Blockchain, what time is it?
Trustless Datetime Synchronization for IoT

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Abstract—Time synchronization among IoT devices is a fundamental requirement for efficient and reliable communication on a global scale. Common synchronization schemes such as NTP operate on a trust-based client-server model, which does not scale well in a decentralized network because single server failures can lead to a severe downtime before re-establishing synchronization. Public blockchains such as Ethereum provide a trustless network and tamper-proof time-stamped data that is freely available.

In this paper, we leverage the availability of time information in the block headers, which are very small (several hundreds of bytes) compared to the full blocks and can be validated without participation in the mining process. Our approach uses two estimators that are fed with the timestamps from block headers as well as the elapsed time between consecutive block receptions to estimate the true time to an accuracy of one second.

We evaluate our approach by extensive validation on blockchain data from different geographical locations across the globe and show that global synchronization can be established despite the non-deterministic behavior of blockchains such as mining difficulty, network latencies and forks.

Index Terms—Time Synchronization, NTP, Hash, Blockchain

I. INTRODUCTION

Distributed IoT devices use time synchronization to a global reference time, such as UTC, to agree on communication periods, schedule actions and establish an order of registered events. Many applications such as traffic signaling systems [1] or logging events from sensor measurements, require accuracy from a few hundred milliseconds to a second.

Existing time synchronization methods, such as the Network Time Protocol (NTP) [2], rely on the questionable assumption that certain servers can be trusted. In order to authenticate these servers, digital certificates need to be verified, which requires more computational power than many embedded devices can afford. Furthermore, in case of malicious NTP servers, the time to synchronize is severely affected [3]. This is further aggravated if the gateway is malicious since fallacious time is received independent of the chosen server. Since communication over the Internet involves malicious and faulty nodes, the NTP synchronization cannot provide robust and secure timing information for embedded devices.

Consequently, a mechanism is needed that 1. does not rely on individual servers, 2. provides verifiable timing data, and 3. uses only lightweight cryptography that can be computed by resource-constrained devices. We thus aim to achieve secure datetime synchronization for a decentralized network in the presence of malicious nodes.

Blockchain provides a trustless system for agreement on a global scale. Each accepted block in the chain is immutable and reinforced by every new block appended to the chain. In case of Proof-of-Work (PoW) chains, even the content can be verified for integrity due to inherent properties of its hash value. This allows secure verification of any block with a minimum amount of hash operations, which is feasible on most embedded devices [4].

In this paper, we extract the timestamps (datetime information) from block headers of the Ethereum blockchain to estimate the current time. Note that we only need to read the headers of already mined blocks and are not required to participate in the mining process in any sense. This passive listening approach enables any device with Internet connection to access and verify the information from any public PoW blockchain. As shown in Figure 1, upon reception of a valid block in a typical blockchain system, each miner will create a new unconfirmed block and starts mining. If the mining is successful, the miner will distribute its new block, which contains a timestamp corresponding to the creation time. The objective is to estimate the mining difficulty, network latencies and forks.

![Figure 1: Synchronization scenario. A node receives blocks with timestamps $\sigma_i$ from two miners M-1 and M-2 via a gateway GW. Upon reception, $\sigma_i = t_i - \delta_i$ because each miner sets the timestamp when it receives the previous block. The goal is to estimate $t$ based on $\sigma_i$ and the observable $\Delta t$ between receptions.](image-url)

Legend: Set $\sigma$ Mining $\triangleright$ Mined $\square$ Send $\rightarrow$ Receive
Figure 2: Special cases when syncing via Blockchain: a) A missed block occurs when a received block is further in the future than the next block. b) A fork occurs when two valid blocks with the same index $i$ are received. c) A timestamp reset occurs when miner $M-2$ updates the block timestamp during mining which leads to the condition $\delta_i < \Delta t_i$.

Our evaluation in Section III shows that the timestamps $\sigma$ and the elapsed time between block receptions $\Delta t$ are sufficient to estimate the current UTC to an accuracy of one second. However, this is non-trivial due to issues such as timestamp resets, missing blocks, and forks in the blockchain that will be discussed in this paper.

