

# Exchange interactions and magnetic phases of transition metal oxides: Benchmarking advanced *ab initio* methods

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(Received 3 May 2011; revised manuscript received 8 July 2011; published 14 September 2011)

The magnetic properties of the transition metal monoxides MnO and NiO are investigated at equilibrium and under pressure via several advanced first-principles methods coupled with Heisenberg Hamiltonian Monte Carlo. The comparative first-principles analysis involves two promising beyond-local density functionals approaches, namely the hybrid density functional theory and the recently developed variational pseudo-self-interaction correction method, implemented with both plane-wave and atomic-orbital basis sets. The advanced functionals deliver a very satisfying rendition, curing the main drawbacks of the local functionals and improving over many other previous theoretical predictions. Furthermore, and most importantly, they convincingly demonstrate a degree of internal consistency, despite differences emerging due to methodological details (e.g., plane waves versus atomic orbitals).

DOI: [10.1103/PhysRevB.84.115114](https://doi.org/10.1103/PhysRevB.84.115114)

PACS number(s): 75.47.Lx, 74.62.Fj, 75.30.Et, 75.50.Ee

## I. INTRODUCTION

The relative simplicity of structural and magnetic ordering and the abundance of available experimental and theoretical data elect transition metal monoxides (TMO) as favorite prototype materials for the *ab initio* study of exchange interactions in Mott-like insulating oxides.<sup>1</sup> TMO are known to be robust antiferromagnetic (AF) Mott insulators with sizable exchange interactions and Néel ordering temperatures. The accurate determination of magnetic interactions purely by first-principles means is a remarkable and as-yet unsolved challenge.<sup>2-4</sup> The difficulty stems, on the one hand, from fundamental issues in the description of Mott insulators by standard density functional theory (DFT) approaches, such as local-spin density approximation (LDA) or the generalized gradient approximation (GGA). On the other hand, the determination of low-energy spin excitations require a meV-scale accuracy; however, the error bar due to specific implementation and technical differences may be easily larger.

A large amount of theoretical work for TMO has amassed over the years. A number of studies were carried out, in particular, for MnO and NiO with a variety of advanced methods: the LDA + U,<sup>2,5-8</sup> GGA+U,<sup>9,10</sup> the optimized effective potential (OEP),<sup>2</sup> the quasiparticle Green function (GW) approach,<sup>4,11,12</sup> several types of self-interaction corrected LDA (SIC-LDA),<sup>13-18</sup> Hartree-Fock,<sup>19-22</sup> and several types of hybrid functionals such as B3LYP,<sup>22,23</sup> PBE0,<sup>21,24,25</sup> Fock-35<sup>22</sup> and B3PW91.<sup>24</sup> From a methodological viewpoint, Refs. 26, 2, and 16 are particularly relevant for our present purposes, because of the systematic comparison of diverse approaches to computing magnetic interactions. Other studies<sup>27-29</sup> focused, in particular, on pressure-induced high-to-low spin magnetic

collapse observed at very high pressure ( $\sim 150$  GPa for MnO) and relevant to Earth-core geophysics. Here we will not, however, be concerned with the phenomenology of this specific phase transition.

The present work presents a detailed theoretical analysis of MnO and NiO magnetic properties on a wide range of lattice parameters (i.e., hydrostatic pressures) carried out by an array of both standard and advanced first-principles methods. In particular, our theoretical front-liners are two approaches proposed recently for the description of strongly correlated systems: the Heyd-Scuseria-Ernzerhof (HSE) hybrid functional approach<sup>30</sup> and the recently developed variational pseudo-self-interaction correction,<sup>31</sup> implemented in two different methodological frameworks [a plane-wave basis set plus ultrasoft<sup>32</sup> pseudopotentials (PSIC) and linear combination of atomic-orbital basis set (ASIC)]. To provide a baseline for their evaluation, we complement these methods by their local counterparts implemented in the same methodological setting, namely LDA in plane waves and ultrasoft pseudopotentials (reference for PSIC), local orbitals and norm-conserving pseudopotentials (reference for ASIC), and GGA in the Perdew-Becke-Ernzerhof<sup>33</sup> (PBE) version (reference for HSE). Performing the same set of calculations in parallel with different methods, as well as different implementations of the same method, is instrumental to distinguish fundamental and methodological issues and characterizes this work with respect to the many previous theoretical studies of TMO.

MnO and NiO in equilibrium conditions have a high-spin magnetic configuration and large ( $\sim 3.5$ - to 4-eV) band gap. Magnetic moments and exchange interactions depend crucially on the details of structural and electronic properties.

The latter are characterized by a complex interplay of distinct energy scales: crystal field splitting, which in rock-salt symmetry separates the on-site  $3d e_g$  and  $t_{2g}$  energies; the charge transfer energy between O  $p$  and TM  $d$  states; and the hopping energies between  $d-d$  and  $p-d$  states.<sup>34</sup> Reference 2 convincingly shows that an empirical single-particle potential suitably adjusted to reproduce the experimental values of the above-mentioned energies can deliver highly accurate magnetic interactions (moments and spin-wave dispersion). However, obtaining a correct balance of all these contributions is difficult even for advanced density functional methods, not to mention standard LDA or GGA, which fail altogether (to different extents depending on the specific compound). A general analysis of these failures and difficulties of *ab initio* approaches is beyond the scope of this work; we mention that the thorough analysis carried out in Refs. 2 and 35 suggests that a single parameter, as adopted by the LDA + U, is not sufficient, while global multistate energy corrections could serve this purpose. Both the advanced methods (HSE and PSIC/ASIC) adopted in this work, while differing markedly in spirit, act in terms of “global” corrections to the local density functional energy spectrum, i.e., no *a priori* assumption is made about which particular state or band is in need of modification or correction.

A large portion of the LDA/GGA failure in the description of correlated systems can be imputed to the presence of self-interaction (SI), that is, the interaction of each single electron with the potential generated by itself. One consequence of SI is a severe, artificial upshift in energy of the on-site single-particle energies in the density-functional Kohn-Sham equations (compared, e.g., to measured photoemission energies). Spatially localized states are naturally characterized by a large SI: these will include not only  $3d$  states but also (albeit to a lesser extent)  $2p$  states and, of course, localized interface, surface, and point-defect states. It is sometimes argued that this error is immaterial because Kohn-Sham eigenvalues are not supposed to match single-particle energies, and density-functional theories are valid only for ground-state properties. However, highest-occupied and lowest-unoccupied electronic states are exceptions, since the former must be rigorously related to the first ionization energy, and thus is a ground-state property, while the latter may also be interpreted within generalized KS schemes<sup>36</sup> as an approximate electron addition energy. As a consequence, the SI error may dramatically affect the predicted ground-state properties, even turning the Kohn-Sham band structure from insulating to metallic (a frequent occurrence for Mott-like systems in LDA/GGA).

MnO and NiO are both affected by SI, although to different extents<sup>37</sup> (severely for MnO and dramatically for NiO). Under positive (i.e., compressive) pressure the problem will be amplified, as any small band gap that may exist at equilibrium will be further reduced up to complete closure, and magnetic moments may be disrupted. Thus, a reliable description of TMO under pressure necessarily requires approaches overcoming the SI problem. Both PSIC/ASIC and HSE, although from different starting points, work toward the suppression of SI. The former explicitly subtracts the SI (written in atomiclike form) from the LDA functional; the latter, in a more fundamental manner, inserts a portion of the true Fock exchange in place of the local

exchange functional, whose incomplete cancellation with the diagonal Hartree counterpart is the source of SI in LDA/GGA functionals.<sup>38</sup> Our results will show that, despite the different conceptual origin, the two approaches deliver a systematically consistent description of MnO and NiO, with spectacular quantitative agreement in several instances.

The paper is organized as following: Section II describes briefly the methodologies employed; in Sec. III, the model used to calculate the exchange-interaction parameters is discussed. Section IV illustrates our results at equilibrium (Sec. IV A) and under pressure (Sec. IV B). In Secs. IV B 2 and IV B 3 we discuss the exchange interactions and critical temperatures, respectively. Section V offers some concluding remarks.

## II. METHODS

Our first-principles results are obtained by use of three different codes: PWSIC,<sup>39</sup> SIESTA,<sup>40</sup> and VASP.<sup>41</sup> With the PWSIC code, which uses a plane-wave basis set and ultrasoft pseudopotentials, we carry out calculations within LDA (hereafter LDA-PW) and PSIC. The SIESTA<sup>40</sup> package, implemented over a local (atomic) orbital basis set and norm-conserving pseudopotentials, was employed for LDA (LDA-LO below) and ASIC calculations (note that ASIC is available only in a developer version of the code). The variational PSIC/ASIC<sup>31</sup> approach evolves from the nonvariational precursors PSIC<sup>37,42</sup> and ASIC.<sup>43</sup> The new method describes electronic properties as accurately as its precursors and variability allows for its application to structural optimization and frozen-phonon calculations via quantum forces. In the following we briefly sketch the main features of the method, remanding the reader to Ref. 31 for the detailed formulation for both the plane-wave (PSIC) and local orbital (ASIC) basis sets.

