pooled meta-analysis was unable to be performed, a narrative analysis will be undertaken.

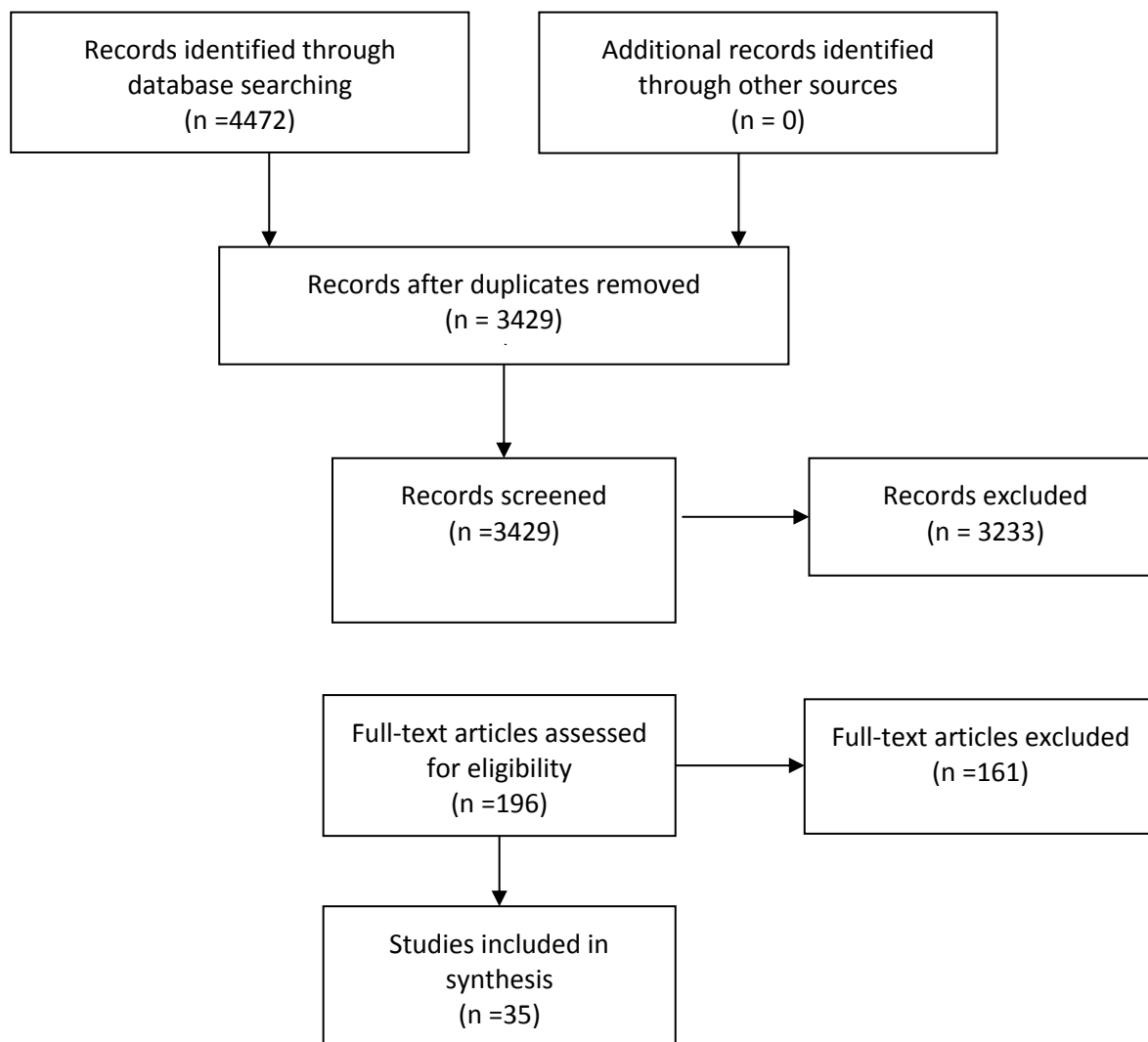
Clinical homogeneity was assessed in terms of study cohort, hospital units, diagnosis and risk of bias. The  $I^2$  was also used to determine statistical heterogeneity. If  $I^2 > 40\%$  a random effects model will be used. A sensitivity analysis will also be conducted using a fixed-effects model was used to determine if the conclusions were different.

Data analysis was conducted using review manager version 5.3.<sup>15</sup>

## **Results**

We identified a total of 4472 studies from the literature search. After duplicates were removed 3429 records were screened using title and abstract. Of these, we identified 196 full-text articles for retrieval. We included 35 articles in the final analysis (see Figure 1). Reasons for exclusion included research relating to neonates, non-acute settings, no NPRs and no nurse sensitive patient outcomes were reported.

**Figure 1. Flow Diagram of Study Selection**



## Description of studies

All of the 35 papers were cross-sectional studies except for one point prevalence study. All of the studies had a large sample size derived from administrative datasets (Table 1). Fourteen studies were conducted in the USA/Canada/Mexico, 17 studies in Europe, three studies in China and one in Thailand. In terms of study setting, 11 studies included patients throughout the hospital including critical care, 19 studies restricted their

cohort to intensive care units only (included cardiovascular patients), and five studies in specialist cardiac units.

### **Quality appraisal**

The NOS comprises of three principle domains: case selection, representativeness of cohorts, and measurement of outcome.<sup>14</sup> All 35 cohort studies met the criterion for representativeness of cohort selection, five studies received one star and 24 studies received two stars for comparability of cohorts, 24 studies discussed outcome assessment and 35 studies defined their length of follow-up (Table 2).

There were 24 studies that rated highly on the NOS for assessing the quality of non-randomised trials (Table 2). All of these studies controlled for several confounding factors in either their methodology or data analysis. The majority of these studies adjusted for age, co-morbidities and hospital characteristics as potential confounders. Seven studies were rated as low quality mainly due to the lack of comparability of cohorts.

### **Nurse-to-Patient Ratios**

Various approaches were used to measure NPRs. Schwab et al calculated the NPR per shift (number of nurses per day/3 (per shift)/number of patients per day) using monthly census data.<sup>38</sup> Other studies used similar approaches.<sup>19,25,26,31,33,37</sup> Several authors provided less detail about how the NPR was calculated.<sup>18,28,30,32</sup> Valentin et al. calculated both the NPR by shift and the occupancy rate (maximum number of occupied beds divided by allocated beds), NPR for each shift in each unit and the relative turn over (number of admitted and discharged patients divided by the number of unit beds).<sup>43</sup> Cho et al. calculated the NPR based on the bed occupancy rate and then categorised it into grades.<sup>21</sup> Grade 1 indicated the number of beds per nurse was <0.5 up to Grade 9 when the ratio was >2.0. In Cho et al.<sup>20</sup>, the ratio of bed occupancy rate to the number of FTE nurses was used for calculation. This bed occupancy rate was

extracted from the ICU survey data over a three-month period. Tourangeau et al. calculated the 'nursing staff dose' rather than a NPR.<sup>42</sup> This was calculated as the total nursing worked hours divided by the sum of weighted patient cases discharged from each hospital.