A. Contributions

We propose a secure, decentralized, and trustless synchronization scheme for embedded IoT networks. Our novel method involves an IoT node that passively listens to the latest blocks of a public blockchain that uses Proof-of-Work (PoW). In particular, we

- investigate the delay behavior of blockchain headers from which we derive a formal model (Section III),
- propose a novel synchronization scheme using only blockchain headers (Section IV),
- analyze convergence, accuracy, drift, and security (Section VI) of our scheme.

By using only block headers, we drastically reduce the communication overhead while enabling even highly resource-constrained devices to receive, process, and securely validate the timing information. Furthermore, we utilize the already spent energy by the miners on the block mining instead of creating new cryptographic proofs.

II. BACKGROUND

A. Blockchain

The blockchain is a distributed data structure that stores a system state over time and is shared and replicated by all nodes [5]. Formally, a blockchain is an ordered chain

$$\mathcal{C} = \{B_i|i \in 1, \ldots, n\}, \quad B_i < B_{i+1}$$

of $n$ blocks $B_i$ where $n$ is the height of $\mathcal{C}$ and $i$ the height or index of $B_i$. Each block confirms and reinforces the data of its preceding block. By including the previous block hash $h_p$ in each block, the integrity and order of the blocks is secured because any change to the data of an existing block would result in changing hashes of all blocks. A block header with a timestamp $\sigma_i$ is represented by the tuple $B_i = (h_p, \sigma_i, h_a)$. The actual data $D_i$ of a block can be of arbitrary structure and is bound to a block only by its hash digest $h_i = H(D_i)$, where $H(\cdot)$ is the hash function.

B. Proof-of-Work (PoW)

Most blockchain implementations use Proof-of-Work (PoW) for block creation and our approach requires PoW for block verification. Proof-of-Work involves mining a special hash value for new blocks with the data and the hash of the previous block. The time required for mining is called Blocktime and could vary significantly as it depends on the difficulty of the hash-value limit set by the blockchain [6]. Propagation delay is the time for transmitting the block to the rest of the network, which varies in different parts of the network with some nodes receiving the block faster than others [7]. Table I gives an overview of three prominent blockchains in use with information on their blocktime, height, hash rates and difficulty. Difficulty represents the minimum value of the Proof-of-Work a blockchain must have, and is represented as a bit mask to ensure the Proof-of-Work solution satisfies this condition. Difficulty varies with blockchain and higher difficulty translates to higher energy expenditure and higher security against tampering attacks.

Bitcoin is the first and oldest implementation of blockchain. It has the highest hash rate of 90 Exa hashes (SHA-256) per second and the longest average blocktime of 10 minutes.

Ethereum has a hash rate of 180 Tera hashes (SHA-256) per second and the fastest average blocktime of 15 seconds among the three.

Litecoin is the newest blockchain of the group, has 2 minutes blocktime, and uses a hash function called $S$-crypt.

For our approach, we use the Ethereum blockchain to estimate datetime for a network of IoT nodes as it has the lowest average blocktime.

III. BLOCKCHAIN TIMING MODEL

In this section, we will measure and evaluate the timestamp information in the Ethereum blockchain. We derive assumptions from our observations, which has been used to create our model of timestamp distribution.

A. Time Notation

It is important to understand that we distinguish several types of time variables of a block $B_i$ sent by any miner $M$ to our IoT node:

1) The true, absolute, and unknown time $t \in \mathbb{R}$.
2) The elapsed time $\Delta t = t_i - t_{i-1}$ in seconds between the reception of blocks $B_{i-1}$ and $B_i$ that is, in general, observable by the internal clock of any node.
As shown in Figure 2a, the IoT device does not receive the block from Miner 1 but only the succeeding block from Miner 2. This results in a time difference between two consecutive blocks (Δt) greater than the block time (δ) of the recent block leading to a positive offset. Since Δt does not reflect the true delay, it should not be used for estimation. Instead, the IoT device needs to extrapolate the current time estimate from its previous estimation.

