



**Preparation and characterisation of novel spray dried nano-structured para-aminosalicylic acid particulates for pulmonary delivery: impact of ammonium carbonate on morphology, chemical composition and solid state**

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Abstract:	<p>Objectives: The objective of this work was to spray dry p-aminosalicylic acid (PAS) and its ammonium salt and to investigate the impact of the pore forming agent, ammonium carbonate (AC), on the morphological, aerodynamic and physicochemical properties of the resulting powders.</p> <p>Methods: Microparticles were prepared by spray drying from ethanol/water solvent systems. Their solid state properties were evaluated by SEM, pXRD, DSC, TGA and in vitro deposition, using the twin impinger.</p> <p>Key findings: The physicochemical properties of PAS were altered on spray drying with AC and a new solid state was produced. The solution composition impacted on the morphology of the resulting powders, which ranged from irregular crystal agglomerates to spherical crystal clusters and porous microparticles. The chemical composition, structure and morphology were dependent on process inlet temperature, low inlet temperatures resulting in a novel solid of stoichiometry; PAS:ammonia:water, 2:1:0.5. At higher temperatures pure PAS was obtained. In vitro deposition studies showed an</p>

	<p>increase in emitted dose from spray dried drug, relative to the micronised PAS.</p> <p>Conclusions: Under appropriate process conditions AC interacts with the acidic PAS, resulting in the formation of a novel solid state drug phase. Spray dried PAS powders have potential for pulmonary delivery.</p>

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**Preparation and characterisation of novel spray dried nano-structured para-aminosalicylic acid particulates for pulmonary delivery: impact of ammonium carbonate on morphology, chemical composition and solid state**

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Keywords: p-aminosalicylic acid, spray drying, new solid-state form, aerosol, inhalation

## 1 Abstract

2 Objectives: The objective of this work was to spray dry p-aminosalicylic acid (PAS) and its  
3 ammonium salt and to investigate the impact of the pore forming agent, ammonium  
4 carbonate (AC), on the morphological, aerodynamic and physicochemical properties of the  
5 resulting powders.

6 Methods: Microparticles were prepared by spray drying from ethanol/water solvent systems.  
7 Their solid state properties were evaluated by SEM, pXRD, DSC, TGA and *in vitro*  
8 deposition, using the twin impinger.

9 Key findings: The physicochemical properties of PAS were altered on spray drying with AC  
10 and a new solid state was produced. The solution composition impacted on the morphology  
11 of the resulting powders, which ranged from irregular crystal agglomerates to spherical  
12 crystal clusters and porous microparticles. The chemical composition, structure and  
13 morphology were dependent on process inlet temperature, low inlet temperatures resulting in  
14 a novel solid of stoichiometry; PAS:ammonia:water, 2:1:0.5. At higher temperatures pure  
15 PAS was obtained. *In vitro* deposition studies showed an increase in emitted dose from  
16 spray dried drug, relative to the micronised PAS.

17 Conclusions: Under appropriate process conditions AC interacts with the acidic PAS,  
18 resulting in the formation of a novel solid state drug phase. Spray dried PAS powders have  
19 potential for pulmonary delivery.

## 20 1. Introduction

21

22 Inhaled therapy is potentially a convenient way of delivering antitubercular agents to the  
23 lungs. Tuberculosis continues to be an important killer disease causing three million deaths  
24 annually [1]. It is a chronic infectious disease caused by the bacterium *Mycobacterium*  
25 *tuberculosis*, and the lungs are the primary site of infection. Although potentially curative  
26 treatments and vaccines are available, tuberculosis remains the foremost cause of  
27 preventable deaths in the world. Difficulties associated with the treatment of tuberculosis  
28 include low concentrations of the drugs in the lungs following oral administration and high  
29 lung clearance [2] as well as long treatment times, up to two years, where poor compliance  
30 can result in the development of multidrug-resistant strains of *M. tuberculosis* [3].

31 p-Aminosalicylic acid (PAS, Figure 1a) is used therapeutically as an antitubercular agent and  
32 needs to be administered orally in very large amounts, 8 - 12 grams per day in 2 or 3 divided  
33 doses [4]. This is inconvenient to the patient, hence the importance of reducing the drug  
34 dosage. Formulation of PAS into porous particles for direct delivery into the lungs was  
35 described by Tsapis et al. [5]. They formulated PAS as large porous particles containing  
36 95% PAS by weight and the excipient 1,2-dipalmitoyl-sn-glycero-3-phosphocholine via spray  
37 drying. Pharmacokinetic data indicated that PAS delivered via insufflation reached the  
38 systemic circulation within 15 min of delivery to the lungs with a plasma peak concentration  
39 of  $11 \pm 1$   $\mu\text{g/ml}$ . PAS was cleared within 3 h from the lung lining fluid and plasma but was still  
40 present at therapeutic concentrations in the lung tissue and the authors concluded that the  
41 total body dose was approximately 11 mg/kg via the lungs compared to an estimated value  
42 of 57 mg/kg for oral dosing of PAS in humans [5].

43 Recently, a novel spray drying technology was described, aimed at the production of  
44 nanoporous microparticles, NPMPs [6,7]. This method involves the use of co-solvents and  
45 process enhancers, for example ammonium carbonate, to produce particles suitable for dry  
46 powder inhalation drug delivery. It provides advantages over current technologies as it

47 involves a simple one-step particle engineering process and produces excipient-free  
48 microparticles suitable for inhalation, the volatile process enhancer being removed during  
49 the spray drying process [6].

50 As PAS is an acidic drug, the primary objective of this study was to explore the potential of  
51 ammonium carbonate, the process enhancer and an ionisable excipient, to interact with PAS  
52 during the spray drying process, thus producing a novel salt/complex form of the API. Of  
53 interest also was to ascertain if NPMPs of PAS could be produced and, subsequently, the  
54 processing parameters and spray drying conditions optimised to produce NPMPs with  
55 suitable micromeritic properties for inhalation. This is the first study by our group on the  
56 NPMP spray drying technology where we have employed an acidic drug. In the current  
57 study, the preparation and properties of spray dried PAS systems with and without the  
58 process enhancer, ammonium carbonate, were investigated. The particle size and  
59 morphology of all the powders produced were studied and subsequently some of these  
60 systems were selected for further characterisation by *in vitro* deposition using a twin  
61 impinger.