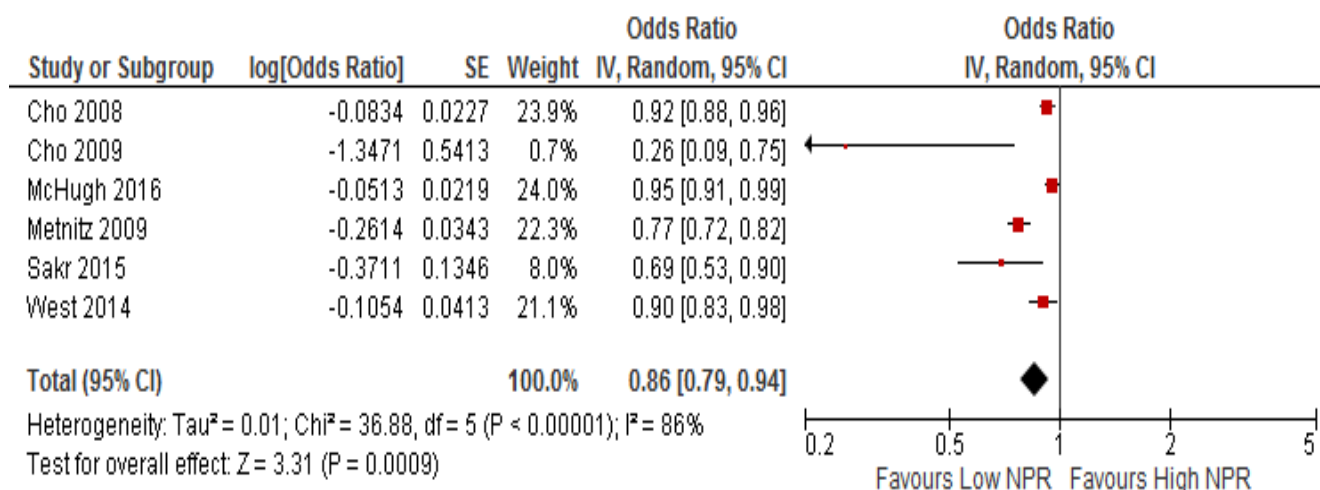
Stone et al. calculated the number of nursing hours per patient day (NHPPD) from payroll and ICU census data.<sup>41</sup> Diya et al.<sup>22</sup> calculated the NHPPD but did not stipulate how this was calculated. Van den Heede et al.<sup>44,45</sup> calculated the NHPPD daily for each ward. It was based on daily ward census data. A similar approach was adopted by Shuldham et al.<sup>40</sup> and Hart et al.<sup>23</sup> both of whom made the distinction between the numbers of hours worked by permanent staff versus temporary staff. Adjustment for staff sick leave and annual leave was not always accounted for suggesting that staffing ratios may have been overestimated.<sup>16</sup> Sometimes day-to-day staffing levels were unobtainable in which case a proxy of highest NPR in a 24-hour period was used.<sup>17</sup>

#### **4.4 Nurse Sensitive Outcomes**

##### ***Mortality***

There were 19 studies that examined mortality. Thirteen studies had a primary outcome of in-hospital mortality, one study examined 28-day mortality and five studies with 30-day mortality. Of the 19 studies, 10 were conducted in ICU, two studies in an acute cardiac unit, two in the Emergency Department and seven studies recruited patients throughout the hospital regardless of unit including ICU/CCUs. Six studies reported odds ratios on all-cause in-hospital mortality of 175 755 patients admitted to ICU and/or cardiac/cardiothoracic units.<sup>20,21,29,31,37,46</sup> A meta-analysis was conducted on the six studies using a random effects model. The pooled analysis showed that a higher level of nurse staffing decreased the risk of in-hospital mortality by 14%, (95%CI 0.79-0.94). However, the meta-analysis also showed high heterogeneity ( $I^2=86\%$ ) with one study showing a wide confidence interval. The pooled analysis was influenced by four of the six studies each ranging from 21-24%.<sup>20,29,31,46</sup>

Figure 2: The effect of nurse-to-patient ratios (NPRs) on in-hospital mortality



As the  $I^2$  was  $>40\%$  a sensitivity analysis was performed using a fixed effects model. The pooled analysis of the fixed effects model (OR 0.90, 95%CI 0.88-0.92) was similar to the random effects model (OR 0.86, 95%CI 0.79-0.94) despite the high heterogeneity.

### Other Nurse Sensitive Outcomes

Fifteen studies examined the effect of NPRs on nurse sensitive outcomes other than mortality. Three studies examined mortality as a primary endpoint and nurse sensitive outcomes as their secondary endpoint.<sup>39,41,44</sup>

However, none of the studies combined all of the nurse sensitive patient outcomes, rather they typically selected three or four outcome measures. Three studies conducted in critical care units, reported an association between higher number of NHPPD<sup>35,41</sup> or a higher level of nurse staffing<sup>33</sup> resulted in a reduction in events for nurse sensitive patient outcomes. Another study reported on medication errors and found that as the number of nurses decreased, the odds ratio for parenteral medication errors increased, some of which caused harm and death.<sup>43</sup> A higher level of nurse staffing in critical care units were associated with a lower incidence of pressure ulcer development,<sup>23,41</sup> use of physical restraints<sup>16</sup> and incidence of nosocomial infection<sup>25,38,41</sup> including late onset ventilator assisted pneumonia.<sup>26</sup> In the Emergency Department, a higher level of nurse staffing increased the prescribing of aspirin on arrival to the Emergency Department and a percutaneous coronary intervention within 90 minutes of arrival.<sup>27</sup>

Evidence was less clear in studies where results were combined across setting such as high dependency and critical care units. One such study examined the association between NPRs and a range of nurse sensitive patient outcomes; there were few significant results.<sup>40</sup> However as the number of permanent staff compared to temporary staff increased, the rates of sepsis decreased.<sup>40</sup> Hart and Davis found that the use of agency staff was associated with a higher incidence of hospital acquired pressure ulcers but only in medical surgical units rather than critical care units and Coronary Care settings.<sup>23</sup> A statistically significant association was also reported between a higher level of nurse staffing on the ward and critical care unit settings and lower rates of failure to rescue.<sup>35</sup> Three studies reported no association between NPRs and nurse sensitive patient outcomes, after adjusting for confounding variables.<sup>17,30,44</sup> Merchant et al. reported no association between NPRs and in hospital cardiac arrests rates.<sup>30</sup> Similarly Blot et al. reported no association between NPRs and ventilator associated pneumonia, after adjusting for confounding variables.<sup>17</sup> Due to the heterogeneity in outcome measures no meta-analysis was performed.

## **Discussion**

This analysis found that a higher level of nurse staffing was associated with a decrease in risk of in-hospital mortality (OR 0.86, 95%CI 0.79-0.94) and nurse-sensitive outcomes. Due to the heterogeneity between studies particularly in NPRs, no recommendation can be made regarding the optimal ratio required to improve patient outcomes. However, studies do report the higher the level of nurse staffing, the greater the reduction in in-hospital mortality. Unfortunately, all of these studies were cross-sectional so no causal relationship can be determined. This systematic review builds on work conducted previously by Kane et al.<sup>10</sup> who found a higher level of nurse staffing was associated with a lower mortality in ICU (OR 0.91, 95%CI 0.86 – 0.96), surgical wards (OR 0.84, 95%CI 0.8 – 0.89) and medical wards (OR 0.94, 95%CI 0.94 – 0.95) per additional 1.0 FTE nurse per patient day.<sup>10</sup> Our meta-analysis found a decrease in risk of 14% in in-hospital mortality for every additional one decrease in patient load over 24 hours. All of the studies included in the meta-analysis rated high in the NOS quality assessment tool.