The PSIC starts from the following energy functional:

$$E^{\text{PSIC}}[\{\psi\}] = E^{\text{LDA}}[\{\psi\}] - \frac{1}{2} \sum_{ij\nu\sigma} \epsilon_{ij\sigma\nu}^{\text{SI}}[\{\psi\}] p_{ji\nu}^{\sigma}[\{\psi\}], \quad (1)$$

where  $i, j$  are collective quantum numbers ( $l_i, m_i, l_j = l_i, m_j$ ) of a minimal basis (i.e., one for each angular moment  $l$ ) of atomic wave functions and  $\sigma$  and  $\nu$  are the spin and the atomic site index, respectively. Thus, to the usual LDA energy an SI contribution is subtracted out. This is written as single-particle SI energies ( $\epsilon^{\text{SI}}$ ) scaled by the effective orbital occupations ( $p$ ). For an extended system, whose eigenfunctions are Bloch states ( $\psi_{n\mathbf{k}}^{\sigma}$ ), the orbital occupations are self-consistently calculated as projection of the Bloch states onto the atomic orbitals  $\phi$

$$P_{ij\nu}^{\sigma} = \sum_{n\mathbf{k}} f_{n\mathbf{k}}^{\sigma} \langle \psi_{n\mathbf{k}}^{\sigma} | \phi_{i,\nu} \rangle \langle \phi_{j,\nu} | \psi_{n\mathbf{k}}^{\sigma} \rangle. \quad (2)$$

In addition, the “effective” SI energies are defined as

$$\epsilon_{ij\sigma\nu}^{\text{SI}} = \sum_{n\mathbf{k}} f_{n\mathbf{k}}^{\sigma} \langle \psi_{n\mathbf{k}}^{\sigma} | \gamma_{i,\nu} \rangle C_{ij} \langle \gamma_{j,\nu} | \psi_{n\mathbf{k}}^{\sigma} \rangle, \quad (3)$$

where  $\gamma_{i,\nu}$  is the projection function of the SI potential for the  $i$ th atomic orbital centered on atom  $\nu$ . In the radial approximation one has

$$\gamma_{i,m_i,\nu}(\mathbf{r}) = V_{\text{HXC}}[\rho_{\nu,l_i}(r); 1] \phi_{l_i,m_i,\nu}(\mathbf{r}), \quad (4)$$

with  $C_{ij}$  being normalization coefficients

$$C_{l_i, m_i, m_j}^{-1} = \int d\mathbf{r} \phi_{l_i, m_i}(\mathbf{r}) V_{\text{HXC}}[\rho_{v, l_i}(r); 1] \phi_{l_i, m_j}(\mathbf{r}). \quad (5)$$

It is now easy to see that the functional derivative of this PSIC energy functional leads to Kohn-Sham single-particle equations similarly to those adopted in the original scheme described in Ref. 42.

The VASP<sup>41</sup> code, employing the projected augmented wave (PAW) approach, is used for PBE<sup>33</sup> and HSE<sup>30</sup> calculations. In the HSE method the short-range (sr) part of the exchange interaction (X) is constructed by proper mixing of exact nonlocal Hartree-Fock exchange and approximated semilocal PBE exchange. The remaining contributions to the exchange-correlation energy, namely the long-range (lr) exchange interaction and the electronic correlation (C), is treated at the PBE level only, resulting in the following expression:

$$E_{\text{XC}}^{\text{HSE}} = \frac{1}{4} E_X^{\text{HF, sr}, \mu} + \frac{3}{4} E_X^{\text{PBE, sr}, \mu} + E_X^{\text{PBE, lr}, \mu} + E_C^{\text{PBE}}. \quad (6)$$

The partitioning between sr and lr interactions is achieved by properly decomposing the Coulomb kernel ( $1/r$ , with  $r = |\mathbf{r} - \mathbf{r}'|$ ) through the characteristic parameter  $\mu$ , which controls the range separation between the short- (S) and long- (L) range parts

$$\frac{1}{r} = S_\mu(r) + L_\mu(r) = \frac{\text{erfc}(\mu r)}{r} + \frac{\text{erf}(\mu r)}{r}. \quad (7)$$

We have used here  $\mu = 0.20 \text{ \AA}^{-1}$ , in accordance to the HSE06 parametrization<sup>44</sup> and corresponding to the distance  $2/\mu$  at which the short-range interactions become negligible. For  $\mu = 0$ , HSE06 reduces to the parent unscreened hybrid functional PBE0.<sup>45</sup> In terms of the one-electron Bloch states  $\phi_{\mathbf{k}n}(\mathbf{r})$  and the corresponding occupancies  $f_{\mathbf{k}n}$ , the sr Hartree-Fock exchange energy  $E_X^{\text{HF, sr}, \mu}$  can be written as

$$\begin{aligned} E_X^{\text{HF, sr}, \mu} &= -\frac{e^2}{2} \sum_{\mathbf{k}n, \mathbf{q}m} 2w_{\mathbf{k}} f_{\mathbf{k}n} \times w_{\mathbf{q}} f_{\mathbf{q}m} \\ &\times \iint d^3\mathbf{r} d^3\mathbf{r}' \frac{\text{erfc}(\mu |\mathbf{r} - \mathbf{r}'|)}{|\mathbf{r} - \mathbf{r}'|} \\ &\times \phi_{\mathbf{k}n}^*(\mathbf{r}) \phi_{\mathbf{q}m}(\mathbf{r}) \phi_{\mathbf{q}m}^*(\mathbf{r}') \phi_{\mathbf{k}n}(\mathbf{r}'), \end{aligned} \quad (8)$$

from which one can then derive the corresponding nonlocal sr Hartree-Fock exchange potential.<sup>46</sup> In the past few years, the application of the HSE06 to a wide class of solid-state systems, including archetypical benchmark examples,<sup>47</sup> transition metal oxides,<sup>21,48,49</sup> dilute magnetic semiconductors,<sup>50,51</sup> multiferroics,<sup>52</sup> and magnetic perovskites,<sup>53</sup> has demonstrated that HSE06 delivers a substantially improved description of ground-state structural, electronic, magnetic, and vibrational properties with respect to the standard PBE and PBE + U. However, the use of hybrid functionals for metals is less satisfactory, because of the general significant overestimated bandwidth.<sup>47</sup>

As far as the technical aspects are concerned, PWSIC calculations have been carried out by use of 16-atom face-centered-cubic (fcc) supercells [i.e., 8 formula units (f.u.)], cut-off energies of 40 Ry, reciprocal space integration over  $6 \times 6 \times 6$  and  $10 \times 10 \times 10$  special  $k$ -point grids for self-consistency, and density-of-states (DOS) calculations, respectively. VASP

calculations have been performed using a 4-f.u. unit cell, an energy cutoff of 25 Ry, a  $4 \times 8 \times 4$   $k$ -point mesh, and a standard HSE mixing parameter  $a = 0.25$ . The SIESTA calculation was performed using a 4-f.u. cell with a  $6 \times 11 \times 6$   $k$ -point mesh, a real space mesh cutoff of 800 Ry, and a double- $\zeta$  polarized basis. Pressures were evaluated using the Birch-Murnaghan equation of state.<sup>54</sup>

Monte Carlo simulations of the classical two-parameter Heisenberg model have been carried out for a spin-lattice system of size  $L = 12$  (i.e.,  $N = L^3$  total lattice sites). We determined ground-state magnetic ordering and critical temperature by simulated annealing for each pair of *ab initio*-calculated  $J_1$  and  $J_2$  parameters characterizing the magnetic structure (see the next section) at each lattice constant and for each method. In order to test finite-size effects on the results some annealing with  $L = 20$  was also performed. The annealing was done over 30 temperature points, starting from high temperature (roughly twice the critical temperature as estimated by few trial runs) to  $T = 0$ , with  $10^6$  sweeps at each temperature. The annealing protocol is the usual Metropolis algorithm based on single spin update.

### III. MAGNETIC STRUCTURES AND THE HEISENBERG MODEL

TMO have a rock-salt structure (see Fig. 1), so each TM has 12 nearest-neighbor (NN) and 6 next-nearest neighbors (NNN). The NNN are connected through oxygen bridges,

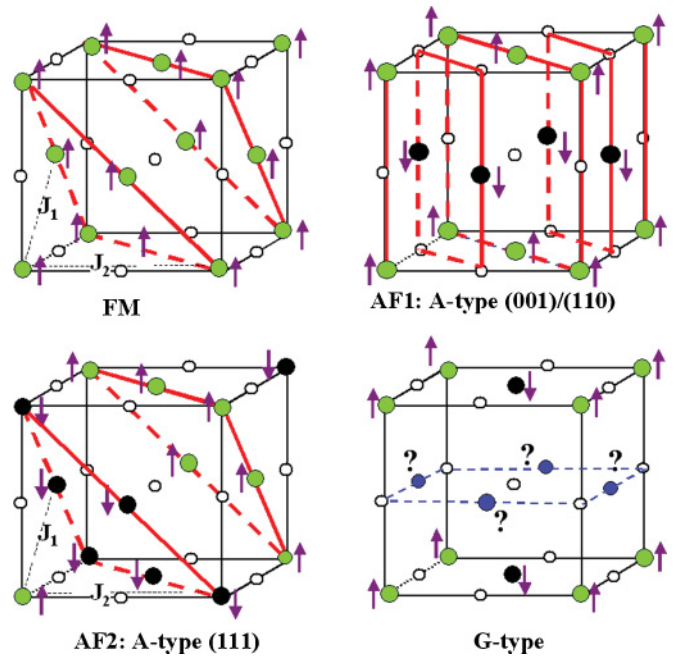


FIG. 1. (Color online) Magnetic phases used for the Heisenberg model fit: FM; AF<sub>2</sub> that is built of alternating (111) planes of like spins [highlighted by thick (red) lines]; AF<sub>1</sub>, made of alternating (011) [or equivalently (001)] planes of like spins, delimited by (red) thick lines. The highly frustrated G-type AF phase is also shown for comparison. Solid light (green, up-spin) and black (down-spin) circles indicate TM atoms; small open circles denote oxygens. For the G-type phase (blue), solid circles marked with a question mark indicate TM atoms with frustrated spin direction.

and their interaction  $J_2$  is dominated by superexchange. On the other hand, NN interact via a typically smaller exchange coupling  $J_1$  whose sign may depend on the specific TMO;  $J_1$  involves direct TM-TM exchange (giving a robust AF contribution) and a  $90^\circ$ -oriented TM-O-TM superexchange (expected to be weakly FM). The observed ground-state magnetic phase is antiferromagnetic (111) A type, labeled AF<sub>2</sub> hereafter. It can be seen as a stacking of (111) planes of like spin alternating along the [111] direction, as illustrated in Fig. 1. In AF<sub>2</sub> each TM has six spin-paired intra-(111)-plane NN and six spin-antipaired inter-(111)-plane NN; on the other hand, all six NNN bonds are interplanar and antipaired. Thus, this configuration maximizes the energy gain associated to the NNN antiparallel spin alignment. As for beyond-NNN magnetic interactions, there is ample experimental<sup>55</sup> and theoretical<sup>16</sup> evidence that they can be safely discarded (e.g., according to inelastic neutron scattering<sup>55</sup> in NiO they are two orders of magnitude smaller than the dominant  $J_2$ . We explicitly checked this with the SIESTA code).