62

## 63 2. Materials and methods

64

### 65 2.1 Materials

66 p-Aminosalicylic acid (PAS), ammonium carbonate, ammonia assay kit were purchased from  
67 Sigma-Aldrich (Ireland). Potassium dihydrogen phosphate was obtained from Merck  
68 (Germany) and tetraoctylammonium bromide was purchased from Fluka (USA).  
69 Dimethylsulphoxide-D6 was obtained from Apollo Scientific (UK) ethanol (99.9% v/v, max. 20  
70 ppm non-volatile content) was purchased from Cooley distillery (Ireland). HPLC grade  
71 methanol was purchased from Lab Scan Analytical Sciences (Ireland), and deionised water  
72 was produced by a Purite Prestige Analyst HP water purification system.

73

## 74 2.2 Preparation of ammonium salt of PAS

75 The ammonium salt of PAS (AM-PAS, Figure 1b) was prepared according to the method of  
76 Forbes et al. [8]. The preparation process involved the addition of 3.3 ml 35% ammonia  
77 solution with continuous stirring to a suspension of 10 g of the acid in 35 ml ethanol. The  
78 mixture was heated until a clear solution was obtained, which was then cooled for 2 hours  
79 with continuous stirring. The crystals were filtered and washed twice with 2 ml of ethanol.  
80 The recovered product was then dried in a desiccator under vacuum overnight.

81

## 82 2.3 Spray drying

83 PAS and AM-PAS systems were spray dried using a Büchi B-290 spray dryer (Büchi  
84 Laboratoriums-Technik AG, Switzerland) operating in the open mode configuration.  
85 Experimental conditions were: inlet air temperature 78 °C, airflow rate of 670 NI/h and  
86 aspirator rate 100%. Compressed air was used as the drying gas. Solutions for spray drying  
87 were prepared in aqueous-ethanolic solvents with or without ammonium carbonate as  
88 previously described by Healy et al. [6] and Nolan et al. [7]. Table 1 presents a summary of  
89 feed composition and process outlet temperatures.

90

## 91 2.4 Micronisation

92 Micronisation of PAS was performed using a Jet-O-Mizer fluid energy mill model 00. The  
93 drug compound was placed into the vibratory feeder. The vibratory feeder was set at 60%  
94 maximum vibrations. The vibratory feed rate is the rate at which the material to be  
95 micronised enters the Venturi feed and from there enters the grinding chamber where  
96 micronisation takes place. The grinding pressure and pusher pressure were set at 40 psi.

97

## 98 2.5 Solubility studies

99 PAS powder was added in an approximately 3-fold excess of estimated solubility to 10 ml of  
100 a solvent mixture contained in a glass, screw cap test tube. The following ethanol/water  
101 solvent systems were investigated: 90% ethanol/10% water v/v, 80% ethanol/20% water v/v

102 and 70% ethanol/30% water v/v. The tubes were shaken at 100 cpm in a thermostated water  
103 bath at 25 °C and analysed after 24 h when the equilibrium was reached. The suspensions  
104 were filtered through a 0.45 µm membrane filter and the filtrates were assayed by UV  
105 spectrophotometry (Pharmspec UV-1700, Shimadzu) at 280 nm.

106

## 107 **2.6 Thermal Analysis**

108 Differential Scanning Calorimetry (DSC) was performed on accurately weighed samples (2 –  
109 6 mg) in closed 40 µl aluminium pans with three vent holes. Samples were run at a heating  
110 rate of 10°C/minute under nitrogen purge from 25 – 300 °C (except where otherwise  
111 indicated) using a Mettler Toledo DSC 821<sup>e</sup> (Mettler Toledo Ltd., Switzerland) [9]. Mettler  
112 Toledo STAR<sup>e</sup> software was used for analysis of thermal events.

113 Thermogravimetric analysis (TGA) was performed using a Mettler TG 50 (Mettler Toledo  
114 Ltd., Switzerland) linked to a Mettler MT 5 balance. Data was processed using Mettler  
115 Toledo STAR<sup>e</sup> software. Accurately weighed samples were analysed using open pans under  
116 nitrogen stream. Samples were run at a heating rate of 10°C/minute from 25 – 300 °C [9],  
117 except where otherwise indicated.

118

## 119 **2.7 Powder X-ray Diffraction (pXRD)**

120 pXRD scans were made on samples in low background silicon mounts, which consisted of  
121 cavities 0.5 mm deep and 9 mm in diameter (Bruker AXS, UK). A Siemens D500  
122 diffractometer (Siemens AG, Germany) was used. This consists of a DACO MP wide range  
123 goniometer with a 1.0° anti-scatter slit and a 0.15° receiving slit. The Cu anode X-ray tube  
124 was operated at 40 kV and 30 mA in combination with a Ni filter to give monochromatic Cu  
125 K $\alpha$  X-rays. Measurements were taken from 5° to 40° on the theta 2 scale at a step size of  
126 0.05° per second for qualitative analysis [9].

127

## 128 **2.8 Fourier Transform Infrared Spectroscopy (FTIR)**



129 FTIR was carried out using a Magna – IR 560 Spectrometer E.S.P. (Thermo Electron  
130 Corporation, U.S.A.) Fourier transform infrared spectrometer. Potassium bromide (KBr) discs  
131 were prepared based on 1 % sample loading. Discs were prepared by grinding the sample  
132 with KBr in an agate mortar and pestle, placing the sample in an evacuable KBr die and  
133 applying 8 tons of pressure, in an IR press [6]. The software used for processing the data  
134 was OMNIC E.S.P. (Thermo Electron Corporation, U.S.A.) software.

135

### 136 **2.9 Elemental analysis (CHN)**

137 The elemental analysis on PAS samples as presented in Table 2 was carried out using a  
138 CE440 CHN analyzer (Exeter Analytical, UK) as previously described [6].

