

Title: Ancient genomics and the origin, dispersal, and development of domestic sheep

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One-Sentence Summary: Ancient sheep genomes show early selection, wild influx and major migration, including from the Eurasian steppe to Europe.

Abstract: The origins and prehistory of domestic sheep (*Ovis aries*) are incompletely understood; to address this we generated data from 118 ancient genomes spanning 12,000 years sampled from across Eurasia. Genomes from Central Türkiye ~8,000 BCE are genetically proximal to the domestic origins of sheep but do not fully explain the ancestry of later populations, suggesting a mosaic of wild ancestries. Genomic signatures indicate selection by ancient herders for pigmentation patterns, hornedness, and growth rate. While the first European sheep flocks derive from Türkiye, in a striking parallel with ancient human genome discoveries, we detect a major influx of Western steppe-related ancestry in the Bronze Age.

Main Text: Numbering 1.2 billion worldwide (FAO, 2020), sheep were initially domesticated from the Asiatic mouflon (*Ovis gmelini*), which ranged from Türkiye to eastern Iran (1–3). Along with meat, skin, and fat, their lifetime (secondary) products including milk (4) and dung (5) have played a significant role in human societies. Wool in particular was a sought-after commodity and novel source of warm, breathable, water resistant textiles,

which was intertwined through the economies of early complex societies in 4th-3rd millennium BCE Southwest Asia and later in Bronze Age Europe (6, 7).

The origins of sheep management and husbandry can be traced to the mid-9th millennium BCE in the northern Fertile Crescent. Among Early Neolithic sites in the upper Euphrates basin and Central Türkiye faunal remains reveal the emerging new relationship between humans and sheep through shifts in species composition, age profiles, diet, the occurrence of bone pathologies, evidence of fetal and neonatal deaths on-site, and progressive size reduction compared to earlier hunted assemblages (8–11). One millennium later, caprine pastoralism was consolidated more widely across Southwest Asia with smaller, phenotypically domestic sheep populating landscapes well beyond the natural distribution of wild sheep (12–14).

To investigate the origins, dispersal and development of sheep, we analyzed 118 newly sequenced ancient sheep genomes spanning 12,000 years (Fig. 1A) with a mean coverage of 0.85X (~0.01X-5.38X; tables S1-5, fig. S2, S3), supplemented with five published ancient genomes (15, 16). Their geographic range stretches from Mongolia to Ireland (fig. S1), with a particular focus on Southwest Asia ($N=70$) (Fig. 1B). We analyzed these with 73 modern *Ovis* genomes (table S4), including 57 domestic *O. aries* from Asia, Europe, and Africa; 12 mouflon from Iran; and 4 Iranian urials (*Ovis vignei*).

