



# The impact of the degree of intimate mixing on the compaction properties of materials produced by crystallo-co-spray drying

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## ABSTRACT

Direct compression remains one of the most favourable methods available to produce tablet compacts due to its simplicity, efficiency and cost effectiveness however, the technique still remains unsuitable for the majority of formulations due to materials exhibiting poor physical properties such as inadequate compressibility and deformation mechanisms. Whereas crystallo-co-spray drying of various blends has shown to improve the tableting properties of poorly processable materials, the role of the solvent feed composition in altering the soluble fraction ratio of the excipient to the drug in a crystallo-co-spray dried agglomerate is not well understood. The aim of this work was to investigate the role of the soluble fraction of a drug (paracetamol) and an excipient ( $\alpha$ -lactose monohydrate) on the tableting properties of their crystallo-co-spray dried agglomerates produced via co-spray drying using various inlet feed solvent compositions in order to vary the soluble fraction of the excipient in the feed. It was found that an increase in excipient soluble fraction in the inlet feed resulted in a greater degree of intimate mixing in the final spray dried powder blend, which in turn led to an improvement in tableting properties of the poorly processable drug.

## 1. Introduction

Direct compression is regarded as one of the most promising approaches to tablet manufacture due to its lack of laborious steps such as those involved in wet and dry granulation (Chauhan et al., 2017). It is a process in which tablets are compressed directly from powder blends without any prior pre-treatment meaning that it is simple, quick and cost-effective (Gohel and Jogani, 2005; Shangraw, 1993). It is the most preferred method for tablet manufacture, however only a small number of pharmaceutical blends can utilise this method due to unfavourable characteristics such as poor compaction behaviour (Shangraw, 1989). In recent years the area of crystallo-co-agglomeration has gained increased interest as a method of improving the tableting properties of materials (Maghsoodi et al., 2008; Paradkar and Pawar, 2014). Through the use of a “good solvent” into which the drug is soluble, and a “bad solvent” to act as an antisolvent to induce nucleation and growth, a spherical agglomerate of the drug and diluents such as talc, sodium starch glycolate, and starch will be produced using a bridging liquid (Paradkar and Pawar, 2014; Pawar et al., 2004). The concept of crystallo-co-agglomeration has successfully been translated to spray drying to produce crystalline agglomerates of a drug and an excipient with the exception that instead of a bridging liquid being required for

agglomerate formation, the diffusion of the components of an atomised droplet towards the centre of the droplet was instead utilised (McDonagh and Tajber, 2020).

Continuous processing has become more and more popular in the pharmaceutical industry in recent years due to the premise of lower running costs, such as reduced capital investment, reduction in labour cost, less required floor space and minimal material wastage as well as faster product output (Vervae and Remon, 2005; Lindberg, 1988). It is advantageous over traditional batch processes due to the reduction in time-to-market as a result of scale up benefits and better quality (Vervae and Remon, 2005; H. Leuenberger, 2001; H. Leuenberger, 2001). Spray drying is one such process. It is a fast, versatile technique with a one step process capable of producing a range of particles based on the nature of the material to be dried and spray dryer parameters chosen (Broadhead et al., 1992). The technique is scalable with the ability to modify the physical form of excipients and drugs, capable of improving the material's physiochemical properties such as compressibility and compactibility (Mangal et al., 2015). However, as the flowability of a powder material is subject to the material's density, size and shape (Kaerger et al., 2004), it is common for spray dried materials to exhibit poor flowability. As previously demonstrated, the morphology and size of spray dried paracetamol (PAR) can be controlled

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through the careful selection of spray dryer operating parameters based on the atomised droplet's characteristics (McDonagh and Tajber, 2019), and in another study, the degree of component mixing in a crystallo-co spray dried agglomerated powder blend was tailored through the selection of the excipients soluble fraction in the inlet feed (McDonagh and Tajber, 2020).

The compression of commercial PAR and molecules of similar tableting characteristics is a significant issue that the pharmaceutical industry faces. PAR crystals can exist in three polymorphic forms; form I (monoclinic), form II (orthorhombic) and form III (triclinic). Form I is the commercial form of PAR and is the most thermodynamically stable at room temperature but has poor tableting properties and is prone to capping due to its rigid crystal lattice structure and high elastic deformation tendency as a result of the 'zig zag' layered arrangement of the PAR molecules held together by strong hydrogen bonds (Govedarica et al., 2009; Ahmed et al., 2017). In order to improve this, poorly processable drugs are processed with a range of excipients to masque the material's unfavourable characteristics (Huang et al., 2015; Pingali et al., 2011). The choice of excipients is therefore critical in the formulation of directly compressed tablets, most notably the filler-binder. The roles of the filler-binder include improving compactibility, and to bulk up the formulation to produce a conveniently sized tablet (Aulton and Taylor, 2017).  $\alpha$ -lactose monohydrate ( $L\alpha\text{-H}_2\text{O}$ ) is widely used in pharmaceutical formulations due to its high stability, non-hygroscopic nature and low cost (Gohel and Jogani, 2005). It is the crystalline  $L\alpha\text{-H}_2\text{O}$  that is usually used in direct compression processes due to its favourable flowability (Gad, 2008).  $L\alpha\text{-H}_2\text{O}$  deforms by a combination of particle fracture and plastic deformation (Roberts and Rowe, 1985). Gonsel and Lachman found that spray dried  $L\alpha\text{-H}_2\text{O}$  produces harder, less friable tablets when compacted (Gonsel and Lachman, 1963), this improvement in tableting makes spray dried  $L\alpha\text{-H}_2\text{O}$  an ideal candidate for direct compression.

The area of co-spray drying to improve the physical properties of tablets of various excipient mixtures is well established (Gohel and Jogani, 2005; Rojas et al., 2012). For example StarLac, a co-processed excipient by Meggle Excipients & Technology consisting of  $L\alpha\text{-H}_2\text{O}$  and maize starch which is produced by co-spray drying shows improved compressibility and disintegration times (Meggle, 2014). This is also true for drug-excipient blends. Gonissen et al. studied the co-spray drying of PAR with a range of excipients and investigated the blend's flowability, yield and disintegration time (Gonissen et al., 2008). In a separate study Gonissen et al. co-spray dried PAR with a range of carbohydrates and investigated the blend's hygroscopicity, flowability, and compactibility (Gonissen et al., 2007). Al-Zoubi et al. co-spray dried metformin hydrochloride with various polymers leading to improvements in compactibility and tableting (Al-Zoubi et al., 2017).

We have shown recently that in crystallo-co spray drying the inlet feed composition had the most pronounced impact on the morphology of the agglomerates (McDonagh and Tajber, 2020). However, this effect of excipient to drug soluble fraction in the feed and the resulting variability in the degree of intimate mixing on the physical properties of their respective tablets has not been studied. The aim of this study was therefore to investigate the compaction properties of PAR/ $L\alpha\text{-H}_2\text{O}$  agglomerates produced through the new process of crystallo-co-spray drying with particular focus on the role of the soluble fraction of the excipient in the spray dryer inlet feed, to produce a material with improved physicochemical characteristics to allow for direct compression to be utilised.

## 2. Materials and methods

### 2.1. Materials

Paracetamol (PAR, batch number 078K0032),  $\alpha$ -lactose monohydrate ( $L\alpha\text{-H}_2\text{O}$ , lot number SLBX2254), magnesium stearate (powder), sodium hydroxide and monobasic potassium phosphate were

obtained from Sigma-Aldrich (Ireland). Ethanol (EtOH, technical grade) was purchased from T.E Laboratories (Ireland), and deionised water was produced using a Millipore Elix 3 system (Millipore, Saint-Quentin, France).

### 2.2. Methods

#### 2.2.1. Preparation of powders

**2.2.1.1. Crystallo-co spray drying of PAR +  $L\alpha\text{-H}_2\text{O}$ .** Crystallo-co spray dried (CC-SD) samples were prepared as described previously (McDonagh and Tajber, 2020). A series of 10% w/v PAR +  $L\alpha\text{-H}_2\text{O}$  suspensions in equal weight ratios were made up at different solvent compositions ( $S_c$ ): 100% EtOH (#1), 90/10% v/v EtOH/ $\text{H}_2\text{O}$  (#2), 80/20% v/v EtOH/ $\text{H}_2\text{O}$  (#3), 70/30% v/v EtOH/ $\text{H}_2\text{O}$  (#4), 60/30% v/v EtOH/ $\text{H}_2\text{O}$  (#5) and 50/50% v/v EtOH/ $\text{H}_2\text{O}$  (#6) and SD using a Büchi B-290 mini spray dryer (Switzerland). The suspensions were stirred using a magnetic stirrer to maintain homogeneity before being fed into a 2-fluid atomising spray nozzle with a 0.7 mm cap. The inlet feed was kept at room temperature and fed into the spray dryer through an incorporated peristaltic pump at approximately 9 mL/min (setting: 30%) with an aspirator drying air flow rate of 38 m<sup>3</sup>/h (setting: 100%) and flow metre spraying flow rate of 475 L/h (height: 40 mm) (McDonagh and Tajber, 2020; McDonagh and Tajber, 2019). The drying gas used was air and the dispersant spraying gas was nitrogen. The dried materials were collected from the collection vessel only and were thoroughly mixed using a spatula prior to refrigerated storage ( $4 \pm 1$  °C) in 15 mL amber powder bottles. The spray dryer inlet temperature was kept constant at 120 °C and all samples were SD in triplicate.