b) Forks A fork happens when two different blocks are mined on top of the same previous block. In this case, there exist two different versions of the blockchain. The network is initially unsure of which block will be included in the final blockchain and will, therefore, buffer a number of blocks to ascertain which chain will be accepted and continued. An example of a Fork is shown in Figure 2b, where both miners 1 and 2 create a valid block. However, the peers accept only one of the blocks and include it in the blockchain. The other block is discarded. Depending on which block is accepted, the elapsed time between the forked blocks Δtf needs to be added either to Δt1 or Δt2.

c) Timestamp Reset The assumption that the timestamp σi of a block Bi corresponds to the time when the miner received the previous block Bi−1 might not hold. Each miner may, during mining, reset the timestamp to the current time, which is illustrated in Figure 2c. Miner 2 starts mining the block after receiving the block from miner 1. However, the timestamp is reset during the mining process by miner 2 and this is received by the IoT device when the mined block is propagated. This phenomenon results in timestamps being faster than the block reception time similar to gaps, i.e., δ2 < Δt2, yielding a positive offset.

D. Observation Results

Our results are given in Figure 4. We found that on average Δt ≈ 14.757 s and Δσ ≈ 14.743 s. These values are close to the theoretical blocktime of 15 s. The small difference confirms the fact that miners set the timestamp of a new unmined block immediately after they receive the previous mined block. The true block delay δ, which we measured on reception against the NTP synced system time, is on average larger than Δσ. This indicates that miners (who set timestamps on reception) receive the blocks faster than our geth client. This is reasonable given the high-speed networks, as miners choose reliable and low-latency peers in large mining pools to gain an advantage over other miners in the mining race.

As expected, we found the timestamps of the blocks to be monotonously increasing except when forks occur. A key observation from the timestamp delays (δ) shown in the Figure 4) is that they follow an exponential distribution, aligning with prior observations in literature [7]. Among all 5100 measured blocks, we observed 93 forks, 327 gaps and an estimated number of 225 timestamp resets. While forks and gaps are directly measurable from the block index, the timestamp resets are more difficult to identify because they could occur any time and a positive offset could also be caused by receiving the previous block before the miner. Since this is unlikely for large offsets, we found 0.5 s to be a reasonable decision threshold before we consider a positive offset to indicate a reset.

E. Modelling Timestamp Distribution

Based on our observations, we assume that

- Miners will normally set the timestamp of a new block to be mined at the moment they receive a valid block.
- Miners will reset the timestamp during mining in roughly 4% of blocks.

<table>
<thead>
<tr>
<th>Name</th>
<th>Blocktime</th>
<th>Height</th>
<th>Hashrate (hash/s)</th>
<th>Difficulty</th>
</tr>
</thead>
<tbody>
<tr>
<td>Bitcoin</td>
<td>10 min</td>
<td>5.96 k</td>
<td>90 E</td>
<td>12.75T</td>
</tr>
<tr>
<td>Ethereum</td>
<td>15 sec</td>
<td>8.63 M</td>
<td>180 T</td>
<td>2.75 P</td>
</tr>
<tr>
<td>Litecoin</td>
<td>2 min</td>
<td>1.71 M</td>
<td>324 T</td>
<td>11 M</td>
</tr>
</tbody>
</table>

Table I: Common blockchains and their parameters (End 2019)
where the scale parameter \( \beta \) as the average block time in seconds and the shift parameter \( \tau \) as the minimum timestamp delay. For example, for the Ethereum Blockchain we found \( \beta \approx 15 \) s and \( \tau \approx 1 \) s which is plotted in Figure 4.

The distribution of the delays is exponential because of the PoW to create a block is a repeated Bernoulli trial. Each attempt to find a nonce that results in a hash value with enough leading zero bits is one Bernoulli trial.

The exponential distribution describes the probability distribution of the time between events in a Poisson point process. The block creation events follow a Poisson distribution because they are of sum of \( n \) independent Bernoulli distributed variables, where \( n \) is the number of participants in the network.

For the remainder of this paper, we assume a shifted exponential distribution for the overall timestamp delay \( \delta \).

### IV. OUR APPROACH

In this section, we introduce two different estimation methods that offer minimal drift in observation even in the presence of block uncertainties. Each method has a naive approach, which we will use to illustrate the idea and an improved variant that can be used for the actual synchronization resulting in a total of four estimators.