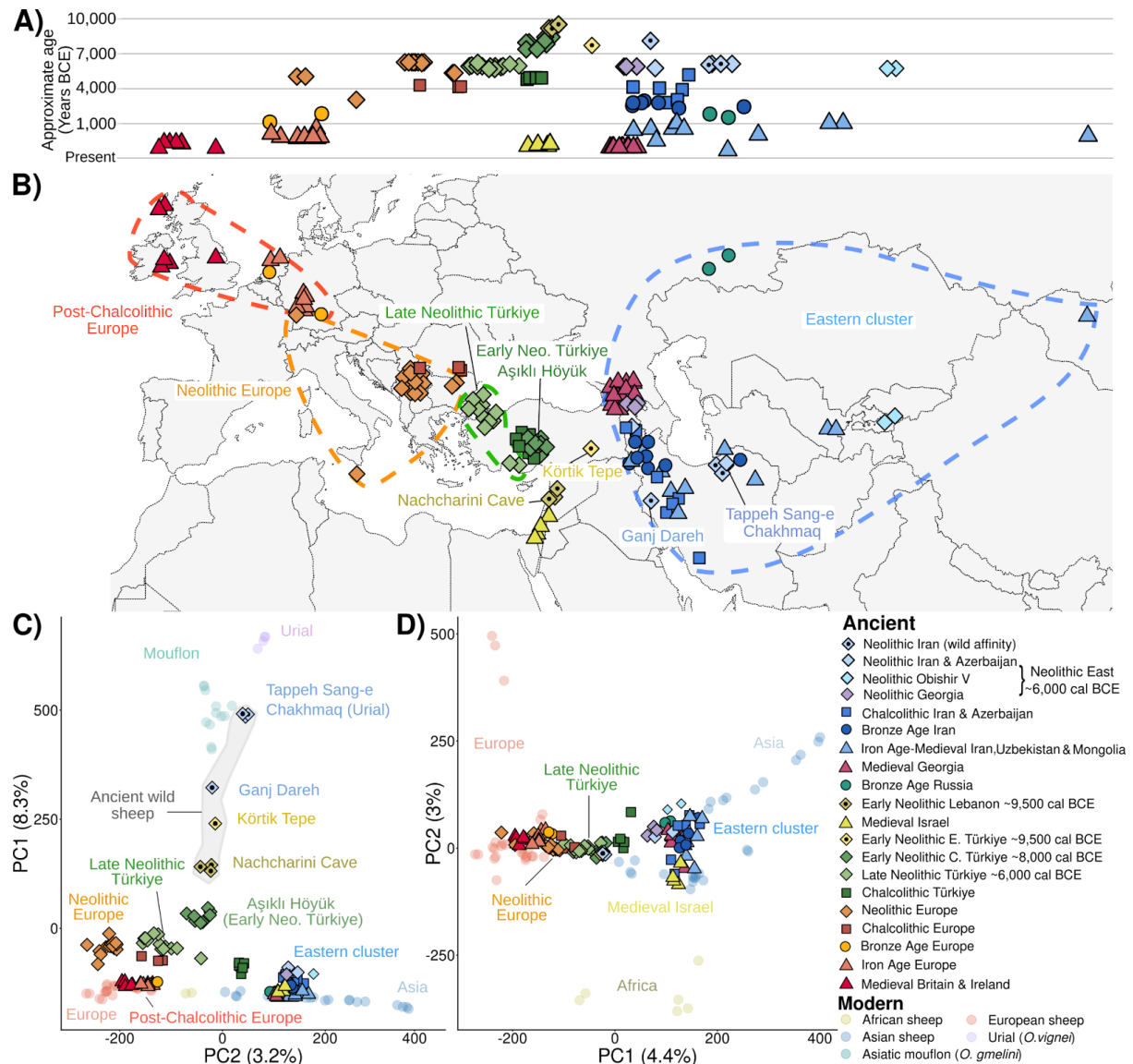


Fig. 1. Provenance and principal components analysis of 123 ancient wild and domestic sheep genomes (118 reported here) projected onto modern *Ovis* diversity. A) Approximate sample date plotted versus (jittered) longitude, (corresponding to positions in B). Symbols with dots indicate ancient wild genomes. B) Provenance and cultural period of sampled genomes. C) Plot of principal components 1 and 2 with symbol and color key as in the map; clear separations between hunted wild (samples from Tappeh Sang-e Chakhmaq, Ganj Dareh, Körtik Tepe, Nachcharini Cave) and human-managed sheep, and also between eastern and western locations are visible. The “Eastern cluster” designation encompasses ancient sheep from Georgia, Iran, Azerbaijan, Uzbekistan, Kyrgyzstan, and Mongolia. D) Plot of principal components 1 and 2, calculated when modern wild genomes are removed, which shows geographic separation by continent.

Ancient wild genomes point away from domestication in the east of the Fertile Crescent.