We also examined the effect of NPRs on nurse sensitive patient outcomes. There was a large degree of heterogeneity in the type of nurse sensitive patient outcomes that were measured as an end-point so no meta-analysis was conducted. Park et al. examined the effect of nurse staffing and failure-to-rescue (FTR) rates.<sup>35</sup> FTR rates were defined as mortality post an adverse event associated with post-surgical complications. Park et al. analysed data from an administrative dataset 159 non-ICUs and 158 ICUs from 42 hospitals.<sup>35</sup> In ICUs, they found a higher number of NHPPD was associated with a lower FTR rate (OR -0.022, 95%CI -0.39 - -0.005 (adjusted)).<sup>35</sup> Stone et al. also examined the effect of NPRs on nurse sensitive outcomes.<sup>41</sup> These outcomes included: central line bloodstream infections, ventilator assisted pneumonia, catheter associated urinary tract infection, 30-day mortality, and presence of decubitus pressure ulcers. Their sample comprised of 15,846 patients from 51 ICUs in 31 hospitals. Stone et al. found that patients cared for with a higher number of NHPPD were 68% less likely to experience bloodstream infections (95%CI 0.15-0.17), 79% less likely to experience pneumonia (95%CI 0.08 – 0.53) and there was a 31% reduction in risk for a decubitus pressure ulcer (95%CI 0.49 – 0.98).<sup>41</sup> Cardiac outcomes were also improved with a higher level of nurse staffing. Every 10% increase in the number of nurses was associated with a 7.1% increase in prescribing of aspirin on arrival and 6.3% decrease in time for a percutaneous coronary intervention within 90 minutes of arriving in hospital.<sup>27</sup>

O'Brien-Pallas et al. investigated the association of NPRs with nurse sensitive patient outcomes.<sup>33</sup> Their outcomes included: deep vein thrombosis, pressure ulcers, falls with injury, medical errors with consequences, pneumonia, catheter associated urinary tract infection and wound infections. O'Brien-Pallas et al. analysed an administrative dataset of 1,230 patients from 24 cardiac and cardiovascular units from six hospitals.<sup>33</sup> They calculated the NPR as the average number of patients cared for daily by a nurse on day shift during the data collection period. They found that for every additional patient per nurse, patients were 22% less likely to experience 'excellent or good quality care' and 35% more likely to experience a longer than expected length of stay.<sup>33</sup>

### **Limitations/Weakness of the Evidence Base**

The results of this systematic review and meta-analysis should be interpreted with caution. There were several limitations associated with the review. Several studies combined patients from non-specialist units with special units which may have skewed the results. Stone et al. conducted a separate analysis for ICU and non-ICU units.<sup>41</sup> They found that in non-ICUs, NPRs were not statistically associated with rate of nurse sensitive patient outcomes. However, there was a reduction in rate of nurse sensitive patient outcomes in patients in an ICU with a higher level of nurse staffing.

There was also a large degree of heterogeneity in how the NPRs were calculated. For example, Perez et al. did not stipulate how they calculated the NPR,<sup>36</sup> Van Den Heede et al. calculated the number of NHPPD<sup>44,45</sup> and Cho et al. calculated the number of patients/bed to total FTE.<sup>20,21</sup>

## **Conclusion**

This systematic review found that there may be an association between a higher level of nurse staffing and improved patient outcomes. For every increase of one nurse, patients were 14% less likely to experience in-hospital mortality.

More studies need to be conducted on the association of NPRs with nurse sensitive patient outcomes. However, there needs to be greater homogeneity in the nurse sensitive endpoints measured and the calculation of the NPR. Such metrics should not be used in isolation but can contribute to a ‘triangulated’ approach to the decision making process about safe and sustainable nurse staffing levels.

## **Funding source**

This review was supported by the Council of Cardiovascular Nursing and Allied Professionals (CCNAP) and the European Society of Cardiology (ESC).

Andrea Driscoll was supported by a Heart Foundation Future Leader fellowship 100472 from the National Heart Foundation of Australia.

**Conflict of interest:** None

## References

1. The Mid Staffordshire NHS Foundation Trust Public Inquiry. *Report of the Mid Staffordshire NHS Foundation Trust Public Inquiry: Executive Summary*. London: Stationery Office, 2013.
2. Grey E & Kennedy I. *The Report of the Independent Review of Children's Cardiac Services in Bristol*. London: NHS England, 2016.
3. Royal College of Nursing. *Guidance on safe nurse staffing levels in the UK*. London: Royal College of Nursing (RCN), 2010.
4. National Quality Board. *Supporting NHS providers to deliver the right staff, with the right skills, in the right place at the right time, safe sustainable and productive staffing*. National Quality Board (NQB), 2016.
5. Department of Health. *Interim Report and Recommendations by the Taskforce on Staffing and Skill Mix for Nursing on a Framework for Safe Nurse Staffing and Skill Mix in General and Specialist Medical and Surgical Care Settings in Adult Hospitals in Ireland*. Department of Health, 2016.
6. Welsh Government. *Nurse Staffing Levels Act 2016*. Welsh Government 2016
7. Hurst K. *Selecting and applying methods for estimating the size and mix of nursing teams*. Leeds: Nuffield Institute for Health, 2003.
8. Donabedian A. Structural attributes of care setting, processes of care and outcomes. *JAMA: Journal of the American Medical Association* 1988; 260: 1743-1748.
9. National Institute for Health and Care Excellence. *Safe staffing for nursing in adult in-patient wards in acute hospitals: safe staffing guideline 1*. National Institute for Health and Care Excellence (NICE), 2014.

10. Kane RL, Shamliyan TA, Mueller C, Duval S and Wilt TJ. The association of registered nurse staff levels and patient outcomes: systematic review and meta-analysis. *Medical Care* 2007; 45: 1195-204.
11. Penoyoy DA. Nurse staffing and patient outcomes in critical care: A concise review. *Critical Care Medicine* 2010; 38(7): 1521-1528.
12. Moher D, Liberati A, Tetzlaff J, Altman DG and The PRISMA Group. Preferred reporting items for systematic reviews and meta-analyses: the PRISMA statement. *PLoS Med.* 2009; 6: e1000097.
13. Needleman J, Buerhaus P, Mattke S, Stewart M and Zelevinsky K. Nurse-staffing levels and the quality of care in hospitals. *New England Journal of Medicine* 2002; 346: 1715-22.
14. Wells GA, Shea B, O'Connell D, et al. *The Newcastle-Ottawa Scale (NOS) for assessing the quality of nonrandomised studies in meta-analyses*. Ottawa: Ottawa Hospital Research Institute, [http://www.ohri.ca/programs/clinical\\_epidemiology/oxford.asp](http://www.ohri.ca/programs/clinical_epidemiology/oxford.asp) 2014.
15. Cochrane Collaboration. *Review Manager (RevMan) (Version 5.4)*. Copenhagen: Nordic Cochrane Centre, <http://ims.cochrane.org/revman> 2016.
16. Benbenbishty J, Adam S and Endacott R. Physical restraint use in intensive care units across Europe: the PRICE study. *Intensive & Critical Care Nursing* 2010; 26: 241-5.
17. Blot SI, Serra ML, Koulenti D, et al. Patient to nurse ratio and risk of ventilator-associated pneumonia in critically ill patients. *American Journal of Critical Care*. 2011; 20: e1-9.

18. Checkley W, Martin GS, Brown SM, et al. Structure, process and annual intensive care unit mortality across 69 centers: United States Critical Illness and Injury Trials Group Critical Illness Outcomes Study (USCIITG-CIOS). *Critical Care Medicine* 2014; 42(2): 344-356.
19. Chittawatanarat K, Permpikul C, Chaiwat O, et al. Effects of ICU characters, human resources and workload to outcome indicators in thai ICUs: The results of ICU-RESOURCE i study. *Journal of the Medical Association of Thailand* 2014; 97(1 SUPPL. 1): S22-S30.
20. Cho SH, Hwang JH and Kim J. Nurse staffing and patient mortality in intensive care units. *Nursing Research* 2008; 57: 322-30.
21. Cho SH and Yun SC. Bed-to-nurse ratios, provision of basic nursing care, and in-hospital and 30-day mortality among acute stroke patients admitted to an intensive care unit: Cross-sectional analysis of survey and administrative data. *International Journal of Nursing Studies* 2009; 46: 1092-101.
22. Diya L, Van den Heede K, Sermeus W and Lesaffre E. The relationship between in-hospital mortality, readmission into the intensive care nursing unit and/or operating theatre and nurse staffing levels. *Journal of Advanced Nursing* 2012; 68: 1073-81.
23. Hart P and Davis N. Effects of nursing care and staff skill mix on patient outcomes within acute care nursing units. *Journal of Nursing Care Quality* 2011; 26: 161-8.
24. He J, Almenoff PL, Keighley J, Li YF. Impact of Patient-Level Risk Adjustment on the Findings About Nurse Staffing and 30-Day Mortality in Veterans Affairs Acute Care Hospitals. *Nursing Research* 2013; 62(4): 226–232