139

### 140 **2.10 Nuclear magnetic resonance spectra (NMR)**

141 Nuclear magnetic resonance spectra of each sample were recorded on an Avance III 400  
142 system (Bruker, Germany) at a proton frequency of 400.13 MHz and carbon frequency of  
143 100.62 MHz. Dimethylsulphoxide-D6 was used as a solvent for PAS samples [10].

144

### 145 **2.11 Determination of degradation product of PAS in the presence of the drug**

146 A HPLC method was adopted to determine 3-aminophenol (the main degradation product of  
147 PAS in the presence of the drug [11]). Separation was carried out at ambient temperatures.  
148 The HPLC used was a Shimadzu LC-10AT VP liquid chromatograph with a Shimadzu L-10A  
149 system controller, a Shimadzu SPD-10A UV-VIS detector, a Shimadzu DGU-14 degasser  
150 and a SIL-10AD VP auto injector system. Samples were analysed using Shimadzu Class VP  
151 software (version 6.10). A Hypersil BDS C18 5 $\mu$ m, 150 mm  $\times$  4.6 mm column was used and  
152 a flow rate of 1.5 ml/min using a UV detector at 280 nm. The mobile phase was 55 parts  
153 methanol, 45 parts 0.05 M potassium phosphate buffer (pH 7.0) containing 1 mM  
154 tetraoctylammonium bromide as ion-pairing agent. The separation was carried out at  
155 ambient temperature. Limits of detection and quantitation were 0.1 and 0.5  $\mu$ g/ml,  
156 respectively, while the precision was below 5% (RSD). The retention time of 3-aminophenol

157 (formed by decarboxylation of PAS, Figure 1c) was 1.4 min, while the retention time of PAS  
158 was 5.3 min.

159

### 160 **2.12 Ammonia assay**

161 Unprocessed and spray dried samples were analysed using an ammonia assay kit (Sigma-  
162 Aldrich, Ireland) as previously described [7]. Approximately 0.1 mg of the raw material or  
163 spray dried samples was accurately weighed and dissolved in 10 ml of water. 1.0 ml of  
164 ammonia assay reagent (KGA and NADPH) was accurately transferred into appropriately  
165 marked cuvettes. The limit of detection of the assay method used was determined to be 1  
166 µg/ml of ammonia.

### 167 **2.13 Scanning Electron Microscopy (SEM)**

168 Scanning electron micrographs of powder samples were taken using a Hitachi S-4300N  
169 instrument (Hitachi Scientific Instruments Ltd., Japan) variable pressure scanning electron  
170 microscope. The dry powder samples were fixed on an aluminium stub with double-sided  
171 adhesive tabs and a 10-nm-thick gold film was sputter coated on the samples before  
172 visualisation [9]. The images were formed from the collection of secondary electrons.

173

### 174 **2.14 Particle Size Analysis**

175 The particle size distribution was determined by laser diffraction using a Malvern Mastersizer  
176 2000 (Malvern Instruments Ltd., Worcestershire, UK) with the Scirocco 2000 accessory. The  
177 dispersive air pressure employed was 2 bar [7]. Samples were run at a vibration feed rate of  
178 60% to obtain obscuration values between 0.5-6% and setting the refractive and absorption  
179 indices to 1.4 and 0.01, respectively. The particle size of each sample was determined in  
180 triplicate.

181

### 182 **2.15 Density Measurements**

183 Bulk density ( $bp$ ) was measured as previously described [6] by filling dry powders in a 1 ml  
184 graduated syringe (Lennox Laboratory supplies, Ireland) with a funnel. The weight of the  
185 powder required to fill 1 ml graduated syringe was recorded in order to calculate  $bp$ . The tap  
186 density ( $tp$ ) of the powder was then evaluated by manually tapping the syringe onto a level  
187 surface until constant volume. The resultant volume was recorded in order to calculate  $tp$ .  
188 The tapped density was calculated as the ratio of the mass to the tapped volume of the  
189 sample.

190

### 191 **2.16 *In vitro* aerosol characterisation**

192 The apparatus used was a twin stage impinger (TSI) conforming to the specification in the  
193 British Pharmacopoeia [12] and European Pharmacopoeia [13] and the studies were  
194 performed similarly as described earlier [9]. The lower stage of the twin impinger has the  
195 aerodynamic cut-off diameter of  $6.4\ \mu\text{m}$  [14]. A total of  $20 \pm 1$  mg of powder was loaded into  
196 a No. 2 hard gelatin capsule. The powders were aerosolised using a dry powder inhalation  
197 device (Spinhaler<sup>®</sup>). The Spinhaler<sup>®</sup> was attached to the impinger which contained 7 and  
198 30 ml of collecting solvents (80% ethanol) in stages 1 and 2, respectively. An air flow of 60  
199 l/min for 5 s was applied to the TSI. The liquids in stages 1 and 2 were collected and diluted  
200 as appropriate and measured by UV spectrophotometry (Pharmspec UV-1700, Shimadzu) at  
201 280 nm for micronised and spray dried PAS and at 271 nm (due to ionisation of PAS) for  
202 other systems. Absorbance values were converted to concentrations using calibration curves  
203 covering the range of sample concentrations from 0.002 to 0.01 mg/ml. Each deposition  
204 experiment involved the aerosolisation of one capsule. Emitted dose was defined as the total  
205 quantity of the drug recovered from the upper and lower stages of the impinger and  
206 expressed as percent of the total dose loaded into the capsule. Fine particle fraction (FPF)  
207 was calculated as the amount deposited in the lower stage as a percentage of the emitted  
208 dose. All systems were analysed in triplicate.

209

### 210 **2.17 Statistical analysis**

211 Statistical analysis was carried out using Minitab™ statistical software. [One-way analysis of](#)  
212 [variance \(ANOVA\) followed by Tukey test was](#) carried out at a significance level of 0.05, with  
213 a p-value of less than 0.05 indicating that the observed difference between the means was  
214 statistically significant.