Eight of our ancient genomes are from wild *Ovis*. Three Iranian samples from Tappeh Sang-e Chakhmaq (~6,000 BCE; Fig. 1, (17, 18)) are identifiable as urial (*O. vignei*), by their segregation with modern urials in principal components analysis (PCA; PC3 in fig. S4, table S6), *D* statistics (fig. S5, table S7) and their mitochondrial (mtDNA) sequences (table S1, fig. S6). Four specimens with genomic affinity with wild Eurasian mouflon (*O. gmelini*; fig. S4) derive from Nachcharini Cave (Lebanon) and Körtik Tepe (Türkiye), dating to mid-10th millennium BCE. Both assemblages lack demographic indicators of management (13, 19) and predate evidence of sheep management (2, 20). A final wild mouflon genome is from ~8,000 BCE Ganj Dareh (Iran), where sheep (in contrast to contemporaneous herded goats) show a demographic profile typical of hunted populations (21). In principal components (PC) analysis when we project our ancient data on a framework of modern sheep and wild *Ovis* genomes (Fig. 1C, tables S3 and S4, fig. S4) these ancient wild samples clearly separate from those representing managed, domestic assemblages on PC1; this, along with other analyses, were tested for robustness with respect to sequencing error and selection of variant sites (22).

Among the ancient wild sheep (Fig. 1B, 1C), those which plot closest to domesticates on PC1 are the three more western mouflon genomes from Nachcharini Cave in Lebanon (~9,700-9,000 BCE), followed by those from Körtik Tepe (2 σ C₁₄ age: 9,873-9,453 BCE) in Southeast Türkiye, and then Ganj Dareh (2 σ C₁₄ age: 8,279-7,960 BCE) in the Iranian Zagros towards the eastern side of the wild *Ovis* range (Fig. 1C). This hierarchy within wild vs. domesticate affinity is supported by identity-by-state phylogenetic analysis, where the Lebanese mouflon form the closest ancient outgroup to all domesticate genomes and Ganj Dareh the most distant (fig. S6). Also later Iranian domestic sheep cannot be modelled (qpWave; table S10) as stemming from the Ganj Dareh mouflon genome. This evidence points away from a core area of sheep domestication at the east of the mouflon range in the Zagros and accords with an origin in the western range of southwest Asia. It also aligns with the archaeofaunal record evidencing that domestic sheep phenotypes and management occurred later in that region, c. 7,000 BCE (12, 23). In contrast, by c. 8,000 BCE goats in Iran had already begun a demographic and genetic transition towards the domesticated state (21), indicating uncoupled early domestication processes in the two small livestock species in the eastern arc of the Fertile Crescent.

Early Neolithic Aşıklı Höyük are a basal population but do not fully represent domestic ancestry.

PC1 also distributes samples of herded populations in order of archaeological age (Fig. 1C), stretching from Early Neolithic Aşıklı Höyük (8,300-7,500 BCE), through later Neolithic genomes (Fig. 2A) and subsequent periods to medieval and finally modern genomes. The Aşıklı Höyük genomes, represented by a mixture of shotgun and whole genome enrichment data (we restrict key analyses to shotgun data-only), are from close in time to the beginnings of sheep domestication. There, herd management is reflected in the culling of young males, slaughtering near habitations, and accumulation of dung and urine in sediments indicating the stabling of livestock on-site (8, 24). However, sheep at this time did not yet have the reduced size and altered morphology typical of later domesticates (25). When we model the ancient sheep phylogeny using either individuals with identity by state (Fig. 2B, fig. S9), or admixture graph-exploration with genomes grouped into the major geographic-temporal PCA clusters (Fig. 3A, tables S8 and S9, fig. S10-15), Aşıklı Höyük holds a basal position

among domesticates (inferred using shotgun but excluding genome-enriched sequencing data, (22)). This is consistent with that population being genetically proximal to the origins of domestic sheep.