25. Hugonnet S, Chevrolet J-C and Pittet D. The effect of workload on infection risk in critically ill patients. *Critical Care Medicine* 2007; 35: 76-81.
26. Hugonnet S, Uckay I and Pittet D. Staffing level: a determinant of late-onset ventilator-associated pneumonia. *Critical Care* 2007; 11: R80.
27. Johansen ML, de Cordova PB, & Cimiotti JP. The Implications of Nurse Resources on Cardiac Care in the Emergency Department. *Applied Nursing Research* 2015; 28(2): 210-212 213p.  
doi:10.1016/j.apnr.2014.06.002
28. Kim JH, Hong S-K, Kim KC, et al. Influence of full-time intensivist and the nurse-to-patient ratio on the implementation of severe sepsis bundles in Korean intensive care units. *Journal of Critical Care* 2012; 27: 414.e11-21.
29. McHugh MD, Rochman MF, Sloane DM, et al. Better Nurse Staffing and Nurse Work Environments Associated With Increased Survival of In-Hospital Cardiac Arrest Patients. *Med Care* 2016; 54(1): 74–80
30. Merchant RM, Yang L, Becker LB, et al. Variability in case-mix adjusted in-hospital cardiac arrest rates. *Medical Care* 2012; 50: 124-30.
31. Metnitz B, Metnitz PGH, Bauer P, et al. Patient volume affects outcome in critically ill patients. *Wiener Klinische Wochenschrift* 2009; 121: 34-40.
32. Neuraz A, Guerin C, Payet C, et al. Patient Mortality Is Associated With Staff Resources and Workload in the ICU: A Multicenter Observational Study. *Critical Care Medicine* 2015; 43(8): 1587-1594. doi:http://dx.doi.org/10.1097/CCM.0000000000001015

33. O'Brien-Pallas L, Li XM, Wang S, et al. Evaluation of a patient care delivery model: system outcomes in acute cardiac care. *Canadian Journal of Nursing Research* 2010; 42: 98-120.
34. Ozdemir BA, Sinha S, Karthikesalingam A, et al. Mortality of emergency general surgical patients and associations with hospital structures and processes. *British Journal of Anaesthesia* 2016; 116 (1): 54–62
35. Park SH, Blegen MA, Spetz J, et al. Patient turnover and the relationship between nurse staffing and patient outcomes. *Research in Nursing & Health* 2012; 35: 277-88.
36. Perez A, Dennis RJ, Rondon MA, et al. A Colombian survey found intensive care mortality ratios were better in private vs. public hospitals. *J Clin Epidemiol* 2006; 59: 94-101.
37. Sakr Y, Moreira CL, Rhodes A, et al. The Impact of Hospital and ICU Organizational Factors on Outcome in Critically Ill Patients: Results From the Extended Prevalence of Infection in Intensive Care Study. *Critical Care Medicine* 2015; 43(3): 519-526. doi:10.1097/ccm.0000000000000754
38. Schwab F, Meyer E, Geffers C and Gastmeier P. Understaffing, overcrowding, inappropriate nurse:ventilated patient ratio and nosocomial infections: which parameter is the best reflection of deficits? *Journal of Hospital Infection* 2012; 80: 133-9.
39. Sheetz KH, Dimick JB, & Ghaferi AA. Impact of Hospital Characteristics on Failure to Rescue Following Major Surgery *Ann Surg* 2016; 263(4): 692–697
40. Shuldham C, Parkin C, Firouzi A, et al. The relationship between nurse staffing and patient outcomes: A case study. *International Journal of Nursing Studie*. 2009; 46: 986-92.

41. Stone PW, Mooney-Kane C, Larson EL, et al. Nurse working conditions and patient safety outcomes. *Medical Care*. 2007; 45: 571-8.
42. Tourangeau AE, Doran DM, Hall LM, et al. Impact of hospital nursing care on 30-day mortality for acute medical patients. *Journal of Advanced Nursing* 2007; 57: 32-44.
43. Valentin A, Capuzzo M, Guidet B, et al. Errors in administration of parenteral drugs in intensive care units: Multinational prospective study. *BMJ* 2009; 338: 928-31.
44. Van den Heede K, Sermeus W, Diya L, et al. Nurse staffing and patient outcomes in Belgian acute hospitals: Cross-sectional analysis of administrative data. *International Journal of Nursing Studies* 2009; 46: 928-39.
45. Van den Heede K, Lesaffre E, Diya L, et al. The relationship between inpatient cardiac surgery mortality and nurse numbers and educational level: Analysis of administrative data. *International Journal of Nursing Studies*. 2009; 46: 796-803.
46. West E, Barron DN, Harrison D, et al. Nurse staffing, medical staffing and mortality in Intensive Care: An observational study. *International Journal of Nursing Studies* 2014; 51(5): 781-794 714p. doi:10.1016/j.ijnurstu.2014.02.007

**Table 1. Characteristics of Included Studies**

| Author, year of publication              | Study Design                        | Sample & Setting (Population)                                                                                                                                                                                                                               | Measure of Nurse-to-Patient Ratio                                                                            | Outcome Measures                                     | Key Findings                                                                                                                                                                                                                                                                         |
|------------------------------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------|------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Benbenbishty et al 2010 <sup>16</sup>    | Point prevalence study              | 669 patients in 34 general Intensive Care Units (ICU) in nine European countries                                                                                                                                                                            | Nurse/patient ratio (NPR) was measured each shift over a 24hr period                                         | Use of physical restraints                           | Nurse-to-patient ratio varied from 1:1 to 1:4<br><br>Number of restraints increased as the NPR increased ( $\chi^2=17.17$ $p=0.001$ )                                                                                                                                                |
| Blot et al 2011 <sup>17</sup>            | Prospective cross-sectional study   | 27 ICUs in 9 European countries. Recruited 2585 patients who had mechanical ventilation after admission for treatment for pneumonia or who were ventilated for more than 24 hours irrespective of diagnosis on admission                                    | NPR was measured as the standard ratio for each unit                                                         | Incidence of ventilator associated pneumonia (VAP)   | NPR varied from 1: 1 to 1:3<br><br>VAP incidence was significantly lower in ICU units with 1:1 NPR compared to units with a ratio of >1:1 (9.3% vs 24.4%, $p=0.002$ ) (univariate analysis)<br><br>However, after adjusting for confounders this association became not significant. |
| Checkley et al 2014 <sup>18</sup>        | Prospective cross-sectional study   | 69 ICUs (medical and surgical), in USA were surveyed about organisation structure. Patient outcomes were collected prospectively from US Critical Illness and Injury Trials Group Critical Illness Outcomes study<br><br>Number of patients was not stated. | A definition of NPR was not provided. However, each site provided nurse staffing numbers and number of beds. | Annual mortality                                     | Mean NPR was 1:1.8 (median 1:1.7)<br><br>The annual mortality was 1.8% lower when the NPR decreased from 1:2 to 1:1.5 (95%CI 0.25%-3.4%)<br><br>For every increase of one patient per nurse there was a 3.7% increase in annual ICU mortality (95%CI 0.5-6.8, $p=0.02$ )             |
| Chittawatanarat et al 2014 <sup>19</sup> | Retrospective cross-sectional study | 104046 admissions to 155 ICUs in 87 hospitals, January-                                                                                                                                                                                                     | NPR: number of nurses on each 8 hour rotation                                                                | Monthly mortality Ventilator days ICU length of stay | Mean NPR 1:0.50<br><br>Lower NPRs were associated with                                                                                                                                                                                                                               |