215

### 216 **3. Results and discussion**

217

#### 218 **3.1 Spray drying of PAS from aqueous-alcoholic solutions.**

219 PAS raw material was crystalline, as shown by SEM (Figure 2a) and pXRD (Figure 3a).

220 [Solubility studies were first undertaken to estimate the maximum solubility of PAS in the](#)

221 [various ethanol/water systems. An increase in PAS solubility with increasing ethanol content](#)

222 [in the mix was observed and the drug solubility ranged from 3.66±0.20% w/v for 70% v/v](#)

223 [ethanol through 4.03±0.13% w/v for 80% v/v ethanol to 4.49±0.08% w/v for 90% v/v ethanol.](#)

224 Solutions of PAS (1-4%) were prepared in aqueous-ethanolic solvents (70-90% ethanol) and

225 spray dried using an inlet temperature of 78 °C. The products produced had pXRD patterns

226 similar to the raw material (not shown), but consisting of porous particles as well as small rod

227 shaped crystals of PAS as shown in the SEM micrographs (Figures 2b-d). Thus, in contrast

228 to many APIs, PAS remains crystalline on spray drying. Increasing the ethanol content of the

229 spray liquid in the range 70 to 90% v/v seemed to increase the proportion of spherical

230 particulates as assessed by SEM (Figure 2d). An increase in the PAS concentration in the

231 spray dried solution seemed to impact on surface roughness, as observed by SEM (Figures

232 2d-f), and spray drying of a 4% w/v solution of PAS from 90% (v/v) ethanol resulted in

233 spherical porous particles, rod shaped crystals and spherical crystal clusters (Figure 2f).

234 Figure 4a shows a DSC scan of crystalline PAS showing only one endothermic event at

235 ~140 °C, consistent with the melting point of PAS reported elsewhere [15]. In the DSC

236 thermogram of PAS spray dried systems, melting endotherms were detected, peaking at

237 ~141-143 °C (Figure 4b). PAS is a thermally labile compound, which melts with  
238 decomposition [15].

239 The TGA curve for PAS starting material gave a two-stage mass loss with a discontinuity  
240 after about 30% mass loss occurring at between approximately 140 and 150 °C (Figure 5a).  
241 This considerable mass loss may suggest that PAS degraded to 3-aminophenol (the main  
242 degradation product of PAS, Figure 1c) in the second stage of the mass loss, consistent with  
243 the molecular weight of the 3-aminophenol being app. 70% that of PAS.

244 TGA registered weight losses were 0.67%, 0.77 and 0.95% over the temperature range of  
245 25 to 100 °C for PAS starting material, PAS spray-dried from 90% (v/v) ethanol and PAS  
246 spray-dried from 70% (v/v) ethanol, respectively. The difference in weight loss over the  
247 temperature range of 25 to 100 °C between starting material and spray-dried samples could  
248 be attributed to residual solvent. The shapes of TGA scans were similar for PAS raw  
249 material and spray-dried powders.

250

### 251 **3.2 Spray drying of PAS/ammonium carbonate systems**

252 Healy et al. [6] had previously shown that porous particles could be produced by spray  
253 drying systems where ammonium carbonate acted as the process enhancer. Ammonium  
254 carbonate (AC) decomposes into ammonia, carbon dioxide and water at temperatures over  
255 59 °C, and the ammonium carbonate was removed from the spray dried system in the  
256 exhaust gases producing essentially pure drug particles [6].

257 Solutions of PAS/AC were prepared in 90% v/v ethanol and spray dried. AC in the range of  
258 20 to 50% (weight of solids) was added to the solution. A solution of PAS:AC 73:27 w/w was  
259 used as it represents the PAS:ammonia 1:1 molar ratio. A system of PAS:AC 62:38 w/w  
260 represents PAS:AC 1:1 molar ratio. 20% and 50% AC were also used to study the effect of  
261 AC at concentrations lower and higher than the 1:1 molar ratio of PAS:AC. For all these  
262 systems the total solid concentration in solution was 3% w/v. Solid, apparently crystalline,  
263 spherical agglomerates of particles were produced on spray drying of these systems

264 (Figures 6a-d). The powder produced by spray drying of PAS:AC 80:20 w/w showed  
265 uniform, porous spherical microparticles (Figure 6a).

266

### 267 **3.2.1 Thermal and pXRD analysis**

268 DSC thermograms of the PAS:AC spray dried systems were all very similar and a  
269 representative DSC scan, for the PAS:AC 80:20 w/w system, is shown in Figure 4c. The  
270 endothermic peak shifted, the peak broadened and became irregular in shape and  
271 asymmetric and there were differences in the onset temperatures for the spray dried system  
272 compared to PAS raw material. The onset temperature was  $\sim 140^{\circ}\text{C}$  with a measured  
273 enthalpy of fusion of  $\sim 369$  J/g for the PAS starting material and  $130^{\circ}\text{C}$ , with a measured  
274 enthalpy of fusion of  $\sim 318$  J/g for the spray dried sample. It can therefore be concluded that  
275 the solid state of the recovered particles was different to unprocessed PAS, suggesting that  
276 spray drying of PAS/AC resulted in a new solid state regardless of the amount of AC used in  
277 the spray drying process.

278 Due to the acidic nature of PAS, a chemical reaction between PAS and AC could yield the  
279 ammonium salt of PAS (AM-PAS) during processing, with the loss of carbonate as carbon  
280 dioxide. Therefore the ammonium salt of PAS was prepared by the method of Forbes et al.  
281 [8] and its physicochemical properties were compared to those of the spray dried samples.  
282 Figure 4d shows the DSC scan of AM-PAS and demonstrates that the thermal behaviour of  
283 the PAS:AC 80:20 w/w spray dried sample is different to that of AM-PAS. The shape of the  
284 endothermic peaks was different, and the onset of temperature of the first peak was  $\sim 127^{\circ}\text{C}$   
285 compared to  $\sim 130^{\circ}\text{C}$  for the spray dried sample.