**2.2.1.2. Spray drying of PAR and  $L\alpha\text{-H}_2\text{O}$  separately.** PAR and  $L\alpha\text{-H}_2\text{O}$  were SD separately ( $n = 3$ ) to investigate the tableting behaviour of the two components individually. PAR solutions and  $L\alpha\text{-H}_2\text{O}$  suspensions were SD using the same conditions as described in Table 1 and Section 2.2.1.1 with the exemption of the concentration with both materials being processed at 5% w/v.

**2.2.1.3. Preparation of physical mixtures.** For comparison purposes, 1:1 w/w physical mixtures of unprocessed PAR and  $L\alpha\text{-H}_2\text{O}$  (PM non-SD) as well as PAR and  $L\alpha\text{-H}_2\text{O}$ , which were spray dried separately using conditions as outlined in Table 1 and Section 2.2.1.2, (PM SD), were made up. The mixtures were obtained by physically mixing both components together for 10 min using a spatula.

#### 2.2.2. Characterisation of powders

**2.2.2.1. Quantification of PAR and  $L\alpha\text{-H}_2\text{O}$  in spray dried deposits collected from glassware wall.** PAR and  $L\alpha\text{-H}_2\text{O}$  quantification in the material deposited on the inner walls of the spray dryer drying chamber, drying chamber-cyclone connector and cyclone was performed to test the powder's homogeneity. Random samples were taken ( $n = 3$ ) of the

**Table 1**

Summary of spray dryer experimental parameters.  $T_{\text{out}}$  – outlet temperature,  $C_{s1,i}$  – concentration of EtOH used,  $C_{s2,i}$  – concentration of  $\text{H}_2\text{O}$  used. N/A – not applicable.

Sample number	$T_{\text{out}}$ (°C)	$C_{s1,i}$ (%) v/v	$C_{s2,i}$ (%) v/v	Excipient soluble fraction in mixture (%) (McDonagh and Tajber, 2020)
#1	86 ± 1	100	0	0.13 ± 0.18
#2	78 ± 1	90	10	0.81 ± 1.15
#3	75 ± 1	80	20	1.63 ± 2.31
#4	73 ± 1	70	30	6.54 ± 1.46
#5	72 ± 1	60	40	16.97 ± 1.91
#6	70 ± 1	50	50	45.89 ± 1.34
PAR SD	77 ± 1	100	0	N/A
$L\alpha\text{-H}_2\text{O}$ SD	85 ± 1	100	0	N/A

deposited material from each region, accurately weighed using a microbalance (Mettler Toledo MT5, Switzerland), and then placed in 50 mL centrifuge conical tubes. 45 mL of EtOH was added to each tube, the vials were sealed well, and then shaken for one hour at a rate of 200 rpm using an orbital shaker (IKA MTS 2/4, Germany). All samples were then moved to an incubator set at  $25 \pm 3$  °C and left for 12 h to give the suspension adequate time to settle to the bottom of the vial. The quantification of both components was then performed as previously described (McDonagh and Tajber, 2020).

**2.2.2.2. Particle size analysis.** Measurements of particle size and particle size distributions were obtained using a laser diffraction particle sizer (Mastersizer 3000, Malvern Instruments, U.K.) ( $n = 3$ ). Particles were dispersed using a Malvern Aero S accessory equipped with a micro volume sample tray using 3 bar pressure. An obscuration of between 0.5 – 6% was obtained using a vibration feed rate of 75%. A pressure titration was conducted before measurements to ensure that the pressure applied did not induce particle breakage during testing. This was performed by measuring the particle size at various air pressures to determine at what pressure particle breakage and attrition occurred. Mastersizer 3000 software (v 3.63) was used for analysis and to generate the particle size distribution data of the dry powder samples.

**2.2.2.3. True and tap density.** The true density of the powders ( $n = 3$ ) was determined using an AccuPyc 1330 pycnometer (Micromeritics, Norcross, GA, USA) using helium (99.995% purity). A 1 cm<sup>3</sup> cell was used, the equilibration rate was  $5 \times 10^{-3}$  psig/min and the system was purged 10 times. Tapped density ( $n = 3$ ) was measured by adding a known quantity of material to a 5 mL graduated glass cylinder and tapping the cylinder off the table top the required number of times for the compacted powder to reach a constant volume value (Yang et al., 2012). Once the volume reading was constant over 100 tap periods, the weight was measured again and the density was calculated.

### 2.2.3. Tablet preparation

Tablets of  $200 \pm 2$  mg weight were produced using a laboratory scale single punch NP-RD10 Manually Operated Tablet Press (Natoli Engineering Co Inc. USA). The die, lower punch and upper punch were lightly lubricated with magnesium stearate using a brush prior to compression. A minimal amount of lubrication was used as to not influence the tablet weight and/or mechanical strength. The powder was compressed at forces of 1250, 2500, 5000, 7500, 10,000, 12,500 and 15,000 N using 8 mm flat faced punches corresponding to compression pressures of 25, 50, 100, 149, 199, 249 and 299 MPa, respectively. The dwell time of each tablet under compression was approximately 1 min (Paczkowska et al., 2020). The tablets were accurately weighed using a microbalance (Mettler Toledo MT5, Switzerland) and inspected after the compression process for defects such as lamination, chipping, capping and sticking.

**2.2.3.1. Heckel plots.** The following model was proposed by Heckel (Heckel, 1961).

$$\ln\left(\frac{1}{1-D}\right) = KP + A \quad (6)$$

where D is the relative density of the compact, P is the applied pressure, A is a constant suggested to represent particle rearrangement and the reciprocal of K is used to calculate apparent mean yield pressure ( $P_y$ ). Heckel plots were produced for each compact investigated by plotting the natural log of  $1/1-D$  versus the compaction pressure (MPa) used. The slope of the plot was taken from a point qualitatively chosen to be the centre of the most linear region of the curve and a linear regression fitting approach as described by Hooper et al. applied (Hooper et al., 2016). The linear region was extended in approximately 10 MPa steps. Once the part of the curve which contained the highest regression

coefficient (specific to x coordinate) was established the step size was decreased until an accuracy of 1 MPa was reached (Hooper et al., 2016).

### 2.2.4. Mechanical properties of tablets

Dimensional analysis, tablet breaking force and the tablet weight was measured immediately after tablet ejection to reduce variance that could arise due to the tablets hygroscopicity or elastic recovery. All tests performed are detailed below.

**2.2.4.1. Dimensional measurements.** Dimensional analysis of the tablets was performed using a 0 – 25 mm  $1 \times 10^{-3}$  mm digital micrometre (Digital Measurement Metrology, Toronto, Canada). Tablets were lightly de-dusted using a brush immediately after ejection and the compact's width and thickness were then measured carefully as to not crush or chip the tablet prior or tablet breaking force testing (Paczkowska et al., 2020).

**2.2.4.2. Tablet tensile strength and porosity.** The tablet breaking force was measured with a Digital Portable Hardness Tester EH-01 94,010, (Electrolab, India) from an average of 10 tablets. Tensile strength ( $\sigma$ ) represents the resistance of the tablets to fracturing under diametric compression between two flat faces. Tensile strength values were calculated from the Breaking Force (F) values using Eq. (1) assuming conditions of ideal line loading (J. Fell and Newton, 1970; Akande et al., 1997), where d is the diameter of the tablet and h is the thickness of the tablets (J. Fell and Newton, 1970).

$$\sigma = \frac{2F}{\pi hd} \quad (1)$$

Eq. (1) can only be used when testing round, flat-faced tablets (Newton et al., 2000). Solid fraction (SF), was calculated using Eq. (2), where Wt is the tablet weight, v is volume of tablet and  $\rho_{\text{true}}$  is true density of the powder (Govedarica et al., 2009; Paczkowska et al., 2020; Iyer et al., 2014).

$$SF = \frac{Wt}{\rho_{\text{true}} \cdot v} \quad (2)$$

The tablet porosity ( $\epsilon$ ) was then calculated from SF using the following equation (Paczkowska et al., 2020; Iyer et al., 2014).

$$\epsilon = 1 - SF \quad (3)$$

The compaction pressure was calculated from the applied compression force and the cross-sectional area of the tablets.

### 2.2.5. Friability testing

Friability tests were conducted using a Friability Tester Model TA 20 (Copley Scientific, UK). 10 tablets were dusted and accurately weighed before placing in the drum apparatus. Tablets were then tested for 4 min (100 rotations) before removing the tablets, re-dusting and re-weighing. This procedure was conducted for each of the compression pressures used. The criteria to pass the friability test was a weight loss of no more than 1% as per the USP (U.S.Pharmacopeia, Tablet friability, 2019).