In order to evaluate  $J_1$  and  $J_2$  we need to consider at least two competing high-symmetry magnetic phases in addition to the observed AF<sub>2</sub>. Natural choices are the ferromagnetic (FM) order and the AF (110) A-type order with (110) spin-paired planes compensated along [110] (labeled AF<sub>1</sub>). AF<sub>1</sub> can also be seen as made of FM (001) planes alternating along [001] (see Fig. 1). The AF<sub>1</sub> phase has all the 6 NNN spin paired, 4 of the NN spin paired, and 8 NN spin antipaired. On the other hand, it is interesting to note that the G-type AF order (also depicted in Fig. 1) is strongly disfavored by frustration, since in fcc symmetry there is no way to arrange the 12 NN interactions in antiparallel fashion without conflict.

To extract  $J_1$  and  $J_2$  we fit our calculated total energies to a standard two-parameter classical Heisenberg Hamiltonian of the following form:

$$H = -J_1 \sum_{\langle i,j \rangle} \vec{e}_i \cdot \vec{e}_j - J_2 \sum_{\langle\langle i,j \rangle\rangle} \vec{e}_i \cdot \vec{e}_j, \quad (9)$$

where  $\langle i,j \rangle$  and  $\langle\langle i,j \rangle\rangle$  indicate summation over NN and NNN, respectively, and  $\vec{e}_i$  is the spin direction unit vector. Energies (per f.u.) are then expressed as:

$$\begin{aligned} E_{\text{FM}} &= E_0 - 6J_1 - 3J_2, \\ E_{\text{AF}_1} &= E_0 + 2J_1 - 3J_2, \\ E_{\text{AF}_2} &= E_0 + 3J_2. \end{aligned} \quad (10)$$

This is solved to give:

$$\begin{aligned} J_1 &= \frac{1}{8}(E_{\text{AF}_1} - E_{\text{FM}}), \\ J_2 &= \frac{1}{24}(4E_{\text{AF}_2} - 3E_{\text{AF}_1} - E_{\text{FM}}). \end{aligned} \quad (11)$$

With this choice of the Hamiltonian, negative and positive  $J$  values correspond to energy gain for spin-antiparallel and spin-parallel orientations, respectively.

Finally, we mention that several other anisotropic terms may, in principle, contribute to the Heisenberg Hamiltonian, related to short-range dipolar interactions favoring a preferential spin direction parallel to (111) planes and to rhombohedral distortions of the AF<sub>2</sub> phase consisting on a (111) interplanar contraction and slight change of the perfect  $90^\circ$  angle of the rock-salt cell, which causes a symmetry

TABLE I. Equilibrium lattice constants  $a_0$  (in Å) and bulk moduli  $B_0$  (in GPa) calculated in this work with various methods compared with experimental values. All values refer to the stable AF<sub>2</sub> magnetic ordering.

	LDA-PW	PSIC	LDA-LO	ASIC	PBE	HSE	Expt.
MnO							
$a_0$	4.38	4.35	4.35	4.35	4.47	4.41	4.43 <sup>a</sup>
$B_0$	158	194	177	199	145	170	151 <sup>b</sup> ,162 <sup>c</sup>
NiO							
$a_0$	4.15	4.09	4.09	4.06	4.19	4.18	4.17 <sup>d</sup>
$B_0$	234	269	270	287	183	202	180–220 <sup>e</sup>

<sup>a</sup>Reference 56.

<sup>b</sup>Reference 57.

<sup>c</sup>Reference 58.

<sup>d</sup>Reference 59.

<sup>e</sup>Reference 60.

breaking of  $J_1$  in two  $J_1^+$  and  $J_1^-$  values.<sup>21</sup> However, all these effects are quantified to be order-of-magnitude smaller than the dominant exchange-interaction energies (e.g., for NiO  $J_1^+ - J_1^- \sim 0.03$  meV according to neutron data<sup>55</sup>), thus the Heisenberg Hamiltonian written in Eq. (9) can be considered fully sufficient for our present purposes.

## IV. RESULTS

### A. Equilibrium structures

We have calculated total energies and pressures of MnO and NiO as a function of lattice parameter for the three magnetic phases FM, AF<sub>2</sub>, and AF<sub>1</sub>. Values of the equilibrium lattice parameter and bulk modulus for the stable AF<sub>2</sub> phase are reported in Table I, in comparison with the experimental values.

Results are quite satisfactory overall: Each method predicts an equilibrium lattice constant in good agreement (within 1–2%) with experiment for the AF<sub>2</sub> phase. It is well known that structural properties calculated by LDA or GGA can be good, or even excellent, although the electronic properties are poor.<sup>46,48</sup> The results for MnO and NiO are a case in point, as both LDA-PW and PBE stay within 1% from the experiment (the former in defect, the latter in excess), while the LDA-LO result is about 2% below the experimental value. As for beyond-local functionals, HSE slightly underestimates PBE results and, as a consequence, is quite close to experiment, while PSIC and ASIC underestimate their respective local-functional (LDA-PW and LDA-LO) references by  $\sim 1\%$  on average (a tendency also found<sup>31</sup> in other classes of oxides such as titanates and manganites).

In Figs. 2 and 3 the calculated pressures for, respectively, MnO and NiO are reported as a function of lattice parameters for the three magnetic phases. The behavior in the region around the equilibrium lattice constant is expressed by the calculated bulk modulus ( $B_0$ ) in Table I. The advanced functionals coherently give an increase of  $B_0$  by  $\sim 20$ – $30$  GPa ( $\sim 15\%$ ) with respect to their respective local functionals. This increase is a consequence of the enhanced  $3d$  state localization and concomitant increase in Coulomb repulsion under compression which is expected from beyond-local



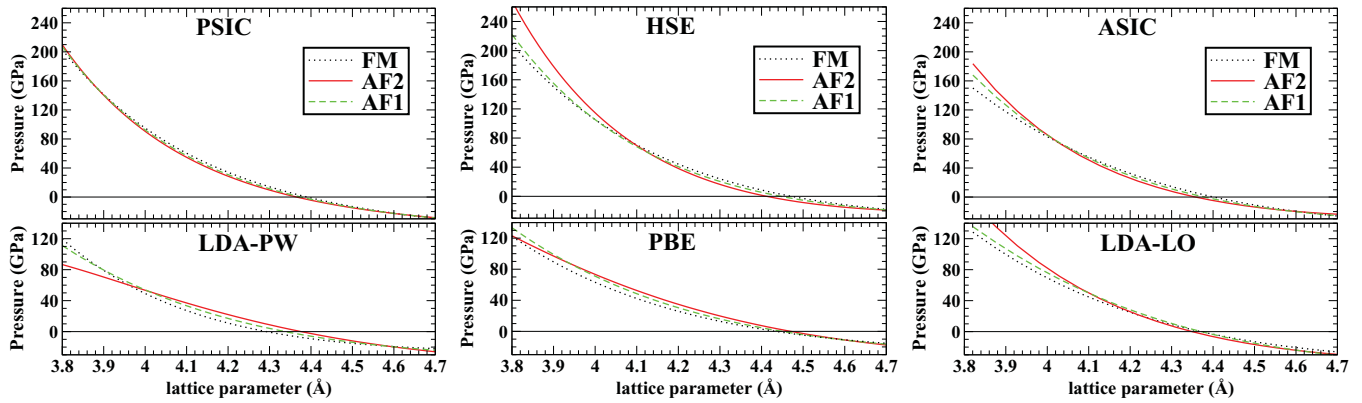


FIG. 2. (Color online) Calculated pressure for MnO in the three considered magnetic phases (see text). Each panel reports results obtained by a different energy functional or methodology considered in this work.

approaches. Concerning the agreement with experiment, both PBE and HSE give values within the reported experimental uncertainty. In contrast, LDA-PW gives  $B_0$  at the higher end of the experimental error bar, thus that the 15% further increase caused by PSIC pushes the value of  $B_0 \sim 40\text{--}50$  GPa above the experiment. Hence the discrepancy should be seen as due to the LDA-PW performance (and to the characteristics of the used pseudopotentials) rather than as a failure of the PSIC method in itself. This trend can also be observed for the local orbital basis, where the LDA results for  $B_0$  are already slightly above the the experimental values, and the addition of the ASIC corrections simply pushes the bulk modulus further away.

A very interesting feature that emerges consistently from all the methods is the quite similar pressure dependence for different magnetic orderings, especially evident for NiO. This looks surprising at first glance, especially at strong compressive pressure, where (as discussed later on) changes in the magnetic ordering are related to metal-insulating transitions and to radical changes in the electronic properties. The explanation is that  $e_g$  electrons (strongly hybridized with O  $p$  states) govern the electronic and magnetic properties but have only a minor effect on the response to applied hydrostatic pressure. In MnO, where  $t_{2g}$  states are also magnetically active, pressure is slightly more sensitive to the specific magnetic ordering (in Fig. 2 AF<sub>2</sub> tends to differ from AF<sub>1</sub> and FM, which almost overlap each other). It should also be noted that in MnO, for very contracted lattice constants, the advanced functionals give pressures a factor of 1.5–2 larger than those of

the corresponding local functionals, depending on the method and specific magnetic phase. At variance, for NiO, advanced and local functionals give pressures in the same range. This reflects the larger effect of the advanced functionals on the half-filled  $t_{2g}$  shell of MnO, which is pushed down in energy and increases its spatial localization and its Coulomb repulsion under compression, than on the filled  $t_{2g}$  shell of NiO.

## B. Magnetic properties on applied pressure

### 1. Magnetic phase diagram under pressure

Figures 4 and 5 summarize our findings concerning phase stability and magnetic moments for MnO and NiO. Each panel reports results obtained by a given energy functional for relative magnetic energies (with respect to the most stable magnetic ordering) and their corresponding magnetic moments as a function of lattice constant. Columnwise, panels are ordered according to the code used: LSDA-PW and PSIC results (left, PWSIC); PBE and HSE results (center, VASP); and LSDA-LO and ASIC results (right, SIESTA).