However, our Late Neolithic samples (here defined as ~6,000 BCE) are likely not a simple derivation of this early Central Turkish diversity. *D* statistics using either the wild Ganj Dareh or Nachcharini sheep as outgroups to test the integrity of Aşıklı Höyük-Late Neolithic genome clade pairings point toward the latter having a broader wild ancestry than the flocks raised at Aşıklı Höyük (Fig. 2D and fig. S16; although tests with different Aşıklı individuals produce a mixture of positive, indeterminate and negative results, fig. S17). Moreover, these later populations cannot be modeled as deriving from the Aşıklı sheep alone (using qpWave to evaluate the fit of single ancestry streams, table S10). This could arise from local wild genomes being incorporated in their population histories after a common origin (26). Alternatively, a broader mosaic of wild diversity gave rise to the founder herds, not all of which is represented in our Aşıklı Höyük sample. Genomic sampling of additional 9th millennium BCE assemblages within the natural habitat of the mouflon, including from the Northern Levant and upper Euphrates basin in the center of the Fertile Crescent, would distinguish these scenarios.

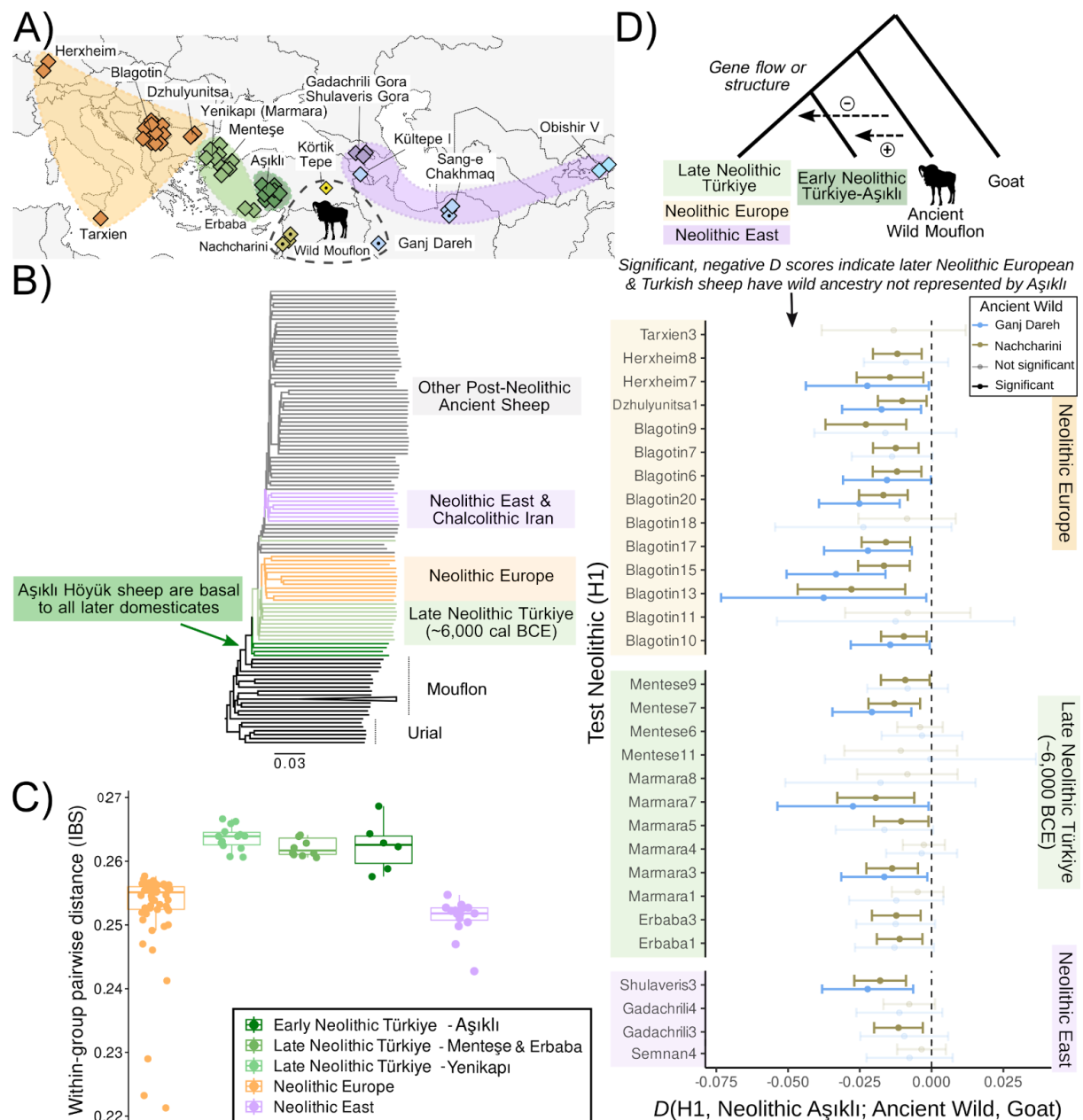


Fig. 2. Patterns of Neolithic sheep diversity. A) The groupings of Neolithic samples compared below, with sampled sites also indicated. B) Neighbor-joining tree based on IBS data of ancient and modern *Ovis*. Aşıklı Höyük sheep are basal to all

later domesticates. The Late Neolithic Türkiye sample grouping apart from others (Marmara8) is an outlier with regards to eastern ancestry (see Fig. 3B). Neolithic East refers to genomes from ~6,000 BCE Iran, Azerbaijan, Georgia and Kyrgyzstan, highlighted in purple in subpanel C. The outgroup goat is not shown and a clade of modern Iranian mouflon is collapsed, see fig. S9 for individual-labeled phylogeny. Pie10 and