|                              |                                     |                                                                                                                                                                                                                                                                    |                                              |                                          |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
|------------------------------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------|------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                              |                                     | December 2011, Thailand using hospital databases from participating ICUs                                                                                                                                                                                           | divided by the number of patient beds        |                                          | lower ventilator days (OR -2.08, 95%CI -5.377- -0.166, p = 0.037)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| Cho et al 2008 <sup>20</sup> | Retrospective cross-sectional study | 27,372 ICU patients with 26 primary diagnoses from ICUs in 236 hospitals (42 tertiary and 194 secondary) in Korea. Data was collected retrospectively from three national databases: ICU survey data, medical claims data & the National Health Insurance database | Patient-to-nurse ratio calculated each shift | In hospital mortality                    | <p><b>Secondary Care</b></p> <p><b>Intensive Care</b></p> <p><b>Unit Nurse</b></p> <p><b>patient ratio:</b></p> <p><b>1:0.98</b></p> <p>Every additional patient per nurse resulted in a 9% increase in the odds of death (OR = 1.09, 95%; CI 1.04-1.14)</p> <p>Each additional patient cared for by a nurse would result in an additional 15 deaths per 1000 patients</p> <p>Two &amp; three additional patients were associated with an 18% and 29% increases in mortality, equivalent to 28 and 44 additional deaths per 1,000 patients, respectively.</p> <p><b>Tertiary Care</b></p> <p><b>Intensive Care Unit</b></p> <p>Nurse patient ratio 1:0.76</p> <p>No significant findings related to mortality in these units</p> |
| Cho et al 2009 <sup>21</sup> | Retrospective cross-sectional study | ICUs from 185 hospitals (40 tertiary                                                                                                                                                                                                                               | NPR                                          | In hospital mortality & 30 day mortality | Nurse patient ratio ranged from 1<0.50 to 1:2                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |

|                                 |                                     |                                                                                                                                                                                                                                                                                                                                              |                                           |                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
|---------------------------------|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                 |                                     | <p>and 145 secondary) in Korea</p> <p>Acute stroke patients admitted to ICU during hospitalisation aged &lt; 18 years using retrospective data from an administrative dataset and prospective survey</p>                                                                                                                                     |                                           |                                                                                                                                                                                                                                                 | <p>Average NPR was 2.8pts/nurse</p> <p>In ICUs where the NPR was <math>\leq 1:1</math>, patients were 73% less likely to experience in-hospital mortality compared to ICUs with a <math>\text{NPR} \geq 1:1.5</math> (OR 0.26, 95%CI 0.09-0.8, <math>p=0.019</math>)</p> <p>Similar results were also found for 30-day mortality: ICUs where the NPR was <math>\leq 1:1</math>, patients were 77% less likely to experience 30 day mortality compared to ICUs with a <math>\text{NPR} \geq 1:1.5</math> (OR 0.23, 95%CI 0.07-0.78, <math>p=0.018</math>)</p> |
| Diya et al 2012 <sup>22</sup>   | Retrospective cross-sectional study | <p>9054 elective surgery patients (coronary artery bypass graft (CABG) or heart valve procedure) aged 20-85yrs from ICUs in 28 Belgium hospitals in 2003. Retrospective review of clinical databases:</p> <ul style="list-style-type: none"> <li>• Belgian Nursing Minimum Dataset</li> <li>• Belgian Hospital Discharge Database</li> </ul> | Nursing hours per patient per day (NHPPD) | <ul style="list-style-type: none"> <li>• Post-operative in-hospital mortality in ICU</li> <li>• Unplanned readmission to ICU or operating theatre</li> <li>• Unplanned readmission and/or in-hospital mortality in the general wards</li> </ul> | <p>ICU 11.12hrs:1</p> <p>In hospitals with a large volume of cardiac procedures, higher NHPPD were associated with a lower rate of in-hospital mortality and a lower rate of a composite of unplanned readmissions and/or in-hospital mortality in ICU/operating theatre</p>                                                                                                                                                                                                                                                                                 |
| Hart & Davis 2011 <sup>23</sup> | Retrospective cross-sectional study | <p>26 acute care units from 5 hospitals in USA. There were 15 medical/surgical units, 8 CCU, and 3 telemetry units. Data was extracted from the National Database of Nursing Quality Indicators (NDNQI) and the</p>                                                                                                                          | Nursing hours per patient day (NHPPD)     | <ul style="list-style-type: none"> <li>• Cardio pulmonary resuscitation</li> <li>• Falls</li> <li>• Falls with injury</li> <li>• Hospital acquired pressure ulcers</li> <li>• Medication occurrences</li> </ul>                                 | <p>Average total NHPPD ranged from 9.56 (<math>\text{SD} \pm 0.4</math>) in medical/surgical wards to 18.27 (<math>\text{SD} \pm 3.9</math>) in CCU</p> <p>Significant correlation between higher</p>                                                                                                                                                                                                                                                                                                                                                        |

|                                   |                                     |                                                                                                                                                                                                                                                                                  |                                                                                                                |                                                                 |                                                                                                                                                                                                                                                                                                                                   |
|-----------------------------------|-------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                   |                                     | hospital's quality outcome data databases                                                                                                                                                                                                                                        |                                                                                                                | <ul style="list-style-type: none"> <li>Restraint use</li> </ul> | <p>total NHPPD and lower incidence of hospital acquired pressure ulcers (<math>p&lt;0.05</math>).</p> <p>Significant correlation between lower restraint use with higher NHPPD (<math>p&lt;0.05</math>)</p> <p>No significant correlations between all other outcome measures and total NHPPD</p>                                 |
| He et al 2012 <sup>24</sup>       | Retrospective cross-sectional study | 1171 hospitals involving 1994 Critical Care units, 1328 stepdown units, 1663 medical wards, 1279 surgical wards, 2217 med-surgical wards and 434 rehabilitation units. Data was retrospectively extracted from National Database of Nursing Quality Indicators from 2004 to 2009 | Nursing hours per patient day (NHPPD)                                                                          | Falls                                                           | <p>Average total nursing hours per patient day in ICU was 15.98 (SD 3.42).</p> <p>A higher number of NHPPD was associated with lower fall rates (OR 0.95, 95%CI 0.94-0.97, <math>p&lt;0.001</math>)</p>                                                                                                                           |
| Hugonnet et al 2007 <sup>25</sup> | Prospective cross-sectional study   | <p>Medical intensive care unit (ICU) of one university hospital in Geneva, Switzerland</p> <p>1,883 patients from January 1999 to December 2002</p>                                                                                                                              | NPR calculated as total number of nurses working during a 24-hr period divided by patients' census of that day | ICU acquired infections                                         | <p>Average total nursing hours per patient day was 15.98 (SD 3.42)</p> <p>An decrease of NPR by 1 patient was associated with a 30% infection risk reduction in univariate analysis. Association remained unchanged in multivariate model, indicating that none of the other variables examined were true confounding factors</p> |
| Hugonnet et al 2007 <sup>26</sup> | Prospective cross-sectional study   | Medical ICU in a university hospital in Geneva, Switzerland                                                                                                                                                                                                                      | NPR calculated as total number of nurses working                                                               | Early onset ventilator-                                         | Median daily NPRs were 1.9 nurse per patient; range                                                                                                                                                                                                                                                                               |