We start our analysis from MnO results given by LDA-PW, LDA-LO, and PBE (top panels of Fig. 4). We can capture immediately the substantial similarity of the three approaches: at large volumes all have a region where AF<sub>2</sub> is the most stable phase and insulating, a metallic AF<sub>1</sub> region  $\sim 50\text{--}100$  meV above AF<sub>2</sub>, and a metallic FM region  $\sim 100\text{--}150$  meV above AF<sub>2</sub>. Going from a large- to small-lattice region, all three methods report a phase transition (indicated by the vertical

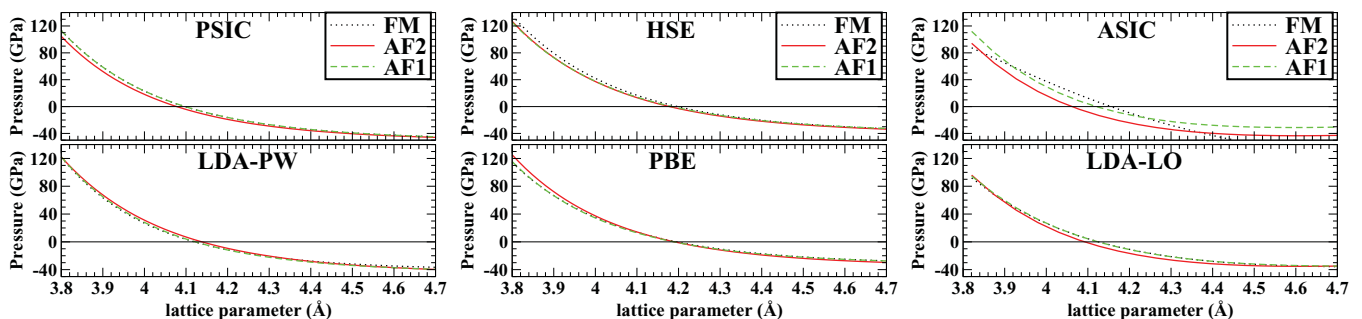


FIG. 3. (Color online) Calculated pressure for NiO in the three considered magnetic phases (see text). Each panel reports results obtained by a different energy functional or methodology considered in this work.

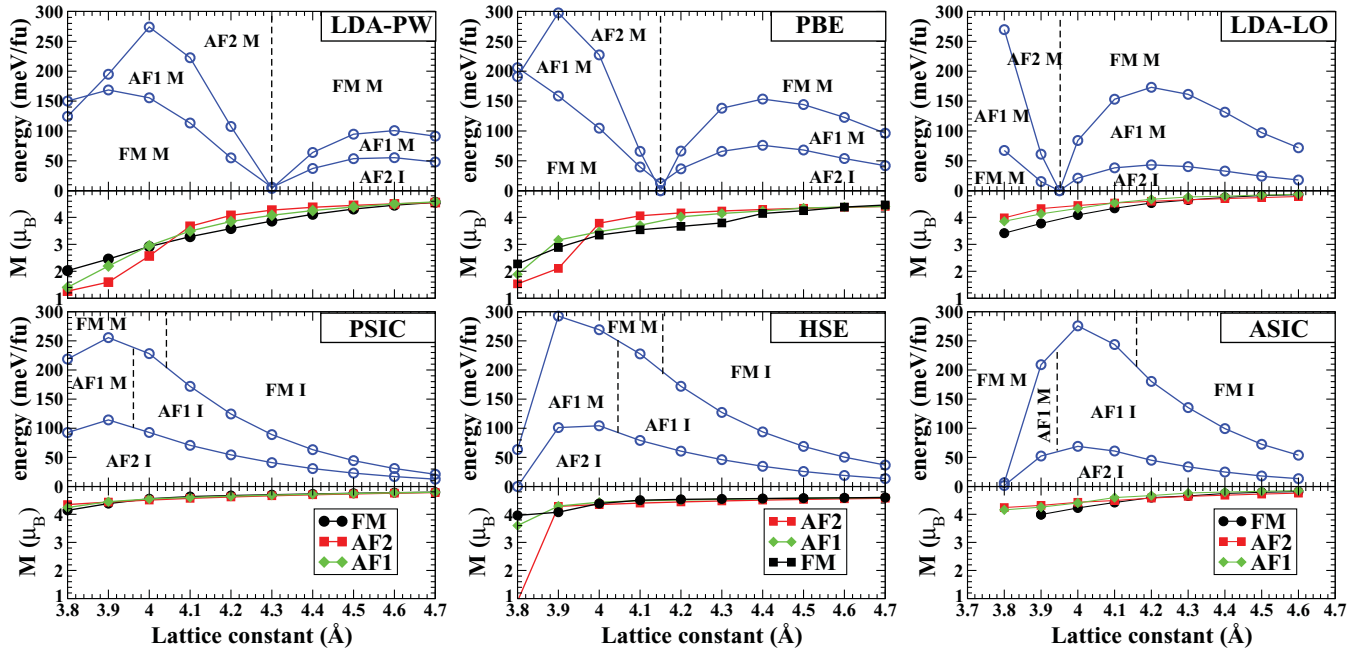


FIG. 4. (Color online) Total energies (relative to the ground state) and magnetic moments of FM, AF<sub>1</sub>, and AF<sub>2</sub> phases of MnO as a function of lattice parameter, calculated by LDA-PW and PSIC (left panels), PBE and HSE (center panels), LDA-LO and ASIC (right panels). The insulating (I) or metallic (M) character of each magnetic phase is also indicated. Vertical dashed lines indicate phase transitions.

dashed lines) through which the AF<sub>2</sub> phase yields to a metallic FM region as a ground state, which wins over higher-energy AF<sub>1</sub> and (further above) AF<sub>2</sub> metallic phases. The critical value of lattice parameter corresponding to the transition differs in the three approaches 4.3 Å in LDA-PW, 4.15 Å according to PBE, and 3.9 Å for LDA-LO. Looking at the

corresponding magnetic moments, LDA-PW and PBE show a similarly gradual moment decay when going from 4.7 Å up to a threshold of 4.0 Å (LDA-PW) and 4.1 Å (PBE), corresponding, in the pressure scale, to ~40 GPa and 80 GPa, respectively. Below this threshold, magnetic moments fall quite abruptly down to ~2  $\mu_B$  at 3.8 Å for the stable FM

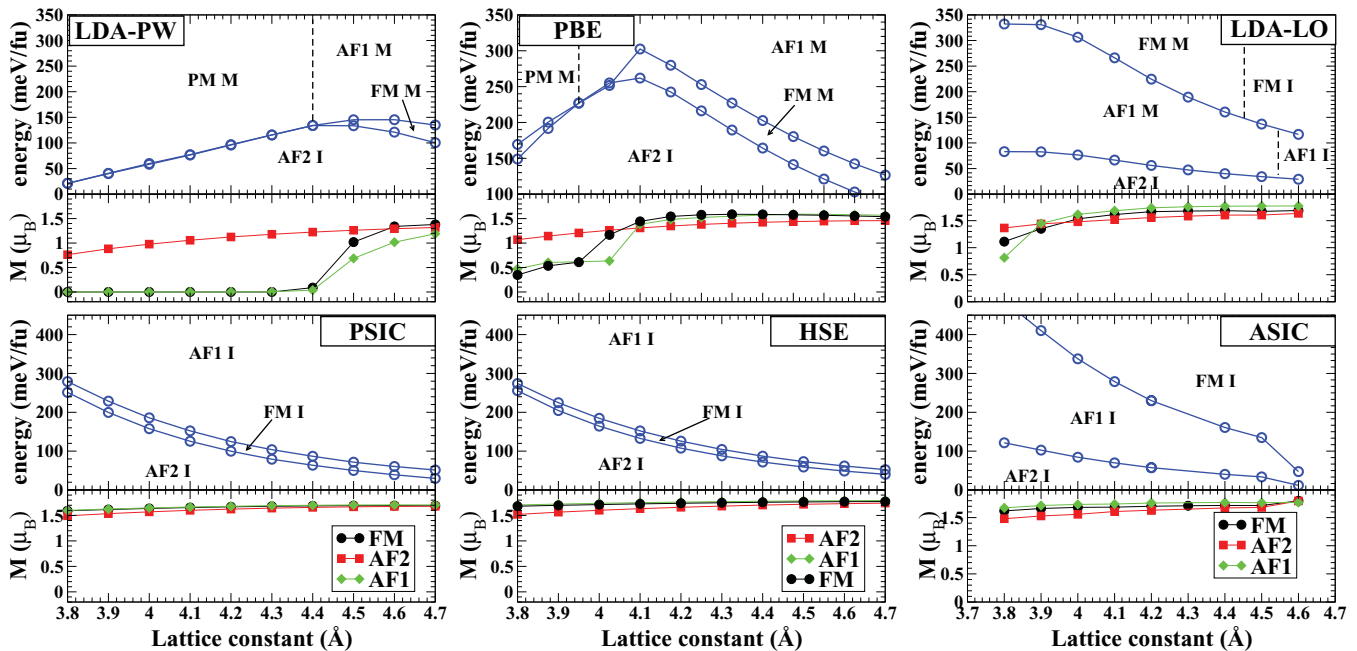


FIG. 5. (Color online) Total energies (relative to the ground state) and magnetic moments of FM, AF<sub>1</sub>, and AF<sub>2</sub> phases of NiO as a function of lattice parameter, as calculated for the different methods used in this work: LDA-PW and PSIC calculations (left), PBE and HSE calculations (center), and LDA-LO and ASIC calculations (right). PM indicates Pauli paramagnetic ordering and I and M insulating and metallic character, respectively. Vertical dashed lines indicate phase transitions.

metallic phase (note that this unphysical collapse described by LDA-PW and PBE is not related to the true moment collapse<sup>27</sup> in MnO at much higher pressures). At variance, moments calculated by LDA-LO decay gradually through the whole lattice constant range, without collapsing as in LDA-PW and PBE. This difference reflects the persistence of the AF<sub>2</sub> stability in a larger parameter range described by LDA-LO.