### 2.2.6. Disintegration testing

Disintegration tests were conducted using the Erweka ZT 44 Tablet Disintegration Tester (Copley Scientific, UK). Six tablets were placed into each of the six glass tubes (one tablet per each tube) with open tops and mesh screens on the bottom. The basket racks containing the tubes with the tablets were submerged in 900 mL of deionised water at  $37 \pm 2$  °C. These were oscillated up and down through a distance of 5 - 6 cm at 28–32 cycles per min. The time it took for all the tablets to disintegrate and for all of the particles to pass through the 10-mesh screen was recorded as the disintegration time. This procedure was conducted for each of the seven compression pressures investigated.

The criteria to pass the disintegration test was a disintegration time no longer than 15 min as per the USP (U.S.Pharmacopeia, Disintegration, 2019; British-Pharmacopoeia, Disintegration testing, 2019).

### 2.2.7. Dissolution testing and evaluation of dissolution profiles

Dissolution tests for PAR were conducted as per the USP monograph for acetaminophen tablets using a Vanderkamp 6 Spindle Dissolution Tester VK600 (Vankel Industries, USA) configured into a paddle apparatus (apparatus 2) using sink conditions (U.S.Pharmacopeia, Acetaminophen Tablets, 2019). Three tablets made at each compression force were selected and each tablet was then placed into a separate dissolution vessel containing 900 mL phosphate buffer (solution of monobasic potassium phosphate adjusted to pH 5.8 with NaOH) maintained at 37 °C (U.S.Pharmacopeia, Acetaminophen Tablets, 2019). The rotation speed of the paddles was 50 rpm. Tablets were added after the dissolution medium had reached a steady velocity and settled to the bottom of the bath once added. An aliquot of 5 mL from each bath was withdrawn using a syringe at each time point, filtered (25 mm syringe filter with a 0.45 µm polyethersulfone membrane, Fischer, Ireland) and then tested using UV-Vis spectroscopy at a wavelength of 243 nm. Samples from the vessels were withdrawn at several time intervals; 1 min, 2 min, 5 min, 10 min, 20 min, 30 min, 40 min, 50 min and 60 min, and at 10 min intervals after this up to 120 min if 100% release had not been achieved by then. This procedure was conducted for tablets made at each of the seven compression forces of the 50/50% v/v EtOH/H<sub>2</sub>O (#6) and PM non-SD samples.

Dissolution profiles were evaluated by calculating the  $f_1$  and  $f_2$  fit factors for every pair of dissolution curves obtained from tablets made at a given compression pressure (Moore and Flanner, 1996), taking that of the tablets made of the physical mixture (PAR and  $\alpha$ -H<sub>2</sub>O 50:50 w/w, unprocessed) as the reference (R) and that of the CC-SD powder (#6) as the test sample (T). In brief, the difference factor ( $f_1$ ) measures the dissimilarity between two dissolution profiles at different time points, while the similarity factor ( $f_2$ ) calculated the degree of closeness between two dissolution curves. The  $f_1$  and  $f_2$  values were calculated using Eqn. (4) and Eqn. (5) using DDSolver, an Excel add-in for modelling and comparison of drug dissolution profiles (Zhang et al., 2010):

$$f_1 = \left\{ \frac{\sum_{t=1}^n |R_t - T_t|}{\sum_{t=1}^n R_t} \right\} \cdot 100 \quad (4)$$

$$f_2 = 50 \cdot \log \left\{ \left[ 1 + \frac{1}{n} \sum_{t=1}^n (R_t - T_t)^2 \right]^{-0.5} \cdot 100 \right\} \quad (5)$$

where  $n$  is the number of timepoints,  $R_t$  and  $T_t$  are the mean percent dissolved from the reference and test products at a timepoint  $t$ , respectively. The  $f_1$  value is equal to 0 and the  $f_2$  factor is 100 when the R and T dissolution profiles are the same (Moore and Flanner, 1996).

## 3. Results and discussion

### 3.1. Evaluation of powders and mechanical properties of tablets

As previously described, all CC-SD PAR and  $\alpha$ -H<sub>2</sub>O samples were crystalline post spray drying with no polymorphic change in either the PAR or the  $\alpha$ -H<sub>2</sub>O seen (McDonagh and Tajber, 2020; McDonagh and Tajber, 2019). Also, the PAR and  $\alpha$ -H<sub>2</sub>O solubility in the hydro-ethanolic feed had a substantial influence on the morphology of the CC-SD agglomerates. A low excipient soluble fraction, when 100% ethanol was used, produced an increase in PAR surface coating and a high excipient soluble fraction, when ethanol/water 1:1 v/v mixture was used, resulted in agglomerates of highly mixed components (Fig. 1) (McDonagh and Tajber, 2020). It was therefore anticipated that the variability in the degree of intimate mixing following a crystallo-co-spray drying process may affect the downstream processing, in

particular tableting, of the materials obtained.

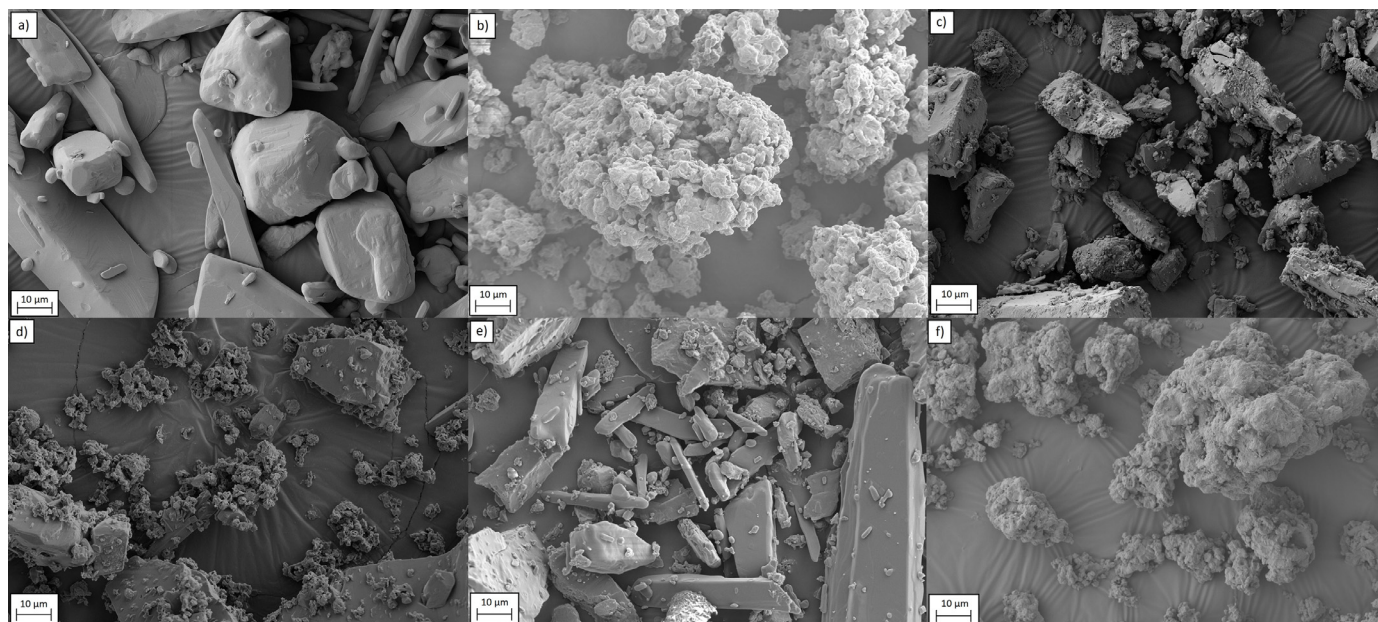
The median particle size of the CC-SD materials varied between 13.6 µm (sample #1) and 16.4 µm (sample #4) (McDonagh and Tajber, 2020) and the particle size data for the other samples are presented in Table 2. Table SI.1 gives the number of tablets produced and the number tested for each material at each compression pressure. What is also given is the number of tablets produced that showed signs of lamination; these tablets were not further tested. Lamination is the splitting of a tablet into two or more distinct horizontal layers. Similar to capping, the cause of this is as a result of entrapped air during the compression process or if the material tableted exhibits elastic recovery (Khan and Rhodes, 1973). Most of the CC-SD materials showed a lower tendency to laminate in comparison to the physically mixed materials with no tablet defects seen at any compression pressure for samples #3 - #6. For materials CC-SD at higher EtOH concentrations such as samples #1 and #2, defects did occur at higher compression pressures due to the effect of over compaction and increasing tendency for elastic recovery (Fig. 2). The robustness of the crystallo-co-spray drying process is highlighted in the instability of the individual components and physical mixtures during the tableting process (Fig. 2). The PM SD, PM non-SD, PAR SD and PAR non-SD all showed increased signs of lamination at lower compression pressures in comparison to the CC-SD samples. No tablets could be produced for both PAR materials (SD and non-SD) as well as the PM non-SD, at the highest compression pressure tested (299 MPa) due to the high lamination tendency.