**A. Maximum Likelihood Estimator (MLE)**

The MLE is a general approach to estimate the timestamp delay only based on timestamp observations. The likelihood function of the timestamp delay distribution is

\[
L(\tau, \beta) = \frac{1}{\beta^n} \cdot \exp \left( -\frac{n(\delta - \tau)}{\beta} \right) \quad \text{with} \quad \delta = \frac{1}{n} \sum_{i=1}^{n} \delta_i
\]

where \( \delta \) is the mean of the timestamp observations \( \delta_i \) and \( n \) the total number of the observations. With respect to \( \tau \), the function increases until the minimum observed offset \( \delta_{\text{min}} \) and thus, we obtain \( \hat{\delta}_{\text{MLE}} = \delta_{\text{min}} \). We can use this result to formulate a simple decision rule for estimating the current time based on the received timestamps. Whenever we receive a timestamp \( \sigma_i \) that is further in the future than our prediction \( t_i = t_{i-1} + \Delta t \), based on previous timestamps, we will switch to this new time \( t_i = \sigma_i \). This estimation works because the timestamps are always delayed but from time to time we will receive a timestamp with a lower delay than all previous timestamps.

This estimator will not overshoot the true time \( t \) and therefore serves as a lower bound for our estimation \( \hat{t} \). However, the MLE has two drawbacks. First, it converges slowly towards the true time and it will not get closer than \( \tau \).

Second, since we accept the timestamp from the latest block, an attacker could mine one fake block with a timestamp in the future, which would result in a permanently wrong estimation.

**B. Secure Lower Bound Estimator – LowerSec**

We now introduce our first proper estimator LowerSec, which is the improved variant of the MLE. To overcame the second limitation of the MLE, we accept a timestamp from a block \( B_i \) only if \( m \) additional blocks have been mined on top, which reinforces \( B_i \). This is the same technique used to consider a transaction settled and provides increasing security with the number of additional blocks \( m \). For our secure lower bound estimator LowerSec, we have chosen \( m = 10 \).

**C. Time Difference Estimator – TimeDiff**

While MLE-based approaches only provide for a lower bound, we introduce TimeDiff estimator to improve upon the accuracy of time estimation. Remember that the correct time \( t \) could be obtained as \( t = \sigma_i + \delta, \)

However, we cannot observe \( \delta_i \) directly and need to estimate it based on the available information. Basically, \( \delta_i = \delta_{m,i} + \tau + \epsilon_i \) where \( \delta_{m,i} \) is the mining time, \( \tau \) the propagation delay to the next miner, and \( \epsilon_i \) the additional propagation delay to our node. Since mining is a race between miners, we assume that miners use a fast hardware and low latency connection, such that the time between receiving a block and setting the timestamp of the next pending block is negligible. This means that \( \Delta \sigma_i = \sigma_i - \sigma_{i-1} = \delta_{m,i} + \tau \), which allows us to observe the timestamp delay between miners.

Furthermore, we assume that miners receive blocks earlier than our node, which means \( \epsilon_i > 0 \). Again, \( \epsilon_i \) cannot be observed directly, but since \( \Delta t_i = \epsilon_{i-1} + \delta_i \) and from our observations we know that \( \sum \Delta t_i \approx \sum \delta_i \), we can conclude that \( \epsilon_i \) is usually small.

Therefore, we can estimate the true time \( t \) with

\[
\hat{\delta}_{i} = \sigma_i + \Delta t_i + Q_e
\]

where \( Q_e = 0.5 \) s is the quantization error for timestamps. However, TimeDiff produces a lot of peaks due to the issues explained in Section III-C and overshoots the true time.

**D. Peak Median Kalman Filter – PMK**

To overcome the peak problem of TimeDiff, we propose a Kalman filter estimator with the previous highest peaks which runs the median of them. The median of the peaks is fed to the Kalman Filter for the estimation. This ensures that we get a secure and accurate estimation near zero. Using the median
among the peaks ensures that a few overshooting peaks are filtered out. Even if there was an accepted overshoot peak, the estimation shifts back to the correct value when peaks stabilize. The PeakMedianKalman will converge to the mean of the peaks of the TimeDiff estimations. It not only provides a fast convergence similar to TimeDiff, but also ensures a stable and secure drift.