We now analyze the results obtained within beyond-local functionals. Overall, the picture differs radically: for the whole range of lattice parameters, the AF<sub>2</sub> insulating phase is robustly the ground state, and the spurious phase transition discussed above is absent. Furthermore, all three approaches coherently report a stability enhancement (i.e., a roughly linear energy gain) of AF<sub>2</sub> for decreasing lattice constant. This effect is indeed expected as a consequence of the increased Mn *d*-O *p* covalency and the related strengthening of AF superexchange coupling. The AF<sub>2</sub> maximum stability is reached at  $\sim 3.9$  Å according to PSIC (corresponding to an applied compression of  $\sim 130$  GPa) and at  $\sim 4.0$  Å for ASIC ( $P \sim 130$  GPa) and HSE ( $P \sim 90$  GPa). The peak of AF<sub>2</sub> energy gain with respect to the equilibrium structure is nearly 100%, from  $\sim 50$  meV/f.u. to more than 100 meV/f.u. according to HSE and PSIC. Above AF<sub>2</sub> all our advanced functionals favor the AF<sub>1</sub> phase, that, at variance with the always insulating AF<sub>2</sub> phase, undergoes a metal-insulating transition at 3.97 Å (PSIC), 3.95 Å (ASIC), or 4.05 Å (HSE). Above AF<sub>1</sub> resides an FM region, again separated in large-volume insulating and small-volume metallic sides, with an insulating-metal transition threshold of 4.07 Å for PSIC and 4.15 Å for both ASIC and HSE. This consistency is also reflected in similar values of magnetic moments through the whole lattice constant range: all methods describe a moderate decline from  $\sim 4.7 \mu_B$  at 4.7 Å to  $\sim 4.0$ – $4.2 \mu_B$  (depending on the specific magnetic phase) at  $a = 3.8$  Å.

Interestingly, the remarkably coherent picture delivered by our advanced functionals for MnO is not limited to predicting the same ground state but also involves ordering and energy differences among the three magnetic phases. This is instrumental to coherently describe finite-temperature properties as well, as shown in Sec. IV B 3.

We now move to the analysis of NiO results, summarized in Fig. 5, starting again from the phase stability diagram drawn by the three local functionals (upper panels). At variance with what is seen for MnO, all the methods now give the insulating AF<sub>2</sub> phase as stable at any lattice constant; however, the competition with the other two orderings is described differently. According to LDA-PW, moving from large- to small-lattice constants, there is, first, a tiny region where the AF<sub>2</sub> stability increases, reaches maximum at 4.4 Å (thus much above the equilibrium value), and then start falling linearly all the way down to 3.8 Å. Furthermore, above AF<sub>2</sub>, the LDA-PW predicts a coexistence of degenerate AF<sub>1</sub> and FM metallic phases. This scenario can be rationalized looking at the magnetic moments for AF<sub>1</sub> and FM calculated in LDA-PW: Starting from the large-lattice constant value of  $\sim 1 \mu_B$ , the magnetic moment falls rapidly and vanishes altogether just at 4.4 Å (i.e., still above the equilibrium value of 4.35 Å). Below this threshold the LDA-PW describes a metallic, Pauli paramagnetic region. On the other hand, the expected Mott-insulating behavior is maintained only in the

AF<sub>2</sub> phase. This feature represents a major shortcoming that seriously hampers the effectiveness of LDA-PW to describe NiO.

PBE shows a similar, although slightly less dramatic, failure, since the moment collapse starts to show up for smaller lattice constant values (4.0 Å for FM and 4.1 Å for AF<sub>1</sub>, thus definitely below the equilibrium 4.19 Å), and the magnetic moment is severely reduced to about  $0.5 \mu_B$ , without vanishing completely. Quite distinct is the behavior of LSDA-LO, which shows two distinct energy-separated phases, AF<sub>1</sub> above AF<sub>2</sub> and then FM further above, both undergoing metal-insulating transitions when moving from smaller to larger lattice parameters. This peculiarity is clearly reflected in the calculated magnetic moment: There is no collapse at least until 4.0 Å, and then a rapid downfall starts, but the moments remain quite sizable up to 4.0 Å, at variance with what seen for PBE and LSDA-PW and consistently with what is found for MnO as well. This signals the tendency of LDA-LO to conserve sizable Mott gap and magnetic moments in a range of lattice parameters where plane-wave-based implementations describe the system as already collapsing to metallic paramagnets (as explicitly shown later on in the DOS analysis).

Moving to the analysis of beyond-LDA functionals, all of them consistently predict the large enhancement of AF<sub>2</sub> stability on lattice constant decrease in a wide range around the equilibrium value. The artificial moment collapse described by the local functionals is absent, and all the magnetic phases remain insulating through the whole lattice constant range. All methods find magnetic moments of about  $1.7$ – $1.8 \mu_B$  at large-lattice constants and a very moderate decrease to  $\sim 1.5 \mu_B$  at the smallest lattice constant considered (3.8 Å). Particularly striking is the agreement between HSE and PSIC, both describing a tiny FM region intermediate between AF<sub>2</sub> and AF<sub>1</sub> and a parabolic rise of the AF<sub>2</sub> energy gain from  $\sim 50$  meV at 4.7 Å up to  $\sim 250$  meV at 3.8 Å. On the other hand, ASIC gives a different phase ordering (AF<sub>2</sub>-AF<sub>1</sub>-FM), with a smaller increase of the AF<sub>2</sub> relative stability from  $\sim 0$  meV at 4.7 Å to  $\sim 120$  meV at  $a = 3.8$  Å.

In order to clarify the difference in the magnetic moments under pressure obtained by the different methods, we have calculated the orbital-resolved DOS for NiO at two representative lattice constants,  $a = 4.5$  Å and  $a = 4.0$  Å, corresponding to situations of tensile and compressive strain. The results are shown in Fig. 6.

Starting from the local-functional results at 4.0 Å, we notice a radical difference between the two LDA implementations. LDA-LO predicts a metallic ground state for both the FM and AF<sub>1</sub> phases, with sizable  $e_g$  spin splitting and substantial magnetic moments on Ni, whereas the AF<sub>2</sub> phase is found insulating, with a  $\sim 1$  eV energy gap. On the other hand, according to LDA-PW, the FM and AF<sub>1</sub> phases are Pauli paramagnetic, with perfectly compensated spin densities, while in the AF<sub>2</sub> phase a barely visible gap opens up within the  $e_g$  manifold. PBE results stand somewhat in the middle of the previous two descriptions: for FM and AF<sub>1</sub> some Pauli magnetization shows up in the upper  $e_g$  manifold, whereas for AF<sub>2</sub> the magnetic moment is formed, and a Mott gap is about to open. Even at  $a = 4.5$  Å some differences among the local functionals are detectable: According to LDA-LO a minimal

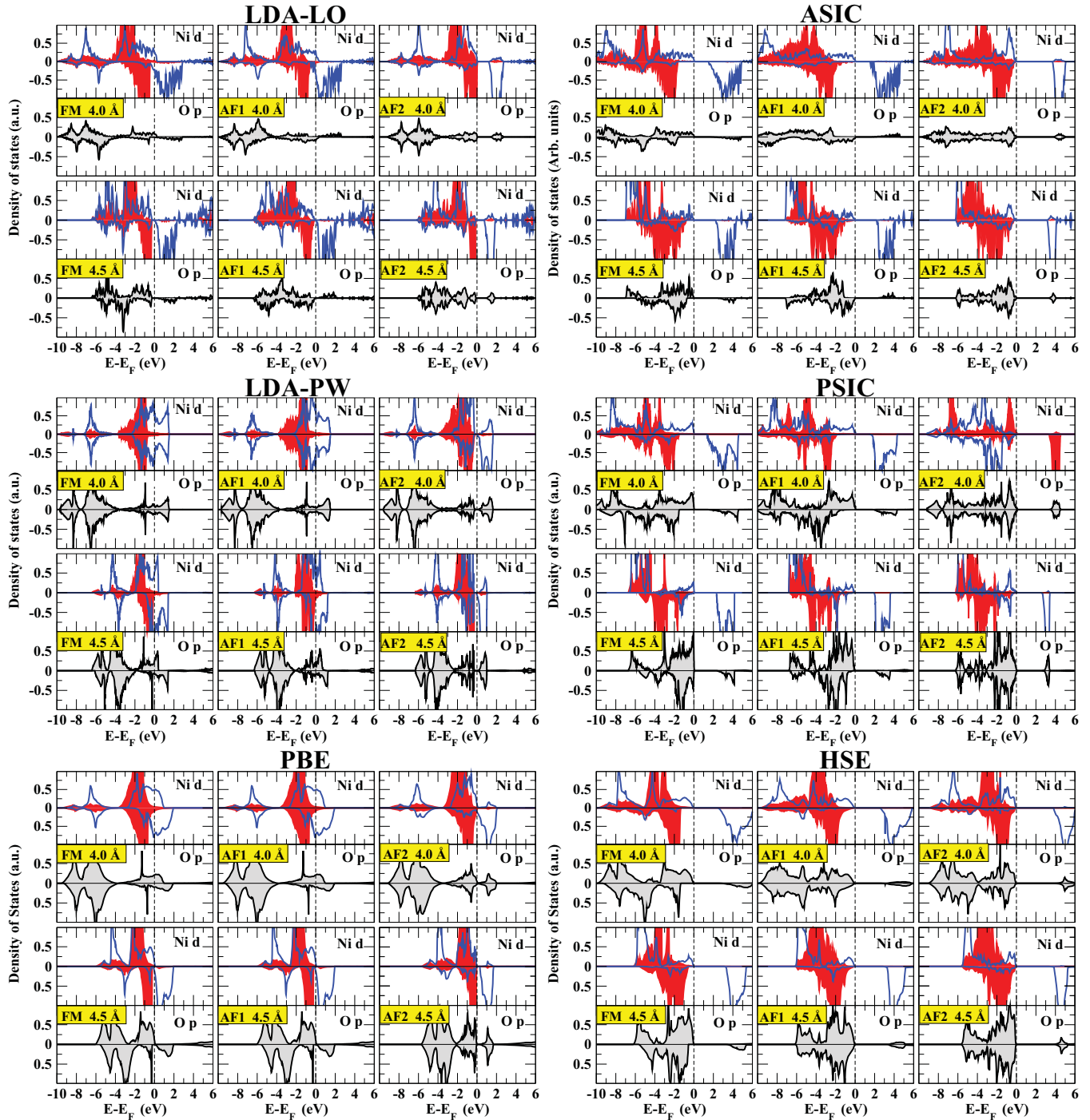


FIG. 6. (Color online) Orbital-resolved DOS for NiO calculated at two lattice constant values (4.0 Å and 4.5 Å) with all our employed functionals: LDA-LO (top left), ASIC (top right), LDA-PW (middle left), PSIC (middle right), PBE (bottom left), and HSE (bottom right). Only the DOS for the relevant orbitals are shown: O  $p$  (solid gray curves) and Ni  $d$ , separated in  $t_{2g}$  (solid red curves) and  $e_g$  (solid blue lines) contributions. Positive and negative curves represent majority and minority contributions.

gap is opened for each phase, whereas for LDA-PW only the AF<sub>2</sub> is insulating. PBE provides well-formed magnetic moments in each phase but only AF<sub>2</sub> is clearly insulating.