Pie11 were excluded due to higher sequencing error rates (Table S1). C) Comparative plots of diversity among Neolithic groups using within-group pairwise IBS distance. D) Error-corrected *D* statistics testing whether the pairing of Early Neolithic Central Turkish (Aşıklı Höyük) and individuals from Late Neolithic (~6,000 BCE) sites retains integrity when ancient wild sheep groups are considered as introgressors; group level tests are presented in Table S7, tests with Aşıklı individuals in fig. S16.

Migrations and admixture shaped ancient sheep populations.

In PC space, western Neolithic sheep appear highly structured (Fig. 1C). There are distinct clusters of genomes deriving from Turkish and European Neolithic sites. In contrast, ~6,000 BCE Neolithic sheep genomes which are geographically dispersed among Georgia, Azerbaijan, eastern Iran and Kyrgyzstan sites (15) cluster tightly genetically; we refer to this group as “Neolithic East” in subsequent analyses. Relative homogeneity of these eastern genomes is supported by pairwise identity-by-state (IBS) values (Fig. 2C) and a cladal relationship (along with Chalcolithic Iran) in an IBS-based phylogeny (Fig. 2B).

When we calculate PC1 and PC2 without modern wild genomes, three poles of variation - marked by trends in ancient and modern European, Asian and African animals (Fig. 1D) - become apparent. Ancient Turkish sheep trend towards the European pole, Iranians toward the Asian population and, although less pronounced, medieval genomes from Israel toward Africans, implying roles in the foundations of the respective continental herds. Supported by *D* statistics and qpAdm modeling (tables S7, S11, fig. S18), these separate continental affinities of the three corners of the Fertile Crescent have parallels in ancient goat and cattle genomes (27, 28). However, there are additional complexities in the trajectories of these sheep populations.