286 TGA of PAS starting material, PAS:AC 80:20 w/w physical mixture and the equivalent spray  
287 dried PAS/AC system revealed that the weight loss between  $25^{\circ}\text{C}$  and  $100^{\circ}\text{C}$  was  
288 approximately 16.3, 7.6 and 0.7% for the PAS/AC physical mixture, spray dried PAS/AC and  
289 PAS starting material, respectively (Figures 5a-c). There was an 11-fold increase in the  
290 volatile contents of the spray dried sample in comparison to the unprocessed PAS, while the

291 weight loss between 25 °C and 100 °C for the spray dried sample was about half the weight  
292 loss shown in the TGA scan of the physical mixture of PAS/AC. As ammonium carbonate  
293 decomposes into ammonia, carbon dioxide and water at temperatures over 59 °C, it is  
294 expected that its removal will be complete up to 100 °C. The difference between observed  
295 and calculated mass loss for the physical mix (approximately 20.6%, corresponding to 20%  
296 ammonium carbonate and the mass loss contribution from 80% PAS) could be attributed to  
297 an interaction between PAS and AC, consistent with a change of colour of PAS and AC  
298 mixture which was observed upon mixing.

299 The pXRD scan of the PAS:AC 80:20 w/w spray dried sample confirms that the material is  
300 crystalline, but differs from the starting material both in intensity and peak positions (Figure  
301 3c). pXRD measurements were also made on the PAS:AC 80:20 w/w physical mixture  
302 (Figure 3d) and AM-PAS (Figure 3e) and compared to both unprocessed PAS and the  
303 PAS/AC spray dried system. The pXRD scan of the spray dried sample provided further  
304 evidence of a difference between the spray dried sample, the starting material and the  
305 prepared salt of PAS, as the spray dried sample had different peak positions to those of the  
306 physical mixture and AM-PAS. The arrows in the graph show which peaks of the spray dried  
307 sample were different from the other systems (or where there was absence of a peak)  
308 (Figure 3). pXRD scans of the spray dried samples were also compared to that of 3-  
309 aminophenol, the main degradation product of PAS (Figure 3c). Investigation of the scans  
310 indicated that the changes in solid state of spray dried sample cannot be attributed to the  
311 breakdown product. This was further examined by HPLC analysis, which showed that the  
312 degradation products measured for both spray dried and starting PAS material were less  
313 than 1% w/w and the observed solid-state changes were not attributable to decomposition of  
314 PAS. Comparison of pXRD scans of PAS:AC 80:20 w/w physical mixture and the equivalent  
315 spray dried system show that the diffractograms differ, suggesting the formation of a material  
316 on spray drying with solid state properties which are different to those of the starting drug, its  
317 physical mix with AC and AM-PAS.



318

### 319 3.2.2 Chemical structure and stoichiometry estimation

320  $^1\text{H}$  NMR in solution showed that only peaks corresponding to the hydrogen atoms of the  
321 benzene ring were recorded either for the PAS unprocessed material or the PAS:AC 80:20  
322 w/w spray dried sample. In contrast, peaks were found at different ppm in the spectrum of  
323 AM-PAS, which is attributed to the difference in electronic environment between the acidic  
324 and salt forms. Thus NMR analysis suggests that the spray dried system may contain PAS  
325 in its unionised state.

326 In the solid phase, PAS has an absorption band in the IR spectrum due to  $\text{NH}_2$  vibrations at  
327  $3520\text{ cm}^{-1}$ , a further band at  $3400\text{ cm}^{-1}$  due to the  $\text{NH}_2$  and/or OH groups and a strong,  
328 characteristic band at  $1630\text{ cm}^{-1}$  due to the stretching vibration of  $\text{C}=\text{O}$  [16]. The spectrum of  
329 pure PAS presented these characteristic signals at  $3496\text{ cm}^{-1}$  for the  $\text{NH}_2$  vibration, signals  
330 at  $3388\text{ cm}^{-1}$  for the  $\text{NH}_2$  and/or OH groups and a band at  $1652\text{ cm}^{-1}$  for the  $\text{C}=\text{O}$  group  
331 (Figure 7a). Although the FTIR scan of the spray dried PAS:AC 80:20 w/w system showed  
332 the same characteristic peaks at the same wavenumbers as the PAS starting material  
333 (Figure 7b), there were clear differences between the two materials. The spray dried material  
334 had new multiple and sharp bands in the region of  $3300\text{-}3500\text{ cm}^{-1}$  and broader peaks at  
335  $3346$  and  $3607\text{ cm}^{-1}$  in addition to the two bands at  $3388$  and  $3496\text{ cm}^{-1}$ , characteristic of  
336 PAS. Some changes were noted in the fingerprint region with two extra absorption bands at  
337  $1577$  and  $1591\text{ cm}^{-1}$  and a region of multiple peaks between  $1330$  and  $1420\text{ cm}^{-1}$ . It implies  
338 that the chemical structure of PAS in the processed sample remains unchanged, as  
339 suggested by HPLC and NMR, the drug molecules remain unionised and new OH and/or NH  
340 groups were introduced suggesting that the new material may be a solvated co-crystalline  
341 form [10].

342 In order to determine the stoichiometry of the spray dried PAS/AC, several analyses were  
343 undertaken including an ammonia assay for determination of ammonia content,  
344 determination of water content by Karl Fischer titration and elemental (CHN) analysis (Table



345 2). CHN analysis showed that the chemical composition of the spray dried sample differed  
346 from that of PAS starting material and of AM-PAS. Elemental analysis also showed that the  
347 carbon content of the spray dried sample was lower than the carbon content of the starting  
348 material; however, this is higher than the carbon content of AM-PAS. The nitrogen content of  
349 the spray dried sample was higher than nitrogen content of the starting material but lower  
350 than that of AM-PAS, providing further evidence that the spray dried sample is not the 1:1  
351 ammonium salt.