#### 3.1.1. Compressibility

Fig. 3 shows the compressibility profiles of the various tablet samples. With an increase in compression pressure, the sharpest decrease in porosity was attributed to the #6 sample. The next sharpest decrease was seen in the #5 sample with all other CC-SD samples behaving similarly with the exception to the #1 sample which reduced in porosity to the smallest extent. The largest decrease in volume was associated with the #6 sample. Under compression a powder reduces in volume until reduction is no longer possible and deformation occurs. A larger reduction in volume results in the shortening of the distance between particles and therefore an increase in interparticle bonding. With this increase in bonding area comes an increase in compact strength as seen in Fig. 2.

The large reduction in material porosity for the #6 sample seen in Fig. 3 was due to the material's low tap density (Table 2). At higher water concentrations more  $\alpha$ -H<sub>2</sub>O was SD from solution resulting in a greater degree of intimate mixing in the final dried agglomerate (McDonagh and Tajber, 2020). With this increase in mixing came a decrease in material tap density in comparison to at higher EtOH concentrations in which  $\alpha$ -H<sub>2</sub>O was predominantly SD from suspension. With the #6 agglomerate having the lowest tap density, an increase in compression pressure lead to the largest reduction in porosity (Fig. 3). The largest decrease in volume on tapping of the physical mixtures and individual components of the agglomerates was attributed to the PAR SD. It was the most porous material of the physical mixtures and individual components, which could be a result of it being the only material SD from solution. In work previously described, PAR was SD from solution and characterised based on the physical characteristics of atomised droplets. It was found that spray drying from solution had the effect of forming semi-spherical particles of varying densities based on the spray drying parameters chosen (McDonagh and Tajber, 2019). This can also be seen in Table 2 with the lowest tap density value of the individual components being attributed to the PAR SD material.

All SD materials had lower tap density in comparison to their non-SD counterparts (Table 2). This resulted in larger reduction in material porosity values for the SD materials as the compression pressure increased (Fig. 3). Similar to the above, with a greater reduction in material porosity and subsequent increase in interparticle interaction, there was an increase in compact tensile strength (McKenna and McCafferty, 1982). For this reason, there was an increase in tensile



**Fig. 1.** Scanning electron microscopy images of PAR as received from the supplier (PAR non-SD, a), PAR spray dried using 100% EtOH (PAR SD, b),  $L\alpha\cdot H_2O$  as received from the supplier (LAC non-SD, c),  $L\alpha\cdot H_2O$  spray dried using 100% EtOH ( $L\alpha\cdot H_2O$  SD, d), PAR +  $L\alpha\cdot H_2O$  physically mixed 1:1 ratio (PM non-SD, e) and PAR +  $L\alpha\cdot H_2O$  spray dried separately and then physically mixed (PM SD, f).

**Table 2**

True density, tap density, particle size distribution and mean yield pressure ( $P_y$ ) of the samples tested.

Sample number	True density ( $g/cm^3$ )	Tap density ( $g/cm^3$ )	Particle size distribution*			$P_y$ (MPa)
			d(0.1) $\mu m$	d(0.5) $\mu m$	d(0.9) $\mu m$	
#1	1.38 $\pm$ 0.02	0.654 $\pm$ 0.017	3.24 $\pm$ 0.44	13.60 $\pm$ 0.73	53.39 $\pm$ 6.34	166.6
#2	1.39 $\pm$ 0.01	0.638 $\pm$ 0.018	3.45 $\pm$ 0.56	15.79 $\pm$ 1.28	64.09 $\pm$ 6.28	153.8
#3	1.39 $\pm$ 0.01	0.618 $\pm$ 0.019	3.71 $\pm$ 0.33	16.37 $\pm$ 1.00	68.45 $\pm$ 6.79	151.5
#4	1.40 $\pm$ 0.01	0.593 $\pm$ 0.017	3.84 $\pm$ 0.30	16.39 $\pm$ 0.28	64.82 $\pm$ 5.27	135.1
#5	1.39 $\pm$ 0.01	0.564 $\pm$ 0.024	3.79 $\pm$ 0.55	16.01 $\pm$ 0.84	51.70 $\pm$ 7.56	131.6
#6	1.36 $\pm$ 0.01	0.548 $\pm$ 0.014	3.89 $\pm$ 0.25	14.38 $\pm$ 0.49	38.91 $\pm$ 1.46	93.4
PM SD	1.46 $\pm$ 0.01	0.598 $\pm$ 0.009	5.42 $\pm$ 0.25	29.50 $\pm$ 0.61	117 $\pm$ 5.79	294.1
PM non-SD	1.42 $\pm$ 0.01	0.773 $\pm$ 0.006	5.09 $\pm$ 0.05	21.80 $\pm$ 0.33	83.05 $\pm$ 7.11	714.3
PAR SD	1.31 $\pm$ 0.01	0.549 $\pm$ 0.011	2.33 $\pm$ 0.16	11.36 $\pm$ 0.84	24.44 $\pm$ 1.46	138.8
PAR non-SD	1.32 $\pm$ 0.01	0.684 $\pm$ 0.009	1.86 $\pm$ 0.11	7.82 $\pm$ 0.24	17.13 $\pm$ 0.38	256.4
$L\alpha\cdot H_2O$ SD	1.50 $\pm$ 0.01	0.726 $\pm$ 0.022	3.88 $\pm$ 0.17	25.21 $\pm$ 0.21	86.81 $\pm$ 1.42	136.9
$L\alpha\cdot H_2O$ non-SD	1.48 $\pm$ 0.03	0.806 $\pm$ 0.006	4.42 $\pm$ 0.09	25.04 $\pm$ 0.70	88.41 $\pm$ 2.85	212.7

\* - data for the systems #1-#6, PM non-SD and  $L\alpha\cdot H_2O$  non-SD were reprinted from (McDonagh and Tajber, 2020), with permission from Elsevier.

strength for materials that were SD in comparison to their non-SD counterparts (Fig. 2). Both  $L\alpha\cdot H_2O$  materials had the highest tablet bulk density accounting for the low porosity seen in Fig. 3. This is evident in materials that undergo brittle fragmentation under compression leading to an increase in densification (Vromans et al., 1985).

To help understand the densification process further, Heckel plots were derived for each compact tested (Fig. 4). Fig. 4a shows the Heckel plots of the CC-SD agglomerates. During the initial stages of compression, the #6 agglomerate underwent more extensive densification in comparison to the other agglomerates. This may be due to the #6 agglomerates readily undergoing more rearrangement at low compression pressures due to the low density of the particles (Table 2). Further rearrangement and densification were also facilitated by the fragmentation of the  $L\alpha\cdot H_2O$  constituent of the agglomerate in which the #6 agglomerate had the highest degree of PAR -  $L\alpha\cdot H_2O$  interaction. Towards the higher end of the compression pressures used the #6 agglomerate exhibited plastic behaviour however, its densification appeared to be hindered indicating a maximum compression had been reached. The other agglomerates exhibited a much more gradual densification process in comparison. Fig. 4b gives the Heckel plots of the individual components of the CC-SD agglomerates investigated. Similar

to the #6 agglomerate, the SD samples exhibited a more extensive densification process in comparison to their non-SD counterparts also in line with the trends seen in the tap density values (Table 2). The LAC SD sample underwent the largest degree of densification and the PAR non-SD sample underwent the least, in line with the material's poor compressibility evident in signs of overcompaction. The mean yield pressure values (Table 2) indicate that the #6 agglomerate, with the lowest  $P_y$  value, exhibited the most plastic behaviour in comparison to other systems.

### 3.1.2. Compactibility

The tabletability profiles of PAR and  $L\alpha\cdot H_2O$  CC-SD agglomerates at various inlet feed solvent compositions can be seen in Fig. 2 and of the individual components of the agglomerates both SD and non-SD, together with their physical mixtures, in Fig. 2. The #6 agglomerate produced tablets with the highest tensile strength at the lowest compression pressures used. As the compression pressure increased, using this sample, past a maximum tensile strength achieved at 100 MPa, the strength of the tablets decreased as a result of overcompaction. At this maximum, the #6 sample had a tensile strength 1.5 times larger than the #5 sample and 2.9 times the strength of the PM SD. The #5 sample