To explore the role of gene flow in the development of ancient sheep we explored phylogenetic relations using admixture graph exploration and Treemix (fig. S12), and constructed a summary schema (Fig. 3A). This retained the most frequent features within best-fitting solutions ((22); tables S8 and S9), and explicitly modeled inferred population mixtures using qpAdm (Fig. 3B, table S11). The primary divide in the Late Neolithic (~6,000 BCE) and subsequent periods is between east and west (Figs 1C, 1D, 3A). The earliest admixture between these involves sheep from Late Neolithic Yenikapı on the western shore of the Bosphorus showing additional minor eastern ancestry, relative to neighboring sheep populations (qpAdm: 17-20% with one outlier Marmara8 at 53% ± 16%, table S11, fig. S19). Late Neolithic Turkish populations have been noted to exhibit reduced mtDNA diversity, which is modeled as the result of a population bottleneck occurring as founder flocks migrated from the region of domestication (29, 30). MtDNA diversity does not similarly decline in the Neolithic East (table S1). Although we see reduced autosomal diversity (assessed as levels of pairwise allele sharing; Fig. 2C) in the Neolithic European and Eastern populations, this is not the case in our Late Neolithic Turkish sheep. This contrast between maternal and whole genome patterns may be at least partly explained by secondary directional admixture (mediated largely by choice of sires), which in herded stock can leave mtDNA diversity unchanged (28). There were distinct routes and events during dispersal from the initial domestication region throughout coastal and inland Türkiye (26, 31), with likely ongoing exchange of animals within Neolithic Southwest Asia.

We find little evidence of discontinuity after the foundation of the eastern population: sheep from the Chalcolithic and later periods can be modeled entirely by the Neolithic East group (qpWave, table S10), according with their close clustering in PCA and despite a wide geographical provenance. Conversely, both the European and Central Turkish Chalcolithic show differences relative to their Neolithic counterparts, clearly indicated by *D* statistics (Fig. 3B, Fig. S19-23) and unsupervised ancestry modeling (Fig. S24). Within central Türkiye, in a discontinuity with Neolithic genomes, Chalcolithic Güvercinçayası sheep are a mix of western and eastern ancestry (57-70%, from all fitting group-level qpAdm models with a range of possible eastern sources; table S11). At Güvercinçayası, decorated pottery, stamped seals and seal impressions point to connections to Mesopotamian Ubaid culture sites (32), which were known to practice large-scale, mobile sheep pastoralism (33). Notably, the signals of east-to-west gene flow in Southwest Asian sheep have resonance with a wider

A) Approximate time scale

9,500 cal BCE

8,000 cal BCE

6,000 cal BCE

4,500 cal BCE

1,500 cal BCE

1,000 cal CE

B)

Test

Neolithic Europe

Eastern Source

Outgroup

Late Neolithic Türkiye (Menteşe + Erbaba)

No evidence of eastern ancestry

Late Neolithic Türkiye (Yenikapi)

Evidence of eastern ancestry

Chalcolithic Türkiye

Evidence of substantial eastern ancestry, unclear source

Chalcolithic SE Europe

Unclear eastern ancestry

Bronze Age-Iron Age Central Europe

Evidence of substantial BA Russian ancestry

Medieval Ireland/Britain

Evidence of substantial BA Russian ancestry

C)

Supervised ancestry modelling (qpAdm)

qpAdm: Sources

Neolithic Europe

Bronze Age West Russia

Late Neolithic Türkiye (Yenikapi)

Neolithic East

Chalcolithic-Bronze Age Iran/Azerbaijan

Early Neolithic Lebanon (Nachcharini)

Early Neolithic Türkiye (Aşikli)

Late Neolithic Türkiye (Menteşe & Suberde)

Poorly modelled

Possible BA Russia and wild contribution

qpWave: Neolithic East explains group ancestry ($p > 0.01$)

$D(\text{Test}, \text{Neolithic Europe}; \text{Eastern Source}, \text{Out})$

Steppe-related sheep migration to Europe

The most dramatic east to west genome introgression, both in distance traversed and extent of influence, is that which transformed Bronze Age and subsequent European sheep. Supervised ancestry modeling, likelihood-based graph exploration (Treemix (37), fig. S12-15) and D statistics (Fig. 3B; variation in D scores

are observed using individual Neolithic European sheep rather than groups, see fig. S21 and table S6) favor Late Bronze Age sheep sampled from the Russian Volga–Ural steppe as the best fitting source. Using qpAdm, we estimate 44–61% of the individual ancestry of European sheep from the Bronze Age onwards derives from Western steppe-related admixture (Fig. 3C). Post-Neolithic translocations of steppe sheep into Europe fit studies of modern genetic markers (38) and are hinted at by ancient mtDNA data (39).