|                                   |                                     |                                                                                                                                                                                       |                                                                                                        |                                                                                         |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
|-----------------------------------|-------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                   |                                     | 2470 patients at risk for ICU-acquired infection admitted January 1999 - December 2002                                                                                                | during a 24-hr period divided by patients' census of that day<br><br>All nurses' shifts equalled 8 hrs | associated pneumonia (VAP)<br>Late onset VAP                                            | 1.4 to 5.3 (IQR 1.8 to 2.2)<br><br>A lower NPR ratio was associated with a decreased risk for late-onset VAP (HR 0.42, 95% CI 0.18 to 0.99)<br><br>They estimated that 121 infections could be avoided if the NPR < 2.2                                                                                                                                                                                                                                                                                                        |
| Johansen et al 2015 <sup>27</sup> | Retrospective cross-sectional study | 1343 patients presenting to 73 EDs with acute coronary syndrome symptoms, 1 January 2008 to 31 January 2010, New Jersey, USA<br><br>Data extracted from an administrative ED database | NPR calculated as average number of patients assigned per nurse                                        | Aspirin on arrival in ED<br>PCI within 90 minutes of arrival in ED                      | On average 15% of nurses cared for <10 patients/shift, 55% cared for 11-15 patients and 30% cared for 15-20 patients each shift.<br><br>As NPR decreased there was a 7.1% increase in aspirin administration on arrival.<br><br>Each additional patient was significantly associated with a 3.9% decrease in the likelihood of aspirin on arrival<br><br>Each additional patient per nurse was significantly associated 1.4% decrease in number of percutaneous coronary interventions done within 90 minutes of arrival in ED |
| Kim et al 2012 <sup>28</sup>      | Prospective cross-sectional study   | 28 intensive care units (ICUs - 22 medical & 6 surgical) during July 2009<br><br>A sub sample of patients (n=251), diagnosed with severe sepsis                                       | No definition of how NPR was calculated                                                                | 28 day mortality<br>Duration of ventilation<br>Hospital length of stay<br>ICU mortality | NPR was variable; 1:2 in (5 units), 1:3 in (10 units) and 1:4 or more (13 units)<br><br>Lower NPR (1:2) was independently                                                                                                                                                                                                                                                                                                                                                                                                      |

|                                   |                                            |                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                      |                                                                                                          |                                                                                                                                                                                                                                                                                                                    |
|-----------------------------------|--------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                   |                                            |                                                                                                                                                                                                                                            |                                                                                                                                                                                                                                                      |                                                                                                          | associated with a lower 28 day mortality (HR 0.459; 95% CI, 0.211-0.998)                                                                                                                                                                                                                                           |
| McHugh et al 2016 <sup>29</sup>   | Retrospective cross-sectional study        | 11,160 adult patients between 2005 and 2007 in 75 hospitals in 4 USA states. Patients were from general wards and ICUs. Accessing data from Get-with-the-Guidelines Resuscitation database and American Hospital Association annual survey | NPR calculated as average number of patients reported by nurses on their unit on their last shift by the average number of nurses on the unit for that same shift                                                                                    | In-hospital mortality post in-hospital cardiac arrest                                                    | Average NPR not stated.<br><br>As NPR decreased on medical-surgical units there was a 5% reduction in risk of in-hospital mortality post cardiac arrest in-hospital (OR 0.95; 95% CI, 0.91–0.99)<br><br>ICU was not significant                                                                                    |
| Merchant et al 2012 <sup>30</sup> | <b>RETROSPECTIVE CROSS-SECTIONAL STUDY</b> | 103,117 in-hospital cardiac arrests recorded in 433 hospitals in the US between 2003-2007. All hospitals were participating in the Get-with-the-Guidelines resuscitation registry                                                          | NPR calculated as Nurse:Bed ratios for each hospital taken from the American Hospital Association<br><br>Ratios categorised: <ul style="list-style-type: none"> <li>• Small 1: &lt;0.5</li> <li>• Medium 1:0.5-1</li> <li>• High 1: &gt;1</li> </ul> | In-hospital cardiac arrest (IHCA) event rate = In-hospital cardiac arrest/each hospitals annual bed days | Nurse to bed ratio:<br>Low (<0.5) 17 (4%) hospitals<br>Medium (0.5-1) 161 (37%) hospitals<br>High (>1) 255 (59%) hospitals<br><br>Nurse:Bed ratio was not a significant predictor of IHCA despite the event rate being higher (1.13) in hospitals with a <0.5 nurse:bed ratio                                      |
| Metnitz et al 2009 <sup>31</sup>  | Retrospective cross-sectional study        | 85,259 admissions to 40 ICU units, 1998-2005 from the national Intensive Care Unit (ICU) database from the Austrian Centre for Documentation and Quality Assurance in Intensive Care Medicine                                              | NPR calculated as number of patients assigned to each nurse                                                                                                                                                                                          | In-hospital mortality                                                                                    | NPR 1: 1.49±0.4<br><br>As NPR increased there was a significant chance of increasing death (OR 1.082, 95%CI 0.977-1.149) (unadjusted)<br>As NPR increased there was a significant chance of increasing death when adjusted for age, sex, severity of illness & reasons for admission (OR 1.296, 95%CI 1.207-1.391) |

|                                         |                                     |                                                                                                                                                                                                                                                             |                                                                                                                |                                                                                                                                                                                                                                                  |                                                                                                                                              |
|-----------------------------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------|
| Neuraz et al 2015 <sup>32</sup>         | Retrospective cross-sectional study | 5718 inpatients in 8 ICUs from 4 university hospitals, Lyon, France, Jan-Dec 2013.<br><br>Data was extracted from three large databases:<br>-Claims data used for inpatient stay<br>- medical and nurse staff database<br>-Human resources database.        | No definition of how NPR was calculated                                                                        | Mortality at time of ICU discharge by shift                                                                                                                                                                                                      | NPRs ranged from 1:1 to 1: >2.5<br><br>As NPRs increased the risk of death increased by a factor of 3.5 (1.3-9.1) when the NPR was 1: >2.5   |
| O'Brien-Pallas et al 2010 <sup>33</sup> | Prospective cross-sectional study   | 24 cardiac & cardiovascular units (11 critical care, 9 in-patient, remainder were step down or day surgery cases) in six hospitals in the Canadian provinces of Ontario and New Brunswick; four were teaching hospitals<br><br>1198 patients and 555 nurses | NPR calculated as average number of patients cared for by a nurse on day shift over the data collection period | Length of stay (LOS)<br>Quality of care was assessed by manager as 'improved or deteriorated'<br>More than one patient care interventions omitted<br>More than one therapeutic intervention omitted                                              | Mean NPR was 2.3±1.43<br><br>As NPR increased, 'good or excellent care' was 22% less likely and longer than expected LOS was 35% more likely |
| Ozdemir et al. 2016 <sup>34</sup>       | Retrospective cross-sectional study | 294 602 emergency admissions to 156 NHS Trusts from an administrative database from 1st April 2005 to 31st March 2010. Patients were admitted to general wards and ICUs.                                                                                    | No definition of how NPR was calculated                                                                        | 30-day mortality; 90-day mortality                                                                                                                                                                                                               | NPR ranged from 1.88- 2.33 of nurses per patient<br><br>Higher mortality rates were seen with higher NPRs [1.07 (1.01–1.13) P=0.024].        |
| Park et al 2012 <sup>35</sup>           | Retrospective cross-sectional study | 512 adult non intensive care units (ICUs), 247 adult ICUs within 42 US teaching hospitals<br><br>Data extracted from the 2005 University HealthSystem Consortium database                                                                                   | Nursing hours per patient day (NHPPD)                                                                          | Failure to Rescue (mortality in surgical patients preceded by a hospital acquired complication such as pneumonia, DVT, pulmonary embolism, sepsis, acute renal failure, shock or cardiac arrest and gastrointestinal haemorrhage or acute ulcer) | 15.52 NHPPD (2.03 SD)<br><br>Statistically significant association between higher NHPPD and lower rates of failure to rescue in ICUs.        |
| Perez et al 2006 <sup>36</sup>          | Prospective cross-sectional study   | A consecutive cohort of 2367 patients from 49 ICUs in Columbia                                                                                                                                                                                              | No definition of how NPR was calculated                                                                        | Mortality ratios were calculated by dividing observed deaths by predicted deaths                                                                                                                                                                 | NPRs<br>• 1:3.0-7.0 in ICUs with highest mortality rates                                                                                     |