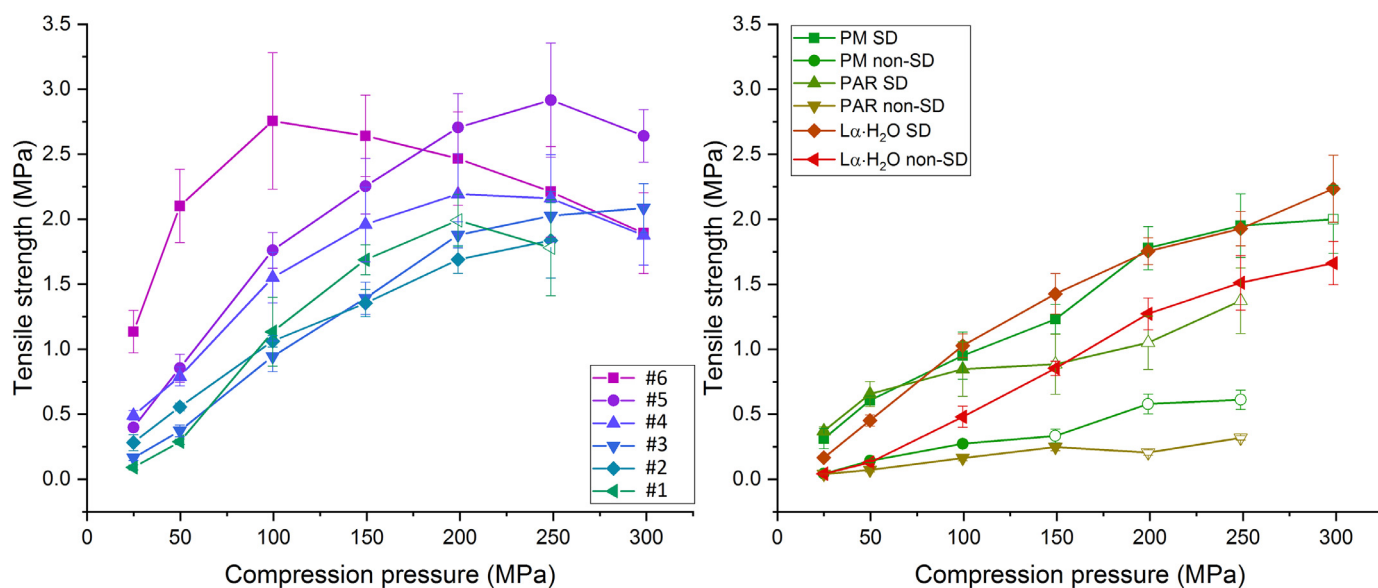


Fig. 2. Tableability profiles of PAR and L $\alpha$ -H $_2$ O mixtures CC-SD at various inlet feed solvent compositions and their individual components both SD and non-SD as well as their SD and non-SD physical mixtures. A solid datapoint indicates that all tablets produced were free from any defects, a hollow datapoint indicates that some tablets showed defects (Table SI.1) and if no datapoint is present then no tablets could be reliably produced at that compression pressure. Lines are to guide between datapoints only. Error bars represent standard deviation.

had the highest tensile strength at a higher compression pressure reaching a maximum strength at 249 MPa with a similar trend in overcompaction seen as the compression pressure increased past this point. The average tensile strength of the compacts decreased with an increasing concentration of EtOH in the feed with the exception of the #1 sample that showed an improved strength over the #2 and #3 samples over the compression pressures of 100 MPa, 149 MPa and 199 MPa, however tablets could not consistently be produced at the maximum compression pressure used of 299 MPa for the #1 sample due to the presence of lamination.

The compactibility of a material is its ability to be transformed into tablets of required strength during densification (Sun and Grant, 2001; Tye et al., 2005). This plot is quite useful for tablet evaluation as it

represents two very important parameters, the tensile strength and porosity. Both may influence the dissolution properties of the compact. The compactibility profiles for both the CC-SD agglomerates and their individual components can be seen in Fig. 5.

It is favourable for a tablet to have an adequate tensile strength whilst maintaining a desired level of porosity (Tye et al., 2005). If such a trade-off can be achieved, then a tablet can be produced with a favourable mechanical strength and dissolution profile (Bandari et al., 2008). The #6 sample had the highest tensile strength on average with respect to the porosity. With an increase in the EtOH concentration of the CC-SD feed, the compactibility of the materials decreased up to a composition of 70% v/v EtOH/H $_2$ O (#4) after which the so-SD mixtures behaved similarly. It can be seen in Fig. 5 that by spray drying the

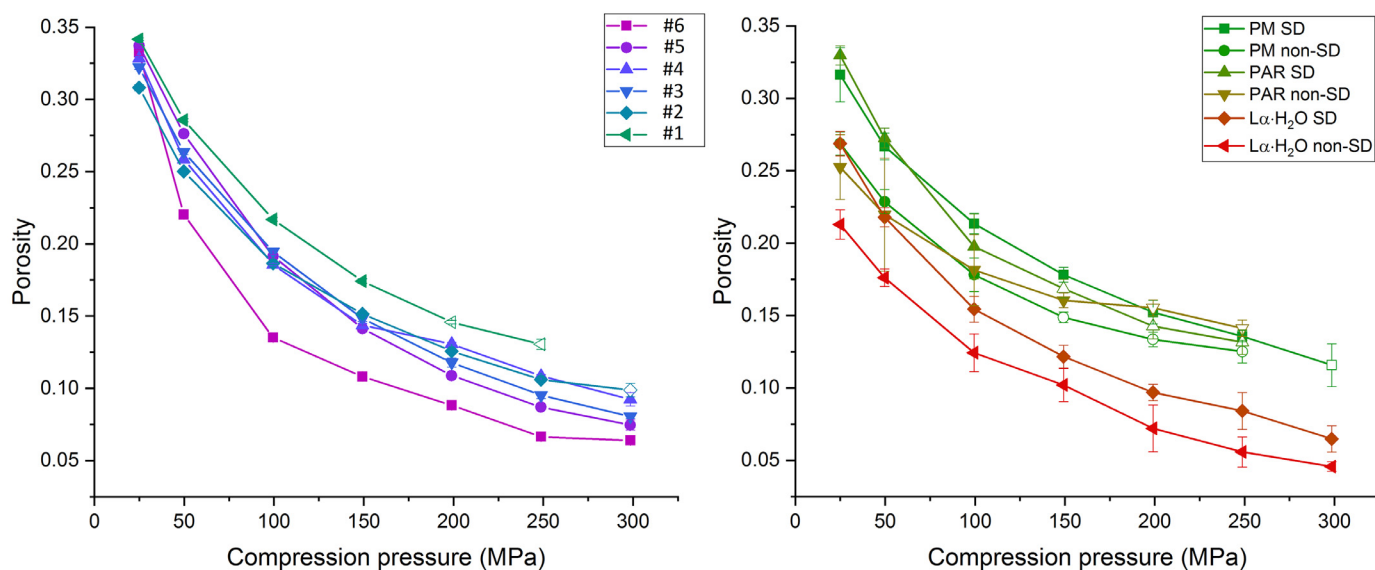


Fig. 3. Compressibility profiles of PAR and L $\alpha$ -H $_2$ O mixtures CC-SD at various inlet feed solvent compositions and their individual components both SD and non-SD as well as their SD and non-SD physical mixtures. A solid datapoint indicates that all tablets produced were free from any defects, a hollow datapoint indicates that some tablets showed defects (Table SI.1) and if no datapoint is present then no tablets could be reliably produced at that compression pressure. Lines are to guide between datapoints only. Error bars represent standard deviation.

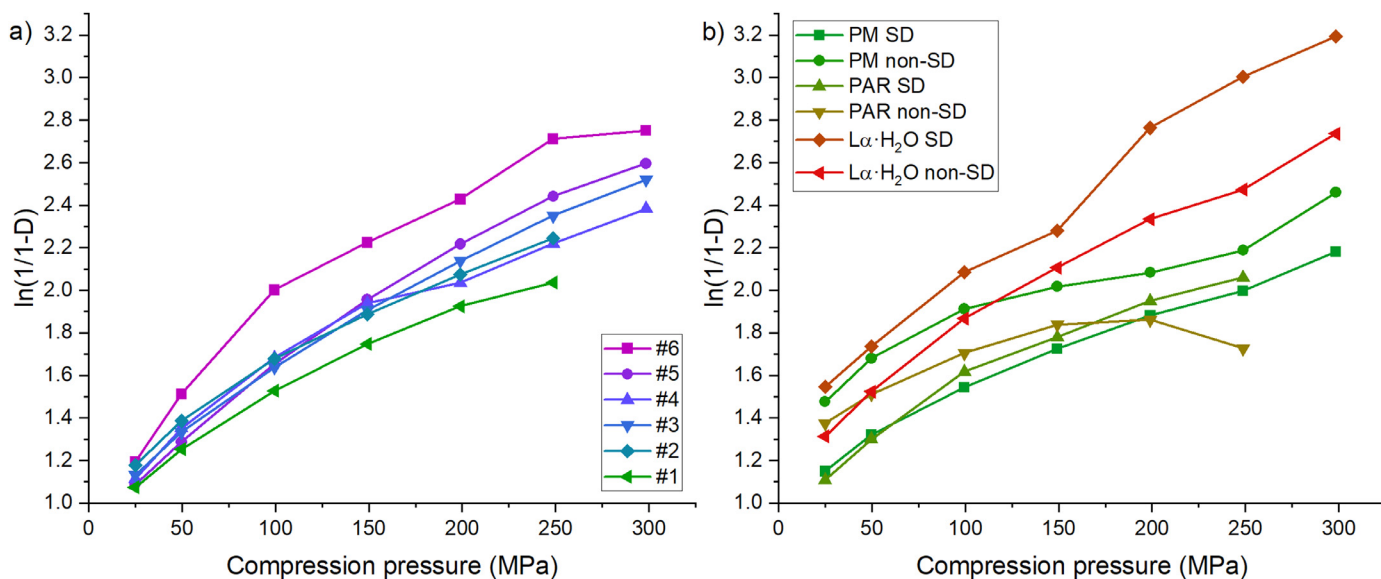


Fig. 4. Heckel plots for PAR +  $L\alpha\cdot H_2O$  CC-SD mixtures (a) and the individual components (b) investigated.