Going to the results for beyond-local functionals, the expected picture of the wide-gap intermediate charge-transfer/Mott insulator described by the experiments<sup>61–63</sup> is restored. The DOS is now that of a robust insulator under

both lattice expansion and compression, with a valence band top populated by a mixture of O  $p$  and Ni  $d$  states and the conduction bottom with a majority of  $e_g$  and a minor fraction of O  $p$  states. The energy gap for the AF<sub>2</sub> phase ( $\sim 3$  eV for ASIC and  $\sim 3.5$  eV for both PSIC and HSE) is in good agreement with the experimental value, and even FM and AF<sub>1</sub> phases show sizable gaps of about 1–2 eV. Overall, the similarity of



spectral redistributions for  $e_g$ ,  $t_{2g}$ , and O  $p$  states (especially for HSE and PSIC) is remarkable.

In summary, for both MnO and NiO, beyond-local functionals deliver a very coherent description of relative phase stabilities in the whole examined range of lattice parameters and predict a clear enhancement of the AF<sub>2</sub> phase relative stability (not described by local functionals) within a wide lattice parameter interval, which suggests the possibility of an enhancement of the magnetic ordering Néel temperature ( $T_N$ ) on applying compressive stress. Before exploring the validity of this expectation we will first discuss the evolution of the magnetic coupling constants on compression.

## 2. Exchange interactions under pressure

A few qualitative considerations on magnetic interactions can help to correctly interpret our results. Our calculations find the  $T = 0$  magnetic ground state to be the observed AF<sub>2</sub> for both MnO and NiO; however, the detailed magnetic interactions suggest two different scenarios. Magnetic coupling between Mn<sup>2+</sup>  $3d^5$  ions is mediated by half-filled orbitals (thus  $J_1$  mainly by  $t_{2g}$ - $t_{2g}$  and  $J_2$  by  $e_g$ - $e_g$  couplings), which are both robustly AF oriented according to superexchange theory.<sup>1,64</sup> Thus we expect  $J_1$  and  $J_2$  to be both sizable and negative (i.e., AF in our present convention). For Ni<sup>2+</sup>  $d^8$  ions, on the other hand, only  $e_g$ - $e_g$  coupling is magnetically active. Hence, we expect a large and negative  $J_2$ , due to the dominance of covalent superexchange, very small and positive  $J_1$ , due to superexchange-mediated 90°-oriented  $e_g$ -(O  $p_x, p_y$ )- $e_g$  orbital coupling, and, therefore, huge  $J_2/J_1$  values.

These expectations are largely confirmed by our results: in MnO (Fig. 7)  $J_1$  and  $J_2$  roughly track each other as function of the lattice parameter irrespective of the calculation method. However, it is of the utmost importance to observe the dramatic difference between the description of local and advanced functionals: looking at LDA-PW results [Fig. 7(a)]  $J_1$  and  $J_2$  are moderately negative at expanded lattice and then, on lattice shrinking, they both change sign and grow to a maximum value at  $\sim 4$  Å and, finally, fall back down as the lattice shrinks further. The PSIC almost completely reverses this behavior:  $J_1$  and  $J_2$  nearly vanish at 4.7 Å (signaling a shorter interaction range with respect to LDA) and then grow steadily (in absolute value) on the negative side as the lattice squeezes up. Curiously, LDA-PW and PSIC curves intersect each other at  $\sim 4.4$  Å, but this agreement near the equilibrium lattice is just a fortuitous crossing of two otherwise radically different behaviors. Note, finally, that, while in PSIC,  $J_2/J_1 > 1$  at any lattice parameter, in LDA-PW the exchange interaction ratio fluctuates as function of the lattice constant.

The considerations exposed for LDA-PW and PSIC can be identically repeated for PBE and HSE, respectively [the similarity of curves is apparent comparing Figs. 7(a) and 7(b)]. The behavior of LDA-LO [Fig. 7(c)] is more peculiar: as previously noted, the local-orbital implementation seems to alleviate the LDA level, though only partially and perhaps accidentally, some of the deficiencies of the local functionals related to the self-interaction problem. It turns out, indeed, that the exchange interactions determined by LDA-LO show characteristics similar to those depicted by the nonlocal functionals: at large-lattice parameter both  $J_1$  and  $J_2$  are

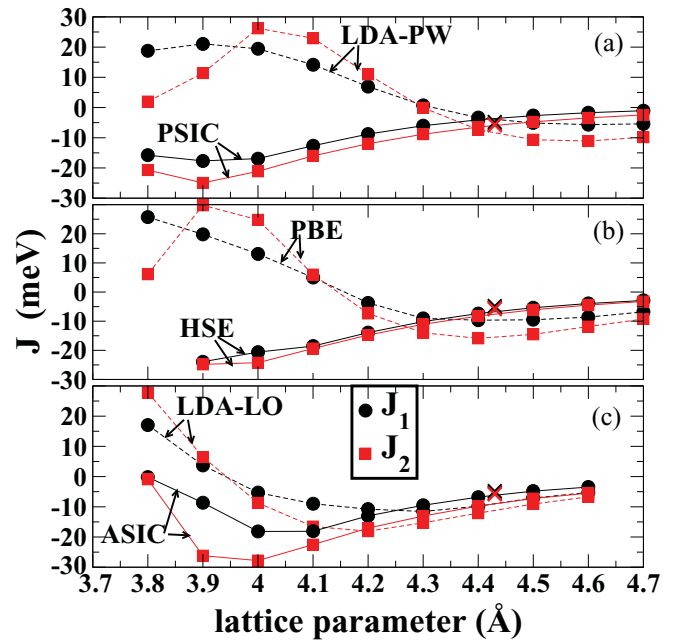


FIG. 7. (Color online) Exchange-interaction parameters  $J_1$  (black solid circles) and  $J_2$  (red solid squares) as a function of lattice parameter calculated for MnO with various approaches. From top to bottom: (a) with the PWSIC code using LDA-PW and PSIC functionals; (b) with the VASP code using PBE and HSE functionals; (c) with the SIESTA code using LDA-LO and ASIC functionals. Dashed lines refer to local density functional (LDA-PW, PBE, and LDA-LO) calculations, and solid lines represent beyond-local density functionals calculations (PSIC, HSE, and ASIC). Black and red crosses show experimental values for  $J_1$  and  $J_2$ , respectively, reported for MnO in Table II.

vanishing and negative and then correctly grow negative on lattice shortening to 4.2 Å (thus well below the equilibrium structure) but then revert slope and turn positive below 4.0 Å. The ASIC, on the other hand, restores the correct description already seen for PSIC and HSE through the whole lattice range.

We now examine NiO (Fig. 8). As expected, the relative weight of  $J_1$  and  $J_2$  differs markedly: All functionals (both local and beyond-local) describe  $J_1$  as very small and positive at any lattice value (black symbols). On the other hand, the large and negative  $J_2$  is again differently described by the two sets of functionals. For LDA-PW/PBE and PSIC/HSE (Fig. 8) we can repeat most of the considerations made for  $J_2$  in MnO: The behavior with the lattice parameter is roughly inverted, although the two curves cross at different values of the lattice constant (4.2 Å for LDA/PSIC and 3.9 Å for PBE/HSE). LDA-LO is again a case by itself, as it appears to provide results not far from the ASIC ones in the lattice region above 4.2 Å. ASIC qualitative behavior for both  $J_1$  and  $J_2$  is in line with that of PSIC and HSE, although its  $J_2$  appears amplified by nearly a factor 2. In consideration of what we have seen so far, the reason for this difference seems to reside more in the methodology (i.e., technical implementation: plane wave versus atomic orbitals) than in the employed functional.

From a phenomenological viewpoint, it is important to note the appreciable growth of the exchange interactions for decreasing lattice parameter in a wide region extending

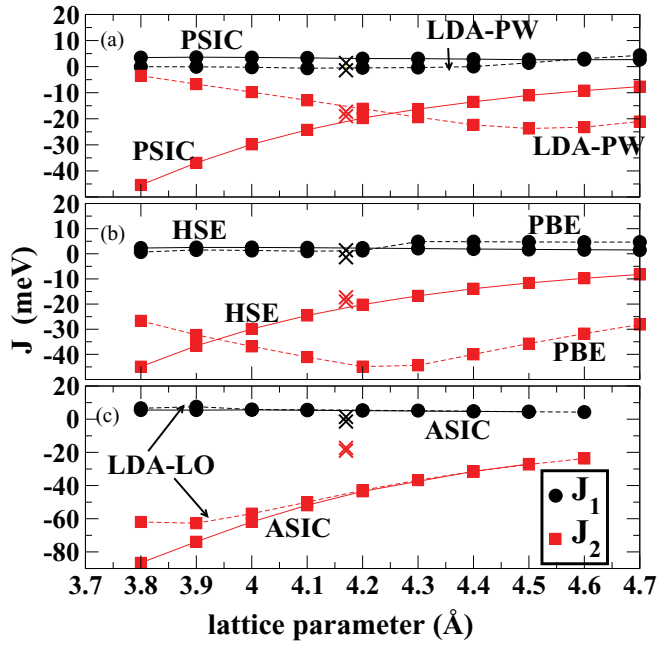


FIG. 8. (Color online) Exchange-interaction parameters  $J_1$  (black solid circles) and  $J_2$  (red solid squares) as a function of lattice parameter calculated for NiO with various approaches. From top to bottom: (a) with the PWSIC code by LDA-PW and PSIC functionals; (b) with the VASP code using PBE and HSE functionals; (c) with the SIESTA code using LDA-LO and ASIC functionals. Dashed lines refer to local density functionals calculations (LDA-PW, PBE, and LDA-LO), whereas solid lines represents beyond-local density functionals calculations (PSIC, HSE, and ASIC). Black and red crosses show experimental values for  $J_1$  and  $J_2$ , respectively, reported for NiO in Table II.

well below the equilibrium value (i.e., for large applied compression), coherently described by all our beyond-local functionals. In the next section we will illustrate the remarkable reverberations of this behavior on the magnetic ordering temperature. For now, we remark that this is the expected behavior of the so-called covalent exchange, i.e., the shorter the TM-O distance, the stronger the energetic advantage for the O  $2p$  ligand states to overlap with the adjacent TM  $3d$  states with unlike spins. This advantage reaches a maximum at a certain compression, after which the  $J$ 's start falling. This is the point when the pressure is so strong that minority DOS begins to be appreciably populated and in turn magnetic moments start falling.