|                                  |                                     |                                                                                                                                                                                                                                                      |                                                                                                                                                            |                                                                                                                                                                                                                  |                                                                                                                                                                                                                                                                            |
|----------------------------------|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                  |                                     |                                                                                                                                                                                                                                                      |                                                                                                                                                            |                                                                                                                                                                                                                  | <ul style="list-style-type: none"> <li>1:1.5-3.0 in ICUs with lowest mortality rates (p=.0237).</li> </ul> <p>ICU's with the lowest mortality rates had lower NPRs</p>                                                                                                     |
| Sakr et al 2015 <sup>37</sup>    | Point prevalence study              | 13796 adults in 1265 ICU in 75 countries on 7 May 2007                                                                                                                                                                                               | NPR recorded 10:00-11.00am and 10.00-11.00pm on a single day. Number of nurses working at the bedside during these time points and number of occupied beds | In-hospital mortality                                                                                                                                                                                            | <p>Median NPR was 1.6 and interquartile range (IQR) from 1.05-2.2</p> <p>NPR &lt; 1:1.5 is independently associated with a lower risk of in-hospital death (OR 0.69, 95%CI 0.53-0.90, p&lt;0.001) compared to NPR&gt; 1:2</p>                                              |
| Schwab et al 2012 <sup>38</sup>  | Prospective cross-sectional study   | 182 ICU's in Germany participated in 2007 involving 563,177 patient-days<br>-45.5% interdisciplinary<br>-21.4% medical<br>-23.6% surgical<br>-9.3% other specific ICU                                                                                | NPR calculated as nurses per day (3 per shift)/patients per day<br><br>Number of patients per day=number of patient-days in that month;                    | Nosocomial device associated infections: <ul style="list-style-type: none"> <li>number of ventilator infections</li> <li>number of central venous catheter associated infections per 1000 device days</li> </ul> | <p><b>Median NPR (per shift): 1: 1.5 and IQR 1:1.3 -1:1.8</b></p> <p>In univariate analysis lower NPRs were associated with fewer nosocomial infections (RR 0.42, 95% CI 0.32-0.55)</p> <p>In multivariate analysis, NPR was not associated with nosocomial infections</p> |
| Sheetz et al. 2016 <sup>39</sup> | Retrospective cross-sectional study | Patients undergoing colectomy, pancreatectomy, esophagectomy, abdominal aortic aneurysm repair, lower-extremity revascularization, or lower extremity amputation. Data extracted from the Medicare Provider Analysis and Review (MEDPAR) file claims | NPR calculated as nursing full-time equivalents (FTE) X 1768/adjusted patient days                                                                         | 30-day mortality, major complications, and failure to rescue                                                                                                                                                     | <p>No average NPR was provided</p> <p>Increasing NPR (range: OR 1.02 (1.01- 1.03) to OR 1.14 (1.08–1.20), significantly influenced failure to rescue rates for all procedures</p>                                                                                          |

|                                     |                                     |                                                                                                                                                                                                                                                                                                                              |                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                    |
|-------------------------------------|-------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                     |                                     | data and American Hospital Association (AHA) Annual Survey Database from 2007 to 2010. Patients were admitted to general surgical wards and ICUs.                                                                                                                                                                            |                                                                                                                                                                                                                |                                                                                                                                                                                                                                                                           |                                                                                                                                                                                                                                                                                                                                                                                                    |
| Shuldham et al 2009 <sup>40</sup>   | Retrospective cross-sectional study | <p>25,507 patients who were admitted to general wards or ICUs in a tertiary cardio-respiratory NHS trust in England, April 2006-End of March 2007</p> <p>Wards were grouped into lower dependency areas and the high dependency areas (ICU and HDU). Data was extracted from the corporate patient administration system</p> | NHPPD: Overall number of nursing hours worked in a given day, divided this by the total number of patient hours on the ward or unit for that day and multiplied by 24 (h), i.e. nurse hours/patient hours x 24 | <ul style="list-style-type: none"> <li>• Deep vein thrombosis</li> <li>• Patient falls</li> <li>• Pneumonia</li> <li>• Pressure sores</li> <li>• Sepsis</li> <li>• Shock</li> <li>• Upper GI bleed</li> </ul>                                                             | <p>No average NHPPD was provided</p> <p>As the NHPPD decreased so did the risk of developing shock increase 3-fold (RR 3.48, 95%CI 1.368-6.865, p=0.009)</p>                                                                                                                                                                                                                                       |
| Stone et al 2007 <sup>41</sup>      | Retrospective cross-sectional study | 15,902 elderly Medicare patients from 51 intensive care units (ICUs) in 31 US hospitals in 2002. Data was extracted from the National Nosocomial Infection Surveillance system protocols, medicare files, American Hospital Association annual survey and prospective survey to nurses                                       | NHPPD                                                                                                                                                                                                          | <ul style="list-style-type: none"> <li>• 30 day mortality</li> <li>• Catheter associated urinary tract infection (CAUTI)</li> <li>• Central line associated bloodstream infection (CLBSI)</li> <li>• Decubiti</li> <li>• Ventilator associated pneumonia (VAP)</li> </ul> | <p>Average NHPPD was 17 (SD + 5.1)</p> <p>Higher NHPPD were significantly associated with a lower incidence rate of:</p> <ul style="list-style-type: none"> <li>• 30 day mortality (OR 0.81, 95%CI 0.69- 0.95, p≤0.001)</li> <li>• CLBSI (OR 0.32, 95%CI 0.15- 0.70, p≤0.05)</li> <li>• Decubiti (OR 0.69, 95%CI 0.49- 0.98, p≤0.01)</li> <li>• VAP (OR 0.21, 95%CI 0.08- 0.53, p≤0.05)</li> </ul> |
| Tourangeau et al 2007 <sup>42</sup> | Retrospective cross-sectional study | 46,993 patients aged <20, discharged between 1 April 2002 & 31 March 2003 in Canada. Patients were admitted to general wards and ICUs.                                                                                                                                                                                       | Total inpatient clinical nursing worked hours (all nurse categories)/sum of weighted patient cases* discharged per                                                                                             | 30-day mortality                                                                                                                                                                                                                                                          | Average nursing hours to weighted patient cases was 36.2 (SD+9.3)                                                                                                                                                                                                                                                                                                                                  |

|                                        |                                     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |                                                                                                                                                                                |                                                                                                                                                                                                                                                                 |                                                                                                                                                                                                                                                                                              |
|----------------------------------------|-------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
|                                        |                                     | <p>Patients from one of four diagnostic groups:</p> <ul style="list-style-type: none"> <li>• Acute myocardial infarction</li> <li>• Pneumonia</li> <li>• Septicaemia</li> <li>• Stroke</li> </ul> <p>Data extracted from Ontario Discharge Abstract Database</p> <ul style="list-style-type: none"> <li>• Ontario Hospital Insurance Plan</li> <li>• Ontario Hospital Reporting System</li> <li>• Ontario Nurse Survey</li> <li>• Ontario Register Persons Database</li> </ul> <p>Statistics Canada 2001 Population Files</p> | <p>hospital (for 2002-2003)</p> <p><i>* Weighted patient cases is an expression that reflects standardized patient volume based on their relative resource consumption</i></p> |                                                                                                                                                                                                                                                                 | <p>Increase in number of nursing hours was associated with six fewer deaths for every 1000 discharged patients</p>                                                                                                                                                                           |
| Valentin et al 2009 <sup>43</sup>      | Prospective cross-sectional study   | <p>1328 patients in 113 intensive care units from 27 countries 17 or 24 January 2007</p> <p>Data extracted from staff who completed a bedside questionnaire</p>                                                                                                                                                                                                                                                                                                                                                               | NPR calculated each shift                                                                                                                                                      | <p>Parenteral Medication errors: wrong dose, wrong drug, wrong route, wrong time, missed medication</p>                                                                                                                                                         | <p>Median NPR:<br/>Day shift: 1.3 (IQR 1.0-1.8)<br/>Evening shift: 1.6 (IQR 1.2-2.0)<br/>Night shift: 2.0 (IQR 1.4-2.5)</p> <p>As the NPR increased, patients were 30% more likely to experience a parental medication error (OR 1.3, 95%CI 1.03-1.64, p=0.03) (multivariate regression)</p> |
| Van den Heede et al 2009 <sup>44</sup> | Retrospective cross-sectional study | <p>260,923 adults (20-85 years) admitted to general wards and ICUs in 115 Belgium acute hospitals in 2003</p> <p>Two administrative databases</p> <ul style="list-style-type: none"> <li>• Belgian Nursing Minimum Dataset (B-NMDS)</li> <li>• Belgium Hospital Discharge Dataset (B-HDDS)</li> </ul>                                                                                                                                                                                                                         | NHPPD: Hours of care provided by nurses divided by the number of patients being cared for over 24 hours and adjusted patient acuity                                            | <p>In-hospital mortality<br/>Deep venous thrombosis<br/>Failure to rescue<br/>Shock or cardiac arrest<br/>Pressure ulcer<br/>Postoperative complications<br/>Postoperative respiratory failure<br/>Urinary tract infections<br/>Hospital acquired pneumonia</p> | <p>The mean acuity-adjusted nursing hours per patient day (NHPPD) was 2.62 (S.D. = 0.29)</p> <p>No significant association was found between NHPPD and patient outcomes</p>                                                                                                                  |