1) Kalman Filter In order to smoothen spikes, we use a Kalman Filter. The general system equations are given as

\[ i_n = i_{n-1} + \Delta t + W_{n-1}, \quad s_n = i_n + V_n \]  

with the time estimate \( i \), the observed timestamp \( s \), the elapsed time \( \Delta t \), the process noise \( W_n \), the Gaussian measurement noise \( V_n \), with the following probability distribution:

\[ p(w_n) \sim N(0, Q_n) \quad p(v_n) \sim N(0, R_n) \]

We assume \( R \approx 0.3 \cdot 10^{-3} \) as the standard deviation of the measurement noise \( V \), since crystal clocks should be accurate to at least 0.3 ms over the period between two blocks.

V. RELATED WORK

Time synchronization has been well established in the literature with various goals including energy efficiency, accuracy, speed of convergence and reduction in re-synchronizations. Most of the notable works in the Wireless Sensor Network (WSN) domain [8], [9] used for embedded IoT devices provide accurate and efficient local clock synchronization without any global datetime information. However, these protocols assume trustworthy data from the nodes which may not be necessarily the case. To handle the presence of malicious devices, [10] uses message authentication codes and secret keys between two pairs of nodes for verification. The approach discussed in [11] models the temporal variation of messages from neighbors and classifies any deviation as an attack. While the issue of authentication is addressed, the security definitions and the codes have to be regularly updated.

Blockchain has gained traction as the decentralized scheme to provide a trustless and secure means of communication. Open Timestamps [12] use timestamp data from Bitcoin to only timestamp and validate documents to prove the authenticity of a document. Relying on its data structure, [13] used their data to transmit and store the clock data into a ledger verified by a consensus node. It necessitates the presence of a computationally-capable consensus node for verification. The authors in [14] extended the architecture to use Proof-of-Stake (PoS) and a custom blockchain whose length can be controlled. However, the consensus mechanism consumes significant time due to computational complexity and the device of the highest stake has to be re-elected in the event of a failure. In contrast, our approach only requires to passively use specific fields of block headers from freely available public blockchain to achieve datetime synchronization on resource-constrained nodes.

NTP [2] is the most prevalent datetime synchronization protocol in use for network synchronization. NTP relies on a multi-hop client-server synchronization mechanism, with each level called stratum synchronizing to the level above it. GPS or atomic clocks generate pulses at stratum 0 to which stratum 1 synchronizes to a few microseconds. As the strats increase, the synchronization error grows higher with a longer time to achieve synchronization. Despite its popularity, NTP does not provide a way to securely synchronize the clock. While most time servers available for synchronization are at stratum 3, time servers could synchronize devices from even lower strats. Since response times vary across these servers, the synchronization time could suffer from delays. Additionally, failure of any such server leads to a routing change to a new server, exacerbating the delay. With package/security updates released once a few months, NTP servers are vulnerable to attacks due to obsolete packages. NTP servers operate on a limited bandwidth and resource, requiring them to limit the sync requests to avoid overload issues [15], leading to longer delays and/or failed sync at clients.

Our approach overcomes these drawbacks of security and cryptographic complexity because the blockchain offers peer-to-peer validation and efficient hash verification. As the blockchain network is inherently decentralized, it can also handle a higher number of requests without any overload issues.
VI. Evaluation

We discuss and evaluate our estimators according to convergence time, accuracy, stability, drift, and security. We experimentally tested and analyzed our estimators by feeding them with the $\sigma$ and $\Delta t$ of the previously captured data, which we obtained with the geth client.

a) Convergence, Accuracy, and Stability: Figure 5 visualizes these metrics for all estimators for two geographical locations. Table II shows the results when running the estimation over all 8 captured data sets at 4 locations with a total of 5100 blocks.

MLE and LowerSec are slowly converging to the current time but are very stable since the error can only decrease. The estimation will never overshoot the true time, and over time these estimators can achieve a fair accuracy of a few seconds.