352 Ammonia content analysis of unprocessed PAS showed that the amount of ammonia in this  
353 sample was below the limit of detection of the assay. The amount of ammonia determined in  
354 the PAS/AC 80:20 w/w spray dried sample was  $5.2 \pm 0.9\%$ , while the amount of water  
355 detected was  $2.1 \pm 0.1\%$ . Therefore the proposed stoichiometry of the PAS/AC spray dried  
356 composite is 2:1:0.5 PAS:ammonia:water. Further confirmation of the stoichiometry of spray  
357 dried sample was obtained by TGA. TGA revealed that the weight loss between 25°C and  
358 100°C was approximately 7.6% for the spray dried composite. The loss of one ammonia  
359 molecule and half water molecule corresponds to a loss of ~7.8% by weight of  
360 PAS:ammonia:water 2:1:0.5 when determined on a molecular weight basis. Therefore, the  
361 weight loss registered in spray dried composites is consistent with the loss of one molecule  
362 of ammonia and half molecule of water.

363 Spray drying of the PAS/AC solutions at a higher inlet temperatures of 120°C, resulted in  
364 DSC and pXRD patterns (not shown) for the spray dried material which were similar to PAS  
365 presumably as a result of the removal of the attached ammonia from the "solvated" form.  
366 Thus inlet temperature has a profound effect on the solid state composition of the powder  
367 produced.

368

### 369 **3.3 Spray drying of the ammonium salt of PAS**

370 A 3% (w/v) solution of AM-PAS was spray dried from 90% (v/v) ethanol. The DSC  
371 thermogram of the spray dried sample showed an endothermic event with an onset

372 temperature of melting at  $\sim 120$  °C. Another small endothermic peak was visible at  
373 approximately  $\sim 86$  °C (Figure 4e). TGA of the sample showed a loss of approximately 11%  
374 of total solid mass in the same temperature region as this endotherm (Figure 5d). This  
375 weight loss is consistent with the loss of ammonia (the loss of one ammonia molecule  
376 corresponds to a loss of  $\sim 10\%$  by weight for the ammonium salt of PAS). This endothermic  
377 peak was absent on the DSC scan of the spray dried sample after heating of the sample to  
378  $100$  °C in the DSC and cooling, which likely reflects evaporation of ammonia.

379 The pXRD scan for AM-PAS spray dried from 90% ethanol showed a different pattern  
380 (Figure 3f) in comparison with the starting material (Figure 3e); peak positions were different  
381 and extra peaks were found on examination of the diffractogram of the spray dried sample.  
382 Furthermore, this diffractogram was comparable to that of the PAS:AC 80:20 w/w spray  
383 dried system (Figure 3c). The results of pXRD analysis suggests that spray drying of AM-  
384 PAS yielded a material which was similar in solid state nature to that obtained by co-spray  
385 drying PAS and AC. The powder produced by spray drying the ammonium salt is shown to  
386 consist of spherical agglomerates of particles (Figure 6e) and thus, this system is  
387 morphologically different to that of the PAS:AC 80:20 w/w spray dried system.

388 The results of pXRD analysis suggest that the salt was at least partially converted to the  
389 acidic form upon spray drying. This hypothesis is supported by different studies found in the  
390 literature concerning thermal decomposition of ammonium salts and recovery of the parent  
391 acid by heating of ammonium salts [17, 18, 19]. Guseinov et al. [17] reported that the ability  
392 of ammonium salts to undergo thermal decomposition with the formation of gaseous  
393 ammonia and free acid is well known. In addition, thermal decomposition of ammonium salts  
394 can proceed both in the solid phase, by the heating of the dry salt in a stream of inert gas  
395 [17] and in the liquid phase, by heating the aqueous solution of the salt [18]. Furthermore,  
396 Chuck and Zacher [19] reported that an aqueous solution of ammonium nicotinate was  
397 converted to nicotinic acid by spray drying a solution of ammonium nicotinate (inlet  
398 temperature  $170-250$  °C); the authors reported that ammonium nicotinate decomposes

399 during the spray drying process and ammonia, together with the solvent, escape in the  
400 exhaust gas of the spray dryer.

401 Elemental analysis of the spray dried sample of AM-PAS is shown in Table 2. On  
402 comparison of the spray dried sample to that of PAS starting material, it is obvious that this  
403 inlet temperature did not result in the complete conversion of the salt to the equivalent acid  
404 form. Indeed, the inlet temperatures used by Chuck and Zacher [19] were relatively high  
405 (170-250 °C) compared to the milder conditions in the current work (78 °C). The salt seems  
406 to transform to the acid form, but the ammonia and water become bound in the crystal lattice  
407 leading to the formation of a mixed ammonia-hydrate, like that formed by co-spray drying  
408 PAS and AC. This is further confirmed by FTIR analysis (Figure 7c and d) as the spectrum of  
409 the spray dried salt resembles closer that of the PAS:AC 80:20 w/w spray dried system than  
410 that of the PAS (Figure 7a) or the unprocessed salt (Figure 7b).

411

#### 412 **3.4 Micromeritic and *in vitro* deposition properties of systems for inhalation**

413 The PAS systems spray dried with or without AC showed a monomodal size distribution  
414 whereas spray dried AM-PAS, in addition to the main mode, presented a small peak at  
415 larger particle sizes, perhaps of agglomerated particles (Figure 8). Table 3 presents the  
416 micromeritic characteristics of the various systems. The smallest median particle size of the  
417 volume distribution,  $d(0.5)$ , was found for PAS spray dried from 70% ethanol ( $2.41 \pm 0.07$   
418  $\mu\text{m}$ ), while the greatest  $d(0.5)$  was determined for the spray dried AM-PAS ( $3.67 \pm 0.004$   $\mu\text{m}$ ).  
419 Similar trends were observed for  $d(0.1)$  and  $d(0.9)$ , however the difference between the latter  
420 was seen to be non-significant for micronised and spray dried PAS. Span values of  
421 approximately 1.6 were calculated for SD PAS and SD AM-PAS, indicating broader size  
422 distributions relative to micronised PAS (Span of  $1.14 \pm 0.04$ ) and SD PAS/AC 80:20 w/w  
423 (Span of  $1.06 \pm 0.01$ ).