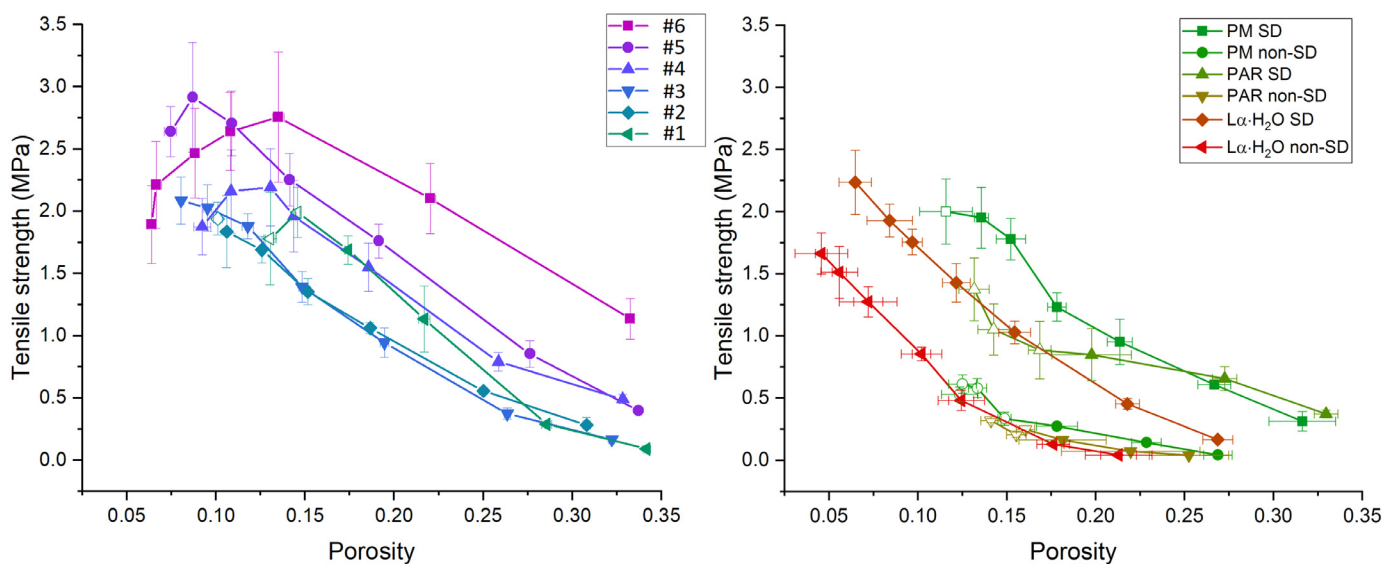


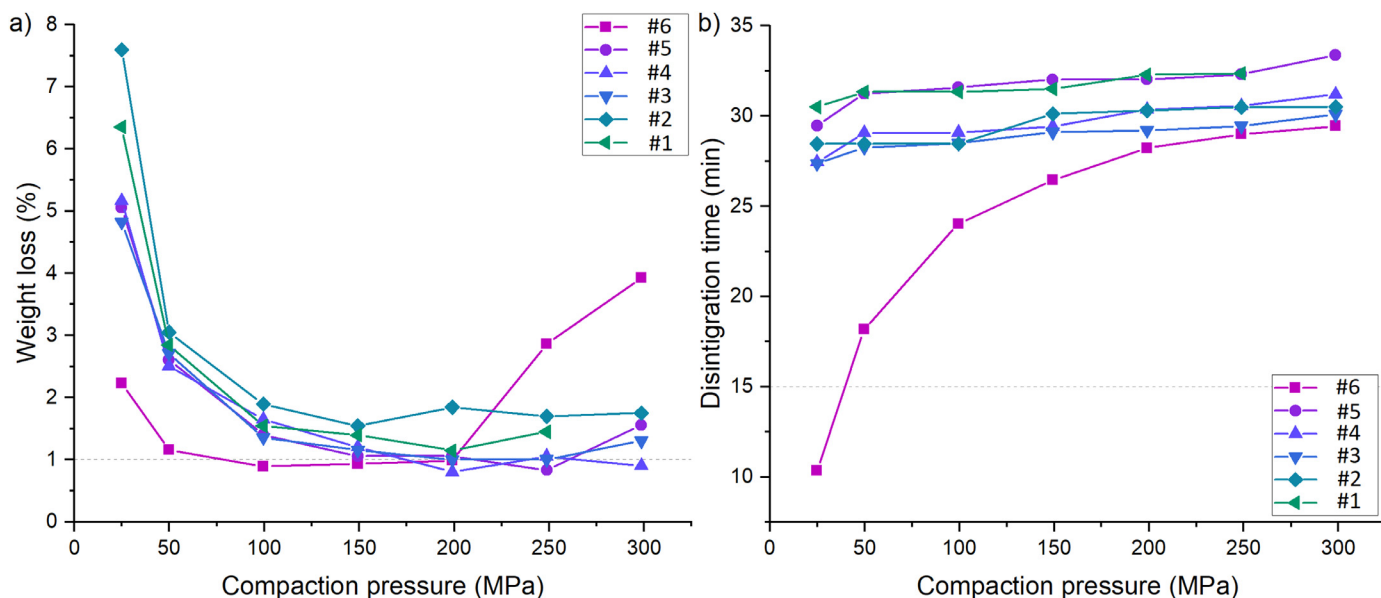
Fig. 5. Compactibility profiles of PAR and  $L\alpha\cdot H_2O$  mixtures CC-SD at various inlet feed solvent compositions and their individual components both SD and non-SD as well as their SD and non-SD physical mixtures. A solid datapoint indicates that all tablets produced were free from any defects, a hollow datapoint indicates that some tablets showed defects (Table SI.1) and if no datapoint is present then no tablets could be reliably produced at that compression pressure. Lines are to guide between datapoints only. Error bars represent standard deviation.

individual components of the agglomerates, an improvement can be made to the compactibility profile with all SD materials behaving more favourably in comparison to their non-SD counterparts. The PM SD sample had the best compactibility profile of the individual components and physical mixtures in terms of maximising both tensile strength and porosity. The poor tableting performance of PAR non-SD is evident in Fig. 5 in which all tablets produced had a low tensile strength as well as a high solid fraction indicating how unfavourable the material's crystal packing is for compaction.

Alderborn and Frenning state that the compactibility of a material is defined as the ability of a powder to form a coherent tablet as a result of compression, with such a material having a high resistance towards fracturing without tendencies to cap or laminate (Augsburger and Hoag, 2008). As evident in Fig. 2, the PM both SD and non-SD showed signs of such tablet defects at higher compression pressures. PAR SD and non-SD also showed signs of lamination and capping, which is common for the material (Garr and Rubinstein, 1991), resulting in no

tablets being reliably produced at the maximum compression pressure investigated (299 MPa). But these defects were also present in the CC-SD agglomerates SD using 100% EtOH (#1) and 90% v/v EtOH/ $H_2O$  (#2) in the inlet feed with no tablets able to be produced for the #1 sample at this maximum pressure (Fig. 2). This is further evidence for the high compactibility of the CC-SD agglomerates processed at higher water concentrations/soluble fractions of the excipient in the spray dryer inlet feed (McDonagh and Tajber, 2020).

**3.1.2.1. PAR and  $L\alpha\cdot H_2O$  soluble fraction in inlet feeds.** PAR was taken as the model drug in this study due to its poor compaction behaviour with tablet tensile strength only ranging from 0.04 MPa to 0.31 MPa (for compaction pressures of 25 MPa to 299 MPa respectively) (Fig. 2). In order to understand the improvement in tableting performance associated with the crystallo-co-spray drying of PAR and  $L\alpha\cdot H_2O$  we must first look at the soluble fraction of each component in the solvent feed. As presented previously (McDonagh and Tajber, 2020), by



**Fig. 6.** Friability (a) and disintegration (b) profiles of tablets made of PAR +  $L\alpha\cdot H_2O$  CC-SD mixtures. For friability testing, a weight loss < 1% is a pass (U.S.Pharmacopeia, Tablet friability, 2019) and for disintegration testing, a disintegration time < 15 min for uncoated immediate release tablets is a pass (U.S.Pharmacopeia, Disintegration, 2019). Solid lines are to guide between datapoints only. Dashed lines represent the criteria for passing.

crystallo-co-spray drying PAR and  $L\alpha\cdot H_2O$  from a Sc of 50/50% v/v EtOH/ $H_2O$  (#6),  $45.89 \pm 1.34\%$  of the  $L\alpha\cdot H_2O$  was in solution together with  $96.95 \pm 0.22\%$  of PAR. As an atomised droplet dried, both these components in solution precipitated almost simultaneously. This produced a dried particle consisting of highly mixed components. For the #1 sample, only  $0.18 \pm 0.13\%$  of the  $L\alpha\cdot H_2O$  was in solution as well as  $96.72 \pm 0.93\%$  of the PAR. Due to the vast majority of  $L\alpha\cdot H_2O$  now being in suspension, the only places that the precipitating PAR can form is either homogeneously in the bulk of the droplet or on the surface of the suspended  $L\alpha\cdot H_2O$  particles, greatly reducing the degree of intimate mixing in the final dried powder blend. With an increase in soluble fraction of  $L\alpha\cdot H_2O$  in the solvent feed also came a minor decrease in  $L\alpha\cdot H_2O$  crystallinity in the final spray dried agglomerate, as evidenced by powder X-ray diffraction and dynamic vapour sorption (McDonagh and Tajber, 2020). This increase in disorder may have impacted on particle rearrangement under compression resulting in an improvement in the tabletability of the material evident in Fig. 2 in which  $L\alpha\cdot H_2O$  that was spray dried showed an improvement over its non-SD counterpart. For these reasons, the #6 sample showed a greater improvement in tabletability at low compression pressures compared to agglomerates produced by crystallo-co-spray drying from higher EtOH feed concentrations. The improvement over the PM SD shows that a stronger tablet is obtained when both components are SD simultaneously in comparison to individually and then physically mixed.