Overall, the comparative analysis of the three beyond-local functionals is very satisfying, as they furnish a qualitatively and quantitatively coherent and physically sound description of exchange interactions under pressure for MnO and NiO. There is a large body of data in literature, both theoretical and experimental, with which to compare our data, at least at equilibrium. In Table II we report our values for the theoretical equilibrium structure in comparison with the experimental values and other theoretical predictions obtained by several density functional-based approaches (see Sec. I; we neglect tight-binding or shell-model results, which rely on experimental fitting).

TABLE II. Exchange interaction parameters for MnO and NiO (in meV) calculated in this work, compared to experimental and theoretical values from previous works.

	MnO		NiO	
	$J_1$	$J_2$	$J_1$	$J_2$
	Experiment			
INS <sup>a</sup>	-4.8	-5.6	INS <sup>b</sup>	1.4 -19.0
TD <sup>c</sup>	-5.4	-5.9	TD <sup>d</sup>	-1.4 -17.3
	This work: Local functionals			
LDA-PW	-2.7	-6.3	LDA-PW	-0.5 -14.7
LDA-LO	-10.6	-13.6	LDA-LO	5.4 -43.5
PBE	-9.5	-14.9	PBE	1.2 -44.5
	This work: Advanced functionals			
PSIC	-5.0	-7.6	PSIC	3.3 -24.7
HSE	-7.0	-7.8	HSE	2.3 -21.0
ASIC	-8.0	-11.3	ASIC	5.2 -45.0
	Previous calculations			
LSDA <sup>e</sup> (MFT)	-13.2	-23.5		
LDA + U <sup>c</sup> (MFT)	-5.0	-13.2	GGA+U <sup>g</sup>	1.7 -19.1
OEP <sup>c</sup> (MFT)	-5.7	-11.0	SIC-LMTO <sup>m</sup>	1.8 -11.0
PBE + U <sup>f</sup>	-4.4	-2.3	Fock-35 <sup>n</sup>	1.9 -18.7
PBE0 <sup>f</sup>	-6.2	-7.4	B3LYP <sup>n</sup>	2.4 -26.7
HF <sup>f</sup>	-1.5	-2.32	UHF <sup>n</sup>	0.8 -4.6
QPGW <sup>g</sup>	-2.8	-4.7	QPGW <sup>g</sup>	-0.8 -14.7
LSIC <sup>h</sup>	1.4	-3.3	LSIC <sup>h</sup>	2.8 -13.9
LSIC <sup>h</sup> (MFT)	-1.8	-4.0	LSIC <sup>h</sup> (MFT)	0.3 -13.8
B3LYP <sup>i</sup>	-5.3	-11.0		

<sup>a</sup>Reference 69.

<sup>b</sup>Reference 55.

<sup>c</sup>Reference 70.

<sup>d</sup>Reference 71.

<sup>e</sup>Reference 2.

<sup>f</sup>Reference 21.

<sup>g</sup>Reference 4.

<sup>h</sup>Reference 16.

<sup>i</sup>Reference 23.

<sup>j</sup>Reference 9.

<sup>k</sup>Reference 15.

<sup>l</sup>Reference 22.

The  $J$ 's reported in the table are determined by finite energy differences, unless otherwise specified. In some cases, the magnetic force theorem (MFT)<sup>65</sup> based on the exchange-correlation density-functional gauge invariance under infinitesimal spin rotations<sup>2,66</sup> was used. In Ref. 4, exchange interactions and the whole spin-wave spectrum was determined from the poles of spin susceptibility.<sup>67,68</sup> As for experiments, results from both inelastic neutron scattering (INS)<sup>55,69</sup> and thermodynamic data (TD)<sup>70,71</sup> are reported.

Comparison of  $J$  values given by different approaches and considerations on the level of agreement with the available experimental data must be taken very carefully. Differences of the order of a few meV may derive from technical implementation aspects rather than from the basic theory itself, as testified by the sizable difference of results obtained by the same theory in different calculations (e.g., our LDA-LO and LDA-PW). Concerning MnO, the comparison is further complicated by the close similarity of the two  $J$  values. It is expected that local functionals should overestimate the  $J$ 's,

due to the underestimation of intra-atomic exchange splitting and the related overestimation of  $p$ - $d$  hybridization. This is indeed verified with LDA-LO and PBE. Note, however, the relevant exception of LDA-PW (overall, the least accurate of the three local functionals) that deliver  $J$ 's in excellent agreement with the experiment: This fortuitous agreement was previously explained as a consequence of the unphysical AF<sub>2</sub>-to-FM transition occurring in LDA-PW near the equilibrium structure. On the other hand, our beyond-local functionals perform quite satisfactorily, apparently ranking among the closest to experiment both in absolute terms and concerning the  $J_2/J_1$  ratio (1.16 for INS data, 1.1 for HSE, and 1.4 and 1.5 according to ASIC and PSIC).

For NiO the analysis is simpler, as  $J_1$  is very small and a qualitative comparison can be done on the base of  $J_2$  only. We have already commented that the LDA-PW is grossly inadequate, and, thus, it can be left aside. As for LDA-LO and PBE, they deliver sizably overestimated  $J_2$ . As for beyond-local functionals, we have previously seen that that  $J$ 's calculated by PSIC and HSE almost overlap each other throughout the lattice parameter range. The agreement with values drawn from neutron experiments<sup>55</sup> is indeed quite satisfying. Nevertheless, the slight PSIC underestimation of the equilibrium lattice parameter (4.09 Å against the near experimentally matched 4.18 Å of HSE) reverberates in a  $\sim 15\%$  overestimation of  $J_2$ . On the other hand, ASIC delivers  $J$ 's that barely differ from the corresponding LDA-LO values and are amplified by nearly a factor 2 with respect to PSIC and HSE. Looking at previous literature, we found a substantial agreement of PSIC and HSE with GGA + U calculations of Ref. 9 (here the  $J$ 's are also calculated as a function of lattice parameter) and with other types of hybrid functionals<sup>22</sup> as well. On the other hand, both unrestricted HF,<sup>22</sup> the full SIC in the LMTO approach (SIC-LMTO),<sup>15</sup> and the local SIC (LSIC) (a KKR-based implementation of the self-interaction correction method<sup>16–18</sup>) tend to an excessive electronic localization, which, thus, turns into a slight underestimation of the exchange interactions.

### 3. Critical transition temperatures under pressure

Figure 9 reports critical temperatures for MnO and NiO calculated with the Heisenberg Hamiltonian given in Eq. (9) and solved through a classical Monte Carlo–(MC) simulated annealing technique.<sup>72</sup> Values calculated at equilibrium and at experimental volume are reported in Table III, in comparison with the experiment and other theoretical predictions.

We start discussing the case of MnO as described by our local density functionals. As expected, MC calculations describe fictitious phase transitions (consequence of the spurious magnetic moment collapse previously discussed) from AF<sub>2</sub> to FM metallic magnetic phase (highlighted by the dashed areas) while moving from large- to small-lattice parameters. The onset of this transition depends on the method. It is especially harmful in LDA-PW, as it occurs near (just below) the theoretical lattice value (4.35 Å), and much less damaging in LDA-LO, where it happens at a much larger lattice contraction (4 Å). PBE is halfway the two previous cases. In all cases, this phase transition dramatically alters the critical temperature behavior, which is expected to grow

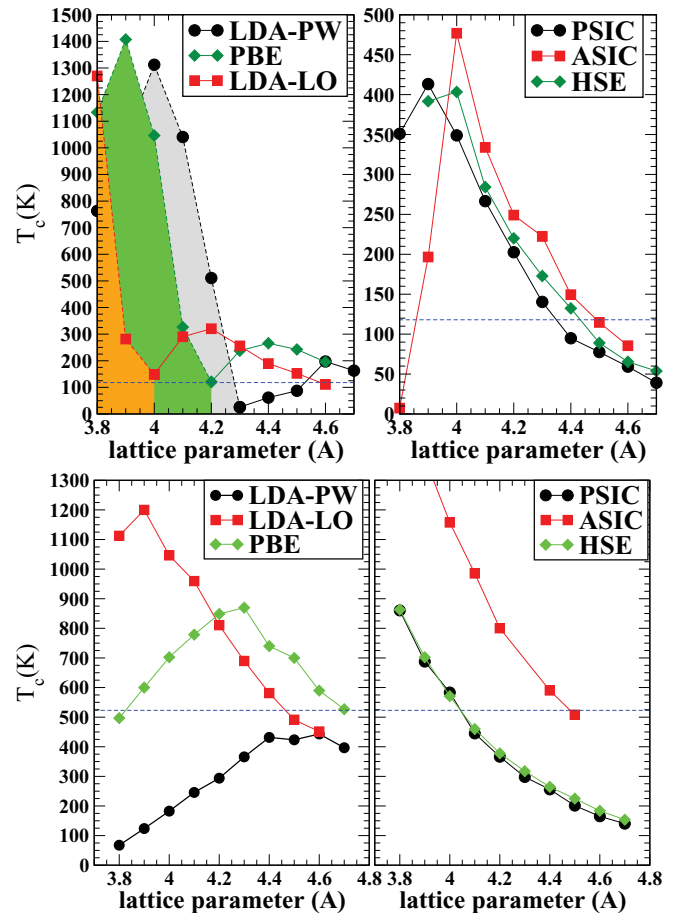


FIG. 9. (Color online) Critical temperatures as a function of lattice constant for MnO (top) and NiO (bottom) calculated by a simulated-annealing Monte Carlo simulation of the Heisenberg Hamiltonian in Eq. (9). The left and right panels separate local and advanced functionals used to determine each set of ( $J_1$ ,  $J_2$ ). The shaded areas in the top-left panel indicate FM metallic regions; apart from that, each curve separates low- $T$  insulating AF<sub>2</sub> from high- $T$  insulating PM regions (PM stands for Pauli paramagnetic ordering). The dashed horizontal lines indicate experimental  $T_N$  values.