424 The bulk ( $bp$ ) and tap ( $tp$ ) densities of the PAS systems were also determined (Table 3). For  
425 spray dried PAS without ammonium carbonate the  $bp$  was  $0.104 \pm 0.002$   $\text{g}/\text{cm}^3$  and the  $tp$

426 was  $0.168 \pm 0.009 \text{ g/cm}^3$  and for the system of PAS spray dried with AC the  $bp$  was  
427  $0.122 \pm 0.003 \text{ g/cm}^3$  and the  $tp$  was  $0.167 \pm 0.008 \text{ g/cm}^3$ . In contrast, the  $bp$  and  $tp$  measured  
428 for micronised PAS were significantly larger compared to SD PAS and SD PAS/AC 80:20  
429 w/w. The decrease in the density values for the spray dried systems could be explained by  
430 the presence of porous particles. The  $bp$  and  $tp$  densities for the spray dried ammonium salt  
431 of PAS were slightly lower than those of the acid-based systems (Table 3).

432 Since low density particles have been shown to have increased aerosolisation efficiency [7,  
433 20], the *in vitro* deposition properties of the powders were investigated by twin impinger  
434 analysis. The systems selected for aerolisation tests comprised a wide range of  
435 morphological characteristics of powder produced by spray drying of PAS under different  
436 spray drying conditions.

437 The results of twin impinger analysis are presented in Figure 9. The ratios of dose retained  
438 in the device to that emitted were calculated. The greatest ratio is for micronised PAS and is  
439 1.51 ( $67.2 \pm 3.3\%$  device/ $32.8 \pm 3.6\%$  emitted) and the lowest is for SD PAS/AC 80:20 and  
440 is 0.46 ( $31.5 \pm 6.0\%$  device/ $68.5 \pm 6.0\%$  emitted). Figure 9 also shows the percentage of  
441 dose deposited in stage 2 of the twin impinger i.e. the respirable fraction; these are  
442 numerically shown in Table 4. The sample with the best average deposition on stage 2 of  
443 twin impinger was SD PAS/AC 80:20, however no statistically significant difference in  
444 respirable fractions between the systems investigated was determined. This may be due to  
445 the very rough surfaces and non-uniform morphology of particles (Figures 2 and 6) resulting  
446 in interlocking of microparticles. Consequently, the spray dried particles, despite their low  
447 densities, failed to show improved respirable fractions in contrast to previously published  
448 studies on NPMPs [6,7].

449

#### 450 4. Conclusions

451 Spray drying of PAS, at concentrations of 1, 3 and 4% w/v from different ethanolic solvents,  
452 resulted in crystalline particles. The particle morphology of PAS spray-dried systems varied

453 depending on the systems spray dried. Spray drying of PAS/AC, resulted in crystalline  
454 particles. AC concentration employed in the spray drying process is important to the  
455 successful production of NPMPs. The solid state of PAS/AC was changed by spray drying  
456 from ethanol, resulting in a complex solvated form with a stoichiometry of the spray dried  
457 product of 2:1:0.5 PAS: ammonia: water.

458 The chemical composition of spray dried PAS/AC was dependent on the inlet temperature  
459 and higher inlets resulted in particles partially converted to the acidic form upon spray drying.  
460 Care should therefore be taken when spray drying acidic compounds with ammonium  
461 carbonate, an often used pore former.

462 Spray drying proved a successful method of producing microspherical particles, which  
463 deposited in the lower region of the twin stage impinger, indicating that they were in the  
464 respirable range. Spray dried PAS systems show a potential for pulmonary delivery, as  
465 indicated by the acceptable emitted doses relative to the micronised PAS.

466

#### 467 **Declarations**

468

#### 469 **Conflict of interest**

470 The Author(s) declare(s) that they have no conflicts of interest to disclose.

471

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477

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Table 1. Spray drying conditions for PAS systems. Key: PAS = p-aminosalicylic acid, AM-PAS = ammonium salt of PAS, EtOH = ethanol, AC = ammonium carbonate.

System	Solvent % v/v	Solid Conc (% w/v)	AC Conc (% w/w)	Outlet Temp (°C)
PAS	70% EtOH	1.0	-	48
PAS	80% EtOH	1.0	-	45
PAS	90% EtOH	1.0	-	45
PAS	90% EtOH	3.0	-	55
PAS	90% EtOH	4.0	-	42
PAS/AC	90% EtOH	3.0	20% AC	44
PAS/AC	90% EtOH	3.0	27% AC	50
PAS/AC	90% EtOH	3.0	38% AC	46
PAS/AC	90% EtOH	3.0	50% AC	50
AM-PAS	90% EtOH	3.0	-	45



Table 2. Elemental analysis of different PAS systems. Key: PAS = p-aminosalicylic acid, AC = ammonium carbonate, AM-PAS = ammonium salt of PAS, SD = spray dried, C = carbon, N = nitrogen, H = hydrogen, Calculated = results of calculation and Found = results of analysis.

System		Analysis (%)		
		C	H	N
PAS	Calculated	54.90	4.61	9.15
	Found	54.83	4.62	8.99
AM-PAS	Calculated	49.41	5.92	16.46
	Found	49.76	5.88	15.94
SD PAS/AC 80:20 w/w	Found	50.88	5.32	12.33
SD PAS/AC 73:27 w/w	Found	50.63	5.29	12.60
SD PAS/AC 62:38 w/w	Found	50.59	5.33	12.83
PAS:ammonia:water 2:1:0.5 molar ratio	Calculated	51.06	4.59	12.76
AM-PAS SD at 78°C	Found	50.29	5.42	15.30
AM-PAS SD at 120°C	Found	52.37	5.05	11.06

Table 3. Micromeritic parameters of different PAS systems. Key: PAS = p-aminosalicylic acid, SD = spray dried, bp = bulk density, tp = tap density.