Tablet compaction increases strength through particle fracture and packing rearrangement where the bed is sheared and the particles are deformed (Hiestand, 1997). As stated by Hoag et al., through the process of compaction, the volume between particles is reduced and rearrangement occurs into a closer packing structure. At some point, the packing characteristics of the powder and friction between the particles prevent any further reduction in volume and at this point the particles deform either elastically (reversible), plastically (irreversible) or viscoelastically (Hoag et al., 2008). Some materials fragment under compression such as  $L\alpha\cdot H_2O$ , which further reduces the powder volume (Vromans et al., 1985). As a result of this process, particle surfaces come into close proximity leading to the formation of interparticle bonds and therefore increasing compact strength (Hoag et al., 2008). Chadwick et al. and Telford et al. found molecular functionality between the hydroxyl groups of the  $L\alpha\cdot H_2O$  molecule and the hydroxyl

and amide groups of the PAR form I molecule (Chadwick et al., 2012; Telford et al., 2016). In work previously published by this research group, second derivative Fourier transform infra-red spectroscopy analysis of CC-SD PAR and  $L\alpha\cdot H_2O$  showed subtle changes in these functional groups in both materials (McDonagh and Tajber, 2020). With an increase in soluble fraction of the excipient in the spray dryer inlet feed, there was a higher degree of intimate mixing in the final dried agglomerate (McDonagh and Tajber, 2020). During consolidation, this increase in drug-excipient mixing increased the interparticle bonding strength and therefore the tensile strength of the resulting compact (Fig. 2). With a reduction in component mixing, this strength decreased, evident in materials CC-SD at higher EtOH concentrations. Also, considering similar tap density values (Table 2), the #6 sample had the largest tensile strength indicating that at the same material compaction, this sample had the strongest interparticle bonding.

At a compression pressure of 149 MPa, a third of tablets produced from the physically mixed blend of PAR +  $L\alpha\cdot H_2O$  (PM non-SD) showed signs of lamination as a result of the poor compressibility of the material further emphasising the improvement associated with spray drying.  $L\alpha\cdot H_2O$  SD showed an improvement in tabletability in comparison to its non-SD counterpart. A similar trend was seen for PAR with the PAR SD having a greater tensile strength at each compression pressure compared to PAR non-SD. At the final compression pressure used of 299 MPa, there was no data point for either the PAR SD or the PAR non-SD due to tablet capping and lamination, as evident in materials prone to elastic deformation (Garr and Rubinstein, 1991; Di Martino et al., 1996). The improvement in tabletability for SD materials over non-SD materials is down to the materials density which will be discussed in the next section.

## 3.2. Functional properties of tablets

### 3.2.1. Friability and disintegration

To further emphasise the effect of component soluble fraction on the improvement in physiochemical properties associated with the crystallo-co-spray drying of PAR and  $L\alpha\cdot H_2O$ , friability and disintegration studies of the tablets were also conducted (Fig. 6).

The #6, #5 and #4 agglomerates all compressed into tablets that obtained the required maximum% weight loss of 1% to pass friability testing (Fig. 6a). The #6 tablets produced at 100 MPa, 149 MPa and



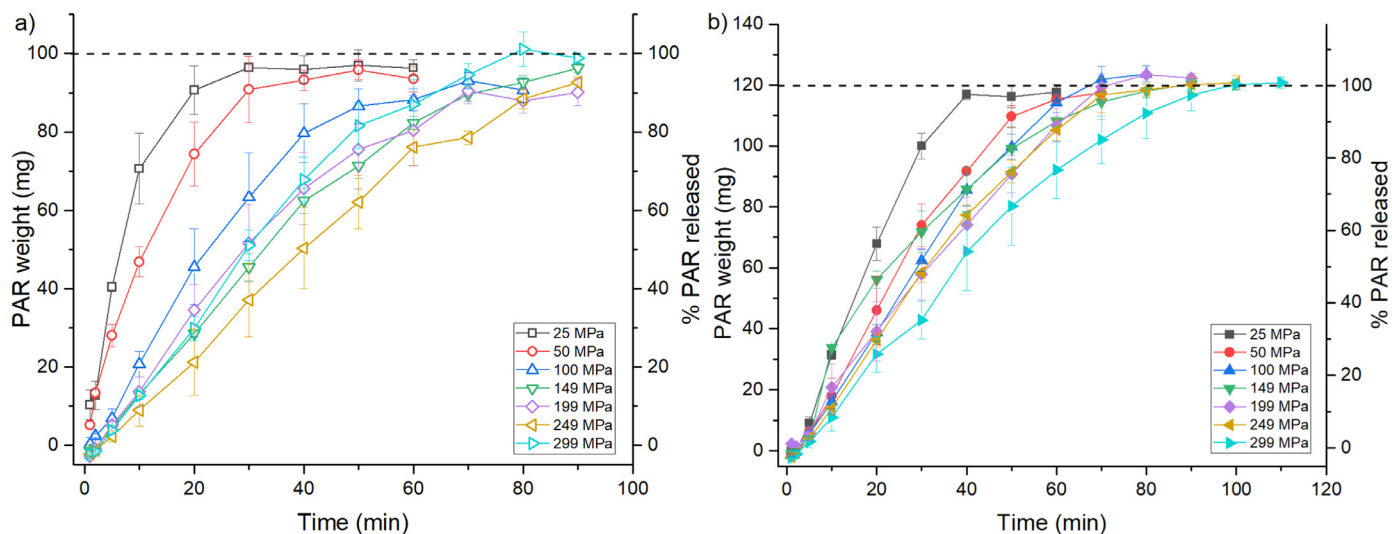


Fig. 7. Dissolution profiles of tablets made of: a) the physically mixed PAR +  $L\alpha\cdot H_2O$  sample that was not spray dried (PM non-SD) and b) CC-SD #6 sample at various compression pressures showing the weight and percentage of PAR released over time. Total tablet weight was 200 mg, the broken lines indicate the drug loading. Error bars represent standard deviation.

199 MPa all passed this criterion. The effect of over compaction of the #6 sample is evident in Fig. 6a with a sharp increase in % weight loss for tablets produced at the maximum compression pressure. Interestingly, the #6 sample behaved differently to the rest of the other CC-SD mixtures with respect to the disintegration tests. All tablets made of CC-SD samples disintegrated between 27 and 32 min however the tablets prepared at the lowest compression pressure used of 25 MPa disintegrated as quickly as 10.6 min, satisfying the maximum allowable time of 15 min for uncoated tablets to disintegrate according to the British Pharmacopoeia (British-Pharmacopoeia, Disintegration testing, 2019).

### 3.2.2. Dissolution studies

The dissolution profiles of the tablets made of CC-SD #6 sample and of the physically mixed PAR +  $L\alpha\cdot H_2O$  sample that was not spray dried (PM non-SD), at various compression pressures can be seen in Fig. 7. The tablets made of the physically mixed sample released the drug quicker than the tablets made of the CC-SD sample as a result of the tablet's inferior compaction (Fig. 7a). The #6 agglomerate produced much harder tablets in comparison to the PM non-SD which is evident in Fig. 2.

The  $f_1$  and  $f_2$  values calculated using Eqns. (4) and 5 show that the dissolution profiles of the tablets made of the CC-SD powder and of the physically mixed PAR +  $L\alpha\cdot H_2O$  were different when 25, 50 and 299 MPa compression pressure was used (Table 3). Considering both fit factors, only the tablets compacted at 199 MPa can be regarded as comparable, since the value of  $f_1$  was below 15 and the  $f_2$  value was

Table 3

The  $f_1$  and  $f_2$  values for the dissolution profiles of tablets made of PAR and  $L\alpha\cdot H_2O$  (50:50 w/w). The dissolution curves obtained from tablets by compacting the physical mixture of unprocessed components were taken as the reference product (R), while the dissolution curves of the tablets made of the CC-SD powder were taken as the test product (T). Values in large bold italic font indicate similar profiles ( $f_1 < 15$  and/or  $f_2 > 50$ ).