(at least in some interval around equilibrium) as the lattice parameter contracts. Furthermore, in all cases, the predicted  $T_N$  (see Table III) remains quite distant from the experimental  $T_N = 118$  K (horizontal dashed line in the figure). Note, again, that while LDA-LO and PBE overestimate  $T_N$  at the equilibrium structure, the spurious phase transition in LDA-PW causes  $T_N$  to be smaller than the experimental values and, paradoxically, not too far (at experimental lattice constant) from the experiment. This is another manifestation of the fortuitous agreement already pointed out in the illustration of the exchange interactions.

Conversely, the advanced functionals deliver for MnO a nicely consistent picture, with  $T_N$  growing steadily from very large-lattice constants ( $T_N \rightarrow 0$ ) to 3.9–4.0 Å and peaking at  $\sim 410$  K (PSIC and HSE) or 480 K (ASIC). The corresponding pressure is around  $120 \pm 20$  GPa depending on the approach (see Fig. 2). There is a minor offset between the three methods, due to the slight increase in  $J$ 's moving from PSIC to HSE to ASIC. However, if calculated at their respective equilibrium

TABLE III. Critical temperatures (K) for MnO and NiO calculated in this work at equilibrium and experimental (in brackets) lattice constant, compared with experimental (neutron diffraction, ND) and theoretical values from previous works. As a reference we also report MC-calculated values obtained by using the experimental  $J$ 's (see text for discussion).

	MnO	NiO
	Experiment	
ND <sup>a, b</sup>	118	523
Expt. $J$ 's	85 <sup>c</sup>	340 <sup>d</sup>
Expt. $J$ 's	90 <sup>e</sup>	300 <sup>f</sup>
	This work: Local functionals	
LDA-PW	55 (71)	272 (280)
LDA-LO	220 (176)	967 (851)
PBE	249 (257)	824 (829)
	This work: Advanced functionals	
PSIC	116 (89)	458 (387)
HSE	125 (116)	393 (400)
ASIC	182 (137)	1048 (850)
	Previous works	
LSIC <sup>g</sup> (DLM)	126	336
LSIC <sup>g</sup> (RPA)	87	448
LSIC <sup>g</sup> (MC)	90	458
LDA <sup>h</sup> (MC)	423	965
LDA + U <sup>h</sup> (MC)	240	603
CED <sup>h</sup> (MC)	172	519

<sup>a</sup>Reference 73.

<sup>b</sup>Reference 74.

<sup>c</sup>Reference 69.

<sup>d</sup>Reference 55.

<sup>e</sup>Reference 70.

<sup>f</sup>Reference 71.

<sup>g</sup>Reference 16.

<sup>h</sup>Reference 3.

values, both PSIC and HSE predict a  $T_N$  that matches almost exactly the experimental value.

Moving to the analysis of NiO, the behavior of the three local functionals differs substantially, although PBE and LDA-LO fortuitously cross nearby the equilibrium value. Therefore, it is all the more remarkable that their beyond-local counterparts are capable of rebuilding a very consistent picture, with  $T_N$  linearly growing along with the decrease of lattice parameter. The near-overlap of HSE and PSIC is especially striking and already noted for the  $J$ 's. The ASIC curve appears translated upward by nearly a factor 2, coherently with the overestimate of the dominant  $J_2$  value. Note that for NiO there is no lattice parameter turning point in the considered range, thus  $T_N$  keeps growing up to 3.8 Å, corresponding to a pressure of about 100–120 GPa (see Fig. 3). The agreement with the experiment for NiO is much less outstanding than for MnO, as both HSE and PSIC remain below the experimental  $T_N = 523$  K by  $\sim 20\%$  (at the equilibrium structure the PSIC value is actually not too far from the experiment thanks to its underestimated lattice parameter), while ASIC is close to the much overestimated LDA-LO value.

The disagreement between *ab initio* theoretical estimates and the experimental value of  $T_N$  for NiO is typical and well documented in the literature (see, e.g., Ref. 16 and references

therein) and a full discussion on the subject is beyond our present scope. We remark only that the mismatch with HSE and PSIC is somewhat puzzling, considering the excellent agreement of the calculated  $J_2$  with the experimental value. In fact, using even experimental sets of  $J$ 's, the MC-calculated  $T_N$  would change our theoretical value only marginally: As a useful reference we also report in the table the  $T_N$  of MnO and NiO calculated by MC using sets of experimental  $J$ 's reported in Table II. It turns out that these  $T_N$  underestimate the directly measured  $T_N$  by  $\sim 30\%$  (they are even lower than those obtained with our calculated  $J$ 's, since the slight overestimation of our calculated  $J$ 's helps in shifting up the predicted  $T_N$ ). The discrepancy between experimental  $J$ 's and  $T_N$  points somewhat to possible inadequacies of the employed Heisenberg model, possibly due to further terms [not included in Eq. (9)] that might be important at the relatively high ordering temperature of NiO.

In Table III we compare our results with some previous theoretical predictions (we omit the many mean-field approximations that are known to grossly overestimate the critical temperature).<sup>1</sup> Reference 16 proposes  $T_N$  obtained by disordered local moments (DLM), random-phase approximations (RPA), and MC, based on the MFT-calculated  $J$ 's given in Table II. The DLM values are closest to our HSE and PSIC estimate, while RPA and MC values for NiO are larger than our values despite smaller  $J$ 's, due to the debatable inclusion in Ref. 16 of the quantum rescaling factor  $(S+1)/S$ .<sup>3</sup> Reference 3 also proposes MFT-calculated  $J$ 's, derived from LDA, LDA + U, and LDA plus the dynamical mean field approach solved through cluster exact diagonalization (CED). The latter seems to restore an outstanding agreement with the experimental  $T_N$  for NiO.<sup>75</sup>

## V. SUMMARY AND CONCLUSIONS

It is fair to affirm that the overall account of the structural, electronic, and magnetic properties of MnO and NiO provided by the advanced functionals is, overall, quite satisfying, internally consistent, and in good agreement with experiments. In particular, HSE shows a remarkable quantitative agreement with experiments on most examined properties; the PSIC, perhaps surprisingly when considering the substantially different conception at the basis of their theoretical constructions, provides results that are quite comparable with HSE results and, in some cases, in spectacular quantitative agreement (e.g., the NiO exchange interactions vs. lattice constant). An important persistent shortcoming of PSIC or ASIC, however, is the prediction of structure: The predicted lattice constant is below experiment by  $\sim 1\text{--}2\%$ . This tendency to deliver smaller-than-optimal structural parameters was also encountered in other situations,<sup>31</sup> and it is probably not an isolated occurrence but rather a characteristics of the PSIC/ASIC method. In perspective, we expect that this drawback could be overcome by adopting the GGA (e.g., PBE) instead of the LDA as the reference functional on which to build the PSIC projector (see Refs. 42 and 37 for details). This would probably lead, as in the case of HSE, to a moderate volume reduction compared to the slightly overestimated GGA volume, hence probably to an end product much nearer to that of the experiments.



Some additional considerations concern the comparison of different implementations of the same theory, i.e., LDA-PW versus LDA-LO and PSIC versus ASIC. Interestingly, some visible quantitative differences appear already at the level of local functionals. Hence, these are not induced by the ASIC/PSIC formulation, which, in fact, tends to re-equalize the respective descriptions. The amplitude of the PSIC versus ASIC discrepancy is minor only for MnO and a bit more pronounced for NiO, especially concerning the amplitude of  $J_2$ . This is not particularly surprising, since different choices for the local orbital basis set and/or pseudopotentials can easily alter meV-scale quantities. In fact, we can find in the literature a wide-ranging set of values for the exchange interactions predicted by different implementations of the same theory (even at the LDA level). The different basis set has more impact on the NiO exchange interactions than on the MnO ones, since the  $e_g$  charge spreads more in space than the highly localized  $t_{2g}$  one, and, as such, it is clearly more sensitive to the incomplete description of the interstitial space due to the local orbital basis. As a trade-off, this larger error bar is compensated for by the ASIC capability of tackling large-size systems (up to a few thousands atoms) that are impracticable for the, in principle, more accurate plane-wave approaches such as PSIC and HSE. A valuable testing ground of this capability may be the field of oxide interfaces, where a plethora of new exciting phenomena have been recently discovered. It will be interesting to evaluate whether PSIC/ASIC or HSE are capable of describing, e.g., composite systems such as an interface between a metal and insulator, where the degree of charge localization at the two sides differs rather markedly.

Finally, our evaluation of the exchange-interaction parameters and of the Néel temperatures requires mention. While the calculated  $J$ 's of both MnO and NiO are found in satisfying agreement with the experiments, only for the first an equally satisfying  $T_N$  is predicted by the MC-solved Heisenberg

Hamiltonian. This discrepancy can stimulate debate and more work devoted to investigate the possible inadequacies of the Heisenberg Hamiltonian at high temperature, an aspect that has not been sufficiently stressed in previous literature.

In conclusion, we have presented a systematic analysis of the structural and magnetic properties of MnO and NiO under applied pressure, including ground-state and finite temperature properties, by using a range of standard and advanced first-principle approaches. The advanced techniques (HSE, PSIC, ASIC) describe the behavior of the exchange interactions very consistently in a wide range of lattice constant values around the equilibrium structure, showing an overall agreement with experiments. This places such methods among the most accurate now available to the first-principles community. Our results establish a benchmark of accuracy for innovative techniques aimed at determining the magnetic properties of magnetic oxides.

### ACKNOWLEDGMENTS

Work supported in part by the European Union FP7 program and Department of Science and Technology of India under the joint Eu-India project "ATHENA" (Grant No. 233553), the Italian Institute of Technology under Project NEWDFESCM, the Italian Ministry of University and Research under PRIN Project No. 2008FJZ23S (Project "2-DEG FOXI"), the Fondazione Banco di Sardegna via a 2008-10 grant, CASPUR supercomputing center, and Consorzio Cybersar. Supercomputer time in Austria was provided by the Vienna Scientific Cluster. Pinaki Majumdar acknowledges support through a DAE-SRC Research Investigator Award. C.D. Pemmaraju acknowledges the Science Foundation of Ireland for financial support (Grant No. 07/IN.1/I945). Computer time in Ireland was provided by the HEA IITAC project managed by the Trinity Center for High Performance Computing and by ICHEC.

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