One of the most significant findings from ancient human genomics is strong evidence for a massive steppe-derived population turnover in Europe c. 3,000–2,700 BCE (40, 41). We infer that in the frame of this cultural process, sheep populations were transformed by a translocation from the steppe into central and western Europe by the mid 2nd millennium BCE. This was likely motivated by the lifeways and dietary preferences of the 3rd millennium BCE Yamnaya culture, *i.e.* primarily sheep-herding, migratory pastoralists of the Pontic-Caspian steppe that depended on small livestock for dairy products (42, 43).

Ancient signals of selection and sheep production traits

To test which traits may have undergone selection in prehistory we focused on the two clusters of genomes in our data with best sampling and genome coverage (Fig. 1C): Neolithic southeast Europe (restricted to genomes from the ~6,000 BCE assemblage of Blagotin-Poljna, Serbia) and Bronze Age-to-medieval European sheep (pooled across assemblages dating to ~1,400 BCE to ~1,100 CE). We used these two groups comprising 6 (mean 1.37X coverage) and 13 genomes (mean 1.69X coverage) and compared them with 17 modern wild sheep genomes (44) to calculate pairwise F_{ST} in genome-wide windows. This is summarized in population branch statistics (PBS) (45) within which we identify 50kb windows with excessive divergence and locate these signals on the respective trajectories of the Neolithic or post-Chalcolithic groups (fig. S25).

On the branch leading to the ~6,000 BCE Neolithic population it is striking that within the ten most elevated signal peaks, a majority contain genes with prior evidence for phenotype consequence and/or selection history in modern sheep. The strongest genome-wide peak is adjacent to the genes *PDGFRA* and *KIT* (a locus implicated in selection and coat color, *e.g.* piebaldism, in multiple species (27, 46) (fig. S25)). The fourth ranked region contains *MC1R* which has also had variation linked to coloration in multiple studies (47, 48). This suggests that within the first two millennia of sheep husbandry, and mirroring results from ancient goat genomes (27), herders had strong preferences for coat colors and patterns. This may have aided identification within communally-herded flocks, resulted from pleiotropy with behaviour (50), or reflected value for decoration or textile production, although systemic use of animal-based textiles does not occur until later periods (49). Alternately, domestic animals possess strong symbolic and aesthetic value and it is possible that herders simply favored the beautiful and unusual. Other outlier Neolithic signals contain genes suggesting early selection for growth rate (*GHR*(52)), wool morphology (*SHCBP1*(54)) and climate adaptation (*TBC1D12*(55)).

Selection in later ancient Europe

By the Bronze Age, sheep began to play a more central economic role in Europe, illustrated by the appearance of larger breeds, higher proportions of polled (*i.e.* hornless) animals and wool as a key textile and traded commodity (56, 57). In the post-Chalcolithic European branch the strongest signals include *RFXP2*, the major determinant of horn shape and the polled trait (58). We do not find strongly outlying signals associated with wool trait loci, although the occurrence of several within the top 1% of genome windows may concord with a more diffuse selection process (Table S12). These include *IRF2BP2* which has a 3' UTR derived variant associated with fleece fiber (62) that shows an increase from 50% to 91% ($p=0.012$, binomial test) between our Neolithic European sheep and those bred in the Iron Age and medieval periods (fig. S26).

Strikingly, we have shown that herds in the wool-enriched economies of Bronze Age and later Europe were transformed by a major influx from the Western steppe. Within these we see some indication of selection at fleece-related genes. However, as coarse yarns continued to be used for textiles, the adoption of wool was probably a spatially and temporally heterogeneous process, rendering human exploitation of this lifetime product more akin to an evolution than a revolution (57).

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List of Supplementary Materials:

Materials and Methods

Figs. S1 to S26

Tables S1-12

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Fig. #. (Begin each figure caption with a label, “Fig. 1.”, for example, as a new paragraph.)

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