Compaction pressure (MPa)	$f_1$	$f_2$
25	27.27	30.21
50	32.48	30.99
100	16.21	51.95
149	16.29	52.08
199	9.40	69.06
249	18.97	56.80
299	22.45	46.88

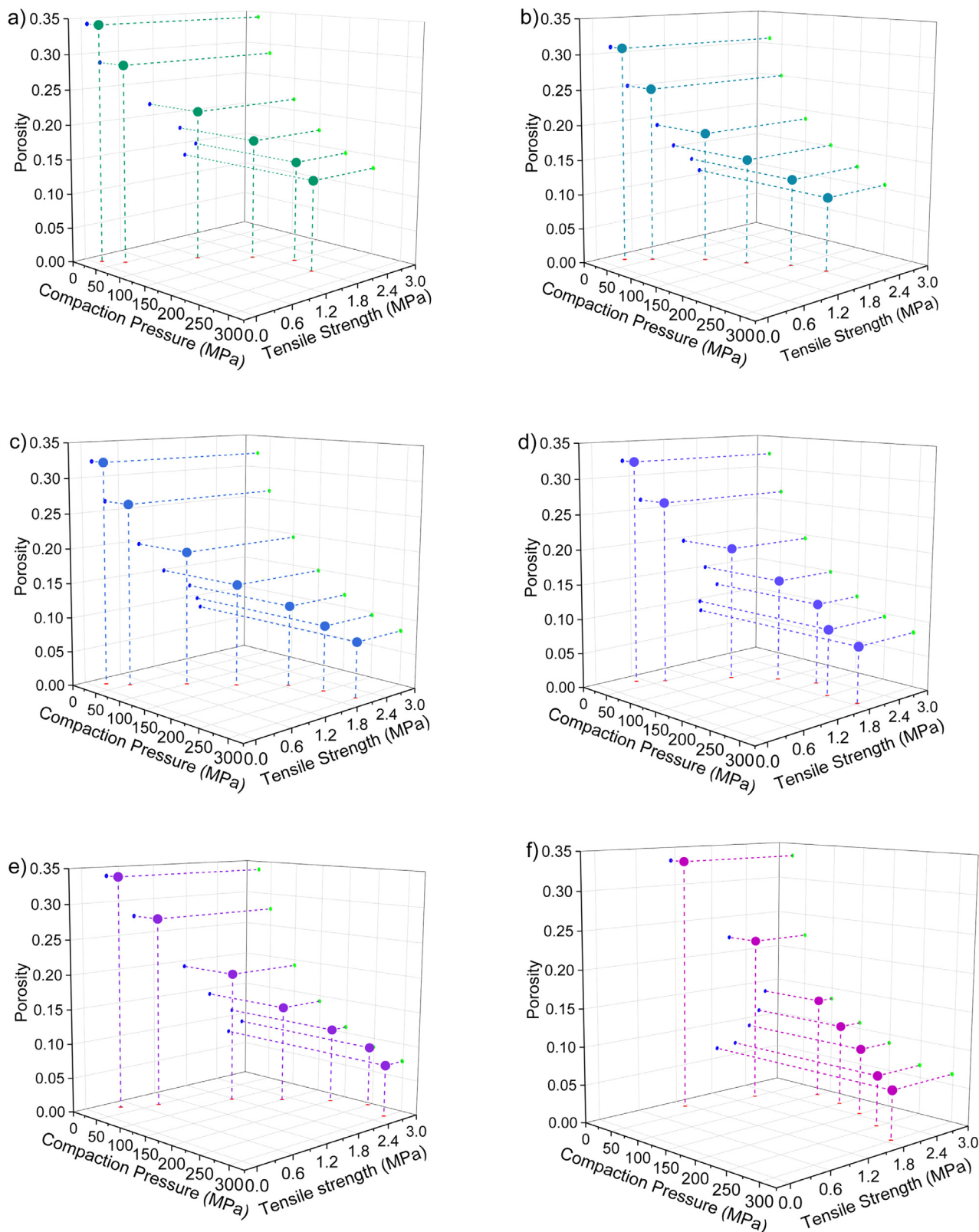
above 50 (Moore and Flanner, 1996). However, the formulations tableted at 100, 149 and 249 MPa may also be considered as similar, based on one fit factor only,  $f_2$  (Table 3).

What was interesting was that the tablets of the CC-SD material (#6) dissolved giving a higher PAR concentration, equivalent to around 120 mg drug loading, than expected and not consistent with the PAR to  $L\alpha\cdot H_2O$  ratio of 50/50% w/w. Analysis of wall deposition in the spray dryer for the #6 agglomerate found that around 88% w/w of material deposited on the inner wall of the drying chamber was  $L\alpha\cdot H_2O$  as was 48% of material in the drying chamber-cyclone connector and 38.5% of material deposited in the cyclone. With more  $L\alpha\cdot H_2O$  being deposited on the inner walls of the dryer than PAR, there was a greater concentration of PAR in the final dried particle blend with an average drug content of  $58.5 \pm 2.6\%$  w/w in the final powder blend. This makes the results previously described even more significant in that a stronger tablet compact is produced in comparison to physical mixtures even with a higher concentration of PAR with its inherent poor tableting properties being present.

### 3.3. Tablet profiles summary

A summary of the CC-SD sample's tablet characterisation (tableting ability, compressibility and compactibility (Tye et al., 2005)) is given in Fig. 8 below. The desirable characteristics of a tablet compact in which the strongest tablet possible is produced at the lowest compression pressure required while also maintaining the highest material porosity is best described in Fig. 8f for the #6 sample. For this CC-SD material, a compression pressure of 100 MPa best fits the above criteria. Tableting ability (Fig. 2), compactibility (Fig. 5) and compressibility profiles (Fig. 3) indicate that the #6 sample exhibits the best physical properties for direct compression in comparison to agglomerates SD using higher EtOH concentrations in the spray dryer inlet feed and compared to the individual components of the agglomerates both SD and non-SD. It was the best performing sample with a decrease in processability from here as the concentration of EtOH increased in the spray dryer inlet feed (#5 - #1).

Tableting profiles show that stronger tablets are produced with an increase in component mixing of CC-SD PAR +  $L\alpha\cdot H_2O$  agglomerates as a result of an increase in the water concentration of the spray dryer inlet feed (Fig. 2 and Fig. 7). Compactibility profiles showed that agglomerates CC-SD using higher soluble fraction of components in the inlet feed produced compacts with the highest tensile strength with



**Fig. 8.** 3D surface plots of tablet characterisation for PAR +  $L\alpha$ -H<sub>2</sub>O CC-SD agglomerates SD using inlet feed solvent compositions: a) #1, b) #2, c) #3, d) #4, e) #5 and f) #6.

respect to the tablet's porosity (Fig. 5) and that at such soluble fractions, a material less prone to tablet defects such as lamination was produced. Compressibility profiles (Fig. 3) showed that this increase in tablet tensile strength was due to the increased volume loss associated with

powders of lower tap density (Table 2), and an increase in inter-particulate bonding between PAR and  $L\alpha$ -H<sub>2</sub>O molecules. The process of CC-SD enhances the masking ability of the excipient as stronger tablets are produced at lower compaction pressures even with an increase

in drug concentration in the powder blend (Fig. 2 and Fig. 7).

#### 4. Conclusions

The crystallo-co-spray drying of a poorly compressible drug with a compressible excipient material can improve the tableting performance of the agglomerate, through the process of crystallo-co spray drying, if the composition of the spray dryer inlet feed is properly evaluated. The increase in the degree of intimate mixing in the final spray dried agglomerate as a result of the increase in soluble fraction of the excipient in the spray dryer inlet feed as well as a slight increase in  $\text{L}\alpha\text{-H}_2\text{O}$  disorder, leads to improvements to the blend's tensile strength resulting in a tablet of higher mechanical strength being produced at lower compaction pressures even at higher drug ratios. Improvements to the tableting properties of the individual components was also seen after spray drying with both PAR and  $\text{L}\alpha\text{-H}_2\text{O}$  forming harder compacts after spray drying individually. With the increase in mechanical strength for the tabletted CC-SD agglomerates, the criteria to pass friability and disintegration testing could then be met. Therefore, the crystallo-co-spray drying of a drug and an excipient to improve the degree of intimate mixing can be used as a method to improve the tableting properties of a blend to allow for direct compression to be utilised.

#### CRedit authorship contribution statement

**Alan F. McDonagh:** Conceptualization, Methodology, Formal analysis, Investigation, Writing - original draft, Visualization. **Bronagh Duff:** Methodology, Formal analysis, Investigation. **Lorna Brennan:** Formal analysis, Investigation. **Lidia Tajber:** Conceptualization, Writing - review & editing, Visualization, Supervision, Funding acquisition.

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#### Supplementary materials

Supplementary material associated with this article can be found, in the online version, at doi:10.1016/j.ejps.2020.105505.

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