The TimeDiff estimator provides a faster convergence but is also unstable because each estimation is only based on the last two blocks. The PeakMedianKalman (PMK) achieves the best synchronization with an average error of $-0.36$ s and a standard deviation of only $0.89$ s.

b) Drift: Since all estimators use $\Delta t$ to estimate the time between timestamp inputs, they are equally affected by clock drift. Most internal RC clocks are accurate to 3%, and therefore, the clock drift for measuring $\Delta t$ between two Ethereum blocks would be around $0.03 \cdot 15 s = 0.45$ s which is reasonable for achieving an overall estimation accuracy within one second. In case of crystal oscillators with an accuracy of $\approx 20 \cdot 10^{-6}$, the drift is completely negligible. However, if a blockchain with a high blocktime is used, measuring $\Delta t$ with RC oscillators will significantly reduce accuracy.

c) Security: The security of each estimator depends on the impact of forged blocks on the estimation. To forge even one block, an attacker would require exorbitant computing power and would still have a low success probability.

While MLE accepts a valid block immediately and could be tricked persistently by one forged block, LowerSec is highly secure since it uses only blocks that are confirmed by 10 additional blocks on top. Forging 10 consecutive blocks of any of the blockchains listed in Table I faster than the rest of the network is infeasible and therefore it is infeasible for an attacker to convince LowerSec of a fake timestamp.

TimeDiff and PMK also accept each block immediately and TimeDiff could be temporally tricked by a single forged block. Due to the median filtering, PMK cannot be attacked by individual forged blocks at a low rate. In case an attacker could forge blocks at a constant high rate, PMK would slowly converge to the fake timestamps. However, forging at a high rate is infeasible.

d) Summary: We evaluated our approach analytically and experimentally to demonstrate the feasibility of using public blockchains for time-synchronization. Overall, PMK provides the best trade-off between accuracy, convergence, and security. It could also be combined with the LowerSec for improved security. For all the approaches presented, we observe that there is no computation and power overhead (due to absence of mining) and negligible memory overhead (storage of 10 timestamps is less than one kilobyte).

<table>
<thead>
<tr>
<th></th>
<th>med</th>
<th>avg</th>
<th>stdev</th>
<th>max</th>
<th>tt1s</th>
<th>tt2s</th>
</tr>
</thead>
<tbody>
<tr>
<td>MLE</td>
<td>-1.26</td>
<td>-1.72</td>
<td>1.54</td>
<td>-0.3</td>
<td>128.0</td>
<td>384.0</td>
</tr>
<tr>
<td>LowerSec</td>
<td>-1.29</td>
<td>-1.65</td>
<td>274.9</td>
<td>-0.3</td>
<td>136.2</td>
<td>2096.0</td>
</tr>
<tr>
<td>TimeDiff</td>
<td>-0.91</td>
<td>-0.93</td>
<td>1.05</td>
<td>5.3</td>
<td>98.0</td>
<td>35.0</td>
</tr>
<tr>
<td>PMK</td>
<td>-0.28</td>
<td>-0.36</td>
<td>0.89</td>
<td>0.6</td>
<td>128.0</td>
<td>32.0</td>
</tr>
</tbody>
</table>

Table II: Estimation errors in seconds given as median, average, standard deviation and maximum. The “Time to 1 s” (tt1s) is the average synchronization time until the error is within $\pm 1$ s. For tt2s accordingly.

VII. Conclusion

We have proposed a novel synchronization method that leverages the public datetime information from the timestamps in block headers. These timestamps are validated and confirmed by a decentralized blockchain network which removes issues such as a trusted relationship and single point of failure found in centralized approaches such as NTP. The timestamps of confirmed blocks alone serve as a very secure lower bound for the estimation of the datetime with a usual accuracy of several tens of seconds. Under the realistic assumption that a node receives blocks not later than 1 s after the other miners on average, our advanced estimator, which uses the Kalman-filtered peaks of $\sigma + \Delta t$, can improve the accuracy to about 1 second. Our approach only requires a node to passively listen to the stream of block headers to provide a secure and robust synchronization with fair accuracy, which makes it suitable for embedded IoT devices.

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