|                                        |                                     |                                                                                                                                                                                                                                                                                                                                                              |                                                                                                   | Hospital acquired sepsis               |                                                                                                                                                                                                                                                                                                                                                                                     |
|----------------------------------------|-------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------|----------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Van den Heede et al 2009 <sup>45</sup> | Retrospective cross-sectional study | <p>9054 adults (20-85 years) in 58 intensive care and 75 general nursing units representing 28 of the 29 Belgian cardiac centres in 2003</p> <p>Data was extracted from two administrative databases</p> <ul style="list-style-type: none"> <li>• Belgian Nursing Minimum Dataset (B-NMDS)</li> <li>• Belgium Hospital Discharge Dataset (B-HDDS)</li> </ul> | NHPPD: Total hours worked by a registered nurse during a 24hr period/ patient census for that day | In-hospital mortality                  | <p>The median NHPPD was 11.9 (IQR 10.3-13.1)</p> <p>Greater NHPPD in postoperative general nursing units were associated with lower in-hospital mortality</p> <p>44 patients (95% CI: 43-45) would not have died if all general postoperative cardiac nursing units had 3.5 NHPPD which corresponds to 4.9 fewer deaths per 1000 patients admitted for elective cardiac surgery</p> |
| West et al 2014 <sup>46</sup>          | Retrospective cross-sectional study | 65 ICU representing 38168 patients in UK during 1998. Data extracted from Intensive Care National audit and Research Centre (ICNARC) casemix database                                                                                                                                                                                                        | NPR calculated as nurses (full-time time equivalent) per bed on the census day                    | ICU mortality<br>In-hospital mortality | <p>Average NPR was not reported</p> <p>Lower NPRs were associated with lower ICU mortality and in-hospital mortality (OR 0.90, 95%CI 0.83-0.97)</p>                                                                                                                                                                                                                                 |

CI- confidence interval

HR-hazard ratio

ICU- Intensive care unit

NHPPD- nursing hours per patient day

NPR- nurse-to-patient ratios

OR-odds ratio

RR-relative risk

**Table 2: Summary of NOS Quality Assessment: Cross-sectional Studies**

| Study                                     | Selection                     |                              |                         |                              | Comparability of cohorts # | Outcome     |                  |                    | Evidence quality <sup>1</sup> |
|-------------------------------------------|-------------------------------|------------------------------|-------------------------|------------------------------|----------------------------|-------------|------------------|--------------------|-------------------------------|
|                                           | Exposed cohort representative | Non exposed cohort selection | Exposure ascertain ment | Outcome not present at start |                            | Assessm ent | Follow-up length | Follow up adequacy |                               |
| Benbenbishty et al 2010 <sup>16</sup>     | *                             | *                            | --                      | *                            | --                         | --          | *                | *                  | Low                           |
| Blot et al 2011 <sup>17</sup>             | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Checkley et al 2014 <sup>18</sup>         | *                             | *                            | --                      | *                            | *                          | *           | *                | *                  | Moderate                      |
| Chittawatannarat et al 2014 <sup>19</sup> | *                             | *                            | *                       | *                            | *                          | --          | *                | --                 | Moderate                      |
| Cho et al 2008 <sup>20</sup>              | *                             | *                            | *                       | *                            | **                         | --          | *                | *                  | High                          |
| Cho et al 2009 <sup>21</sup>              | *                             | *                            | *                       | *                            | **                         | --          | *                | *                  | High                          |
| Diya et al. 2012 <sup>22</sup>            | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Hart & Davis 2011 <sup>23</sup>           | *                             | *                            | *                       | *                            | --                         | *           | *                | *                  | Low                           |
| He et al 2013 <sup>24</sup>               | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Hugonnet et al 2007 <sup>25</sup>         | *                             | *                            | --                      | *                            | **                         | --          | *                | *                  | High                          |
| Hugonnet et al 2007 <sup>26</sup>         | *                             | *                            | *                       | *                            | --                         | --          | *                | *                  | Low                           |
| Johansen et al 2015 <sup>27</sup>         | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Kim et al 2012 <sup>28</sup>              | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| McHugh et al 2016 <sup>29</sup>           | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Merchant et al 2012 <sup>30</sup>         | *                             | --                           | --                      | *                            | --                         | *           | *                | *                  | Low                           |
| Metnitz et al 2009 <sup>31</sup>          | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Neuraz et al 2015 <sup>32</sup>           | *                             | *                            | --                      | *                            | **                         | --          | *                | *                  | High                          |
| O'Brien-Pallas et al 2010 <sup>33</sup>   | *                             | *                            | *                       | *                            | *                          | --          | --               | *                  | Moderate                      |
| Ozdemir et al 2016 <sup>34</sup>          | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Park et al 2012 <sup>35</sup>             | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Perez et al 2006 <sup>36</sup>            | *                             | --                           | --                      | *                            | --                         | *           | *                | *                  | Low                           |
| Sakr et al 2015 <sup>37</sup>             | *                             | *                            | --                      | *                            | **                         | --          | *                | *                  | High                          |
| Schwab et al 2012 <sup>38</sup>           | *                             | --                           | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Seetz et al 2016 <sup>39</sup>            | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Shuldham et al 2009 <sup>40</sup>         | *                             | *                            | *                       | *                            | --                         | --          | *                | --                 | Low                           |
| Stone et al 2007 <sup>41</sup>            | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| Tourangeau et al 2007 <sup>42</sup>       | *                             | *                            | *                       | *                            | *                          | *           | *                | *                  | Moderate                      |
| Valentin et al 2009 <sup>43</sup>         | *                             | *                            | *                       | *                            | **                         | --          | *                | *                  | High                          |
| Van den Heede et al 2009 <sup>44</sup>    | *                             | *                            | *                       | *                            | **                         | *           | *                | --                 | High                          |
| Van den Heede et al 2009 <sup>45</sup>    | *                             | *                            | *                       | *                            | **                         | *           | *                | *                  | High                          |
| West et al 2014 <sup>46</sup>             | *                             | *                            | --                      | *                            | **                         | *           | *                | *                  | High                          |

# Also includes controlling for potential confounders

*1. Evidence quality:*

*Low: downgrading from moderate to low based on design or lack of information in report*

*Moderate: study met selection criteria (4 stars), comparability (1 star and upgraded a level for 2 stars), and outcome assessment.*

*High: upgrading from moderate to high based on comparability of 2 stars*