System	d(0.1) ( $\mu\text{m}$ )	d(0.5) ( $\mu\text{m}$ )	d(0.9) ( $\mu\text{m}$ )	Span	bp (g/cm <sup>3</sup> )	tp (g/cm <sup>3</sup> )
micronised PAS	1.67±0.022	2.90±0.026	4.98±0.132	1.14±0.04	0.230±0.024	0.313±0.022
SD PAS	1.14±0.004	2.41±0.072	5.18±0.275	1.67±0.06	0.104±0.002	0.168±0.009
SD PAS/AC 80:20 w/w	1.93±0.055	3.38±0.078	5.43±0.138	1.06±0.01	0.122±0.003	0.167±0.008
SD AM-PAS	1.79±0.020	3.67±0.004	7.75±0.254	1.62±0.05	0.093±0.002	0.133±0.008

Table 4. Respirable fractions of different PAS spray dried systems.

System	Respirable fraction (%)
Micronised PAS	14.8±2.50
SD PAS	19.2±6.92
SD PAS/AC 80:20 w/w	24.5±2.86
SD AM-PAS	17.9±5.83

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Figure 1 Molecular structures of: a) PAS, b) ammonia salt of PAS and c) decarboxylation of PAS to 3-aminophenol.

Figure 2 SEM micrograph of (a) PAS raw material, (b) PAS spray dried as 1% w/v solution from 70% v/v ethanol, (c) PAS spray dried as 1% w/v solution from 80% v/v ethanol, (d) PAS spray dried as 1% w/v solution from 90% v/v ethanol, (e) PAS spray dried as 3% w/v solution from 90% v/v ethanol (f) PAS spray dried as 4% w/v solution from 90% v/v ethanol at an outlet temperature of 78°C. Note different magnifications of the micrographs.

Figure 3 pXRD scans of (a) PAS starting material, (b) PAS spray dried as 3% w/v solution from 90% v/v ethanol, (c) 3-aminophenol, (d) PAS:AC 80:20 w/w spray dried from 90% ethanol, (e) PAS:AC 80:20 w/w physical mixture, (f) ammonium salt of PAS starting material and (g) ammonium salt of PAS spray dried as 3% w/v solution from 90% v/v ethanol. The arrows in the graph show differences between the diffractograms. Please note that the main peak of the ammonium salt of PAS starting material was capped for clarity purposes.

Figure 4 DSC scans of (a) PAS starting (b) PAS spray dried as 3% w/v solution from 90% v/v ethanol, (c) PAS:ammonium carbonate 80:20 w/w spray dried from 90% ethanol, (d) ammonium salt of PAS starting material and (e) ammonium salt of PAS spray dried as 3% w/v solution from 90% v/v ethanol.

Figure 5 TGA curves of (a) PAS starting material, (b) PAS:AC 80:20 w/w physical mixture, (c) PAS:AC 80:20 w/w spray dried from 90% ethanol and (d) ammonium salt of PAS spray dried as 3% w/v solution from 90% v/v ethanol.

Figure 6 SEM micrographs of PAS/ammonium carbonate spray dried as 3% w/v solutions from 90% v/v ethanol, where the ratio between PAS to AC used was (a) 80:20 w/w (b) 73:27 w/w (c) 62:38 w/w, (d) 50:50 w/w and (e) spray dried ammonium salt of PAS. Please note different magnifications of the micrographs.

Figure 7 FTIR spectra of (a) PAS starting material, (b) PAS:AC 80:20 w/w spray dried from 90% ethanol, (c) ammonium salt of PAS starting material and (e) ammonium salt of PAS spray dried as 3% w/v solution from 90% v/v ethanol.

Figure 8 Particle size distribution of micronised PAS (solid line), SD PAS (dash line), SD PAS:AC 80:20 w/w (dotted line) and SD AM-PAS (dash-dotted line).

Figure 9 Twin impinger analysis of the micronised and spray dried PAS samples. White bars – micronised PAS, hatched bars – SD PAS, crossed bars – SD PAS:AC 80:20 w/w and grey bars – SD AM-PAS.

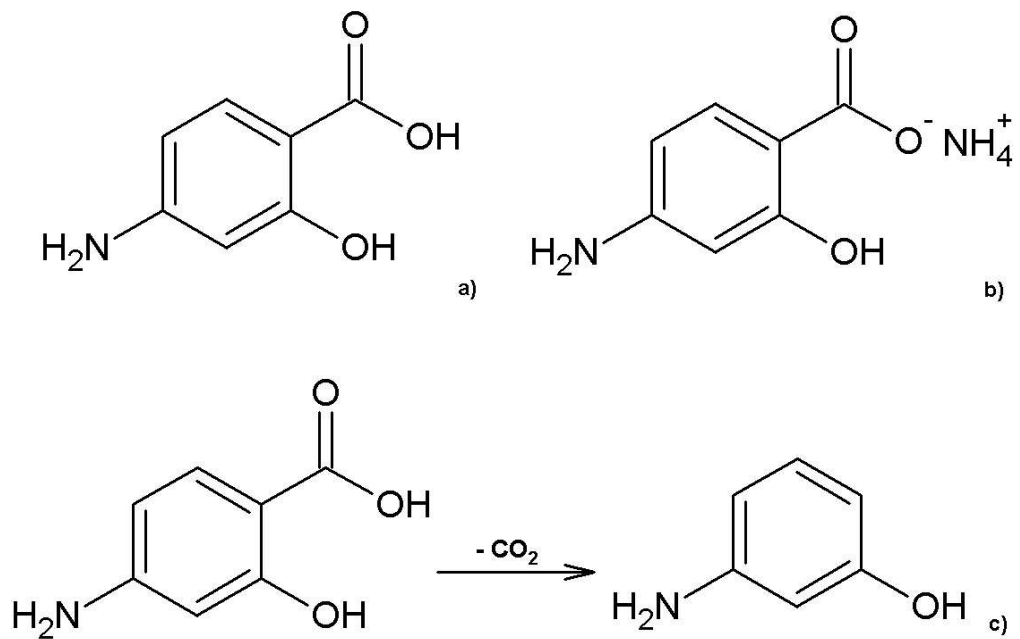


Figure 1 Molecular structures of: a) PAS, b) ammonia salt of PAS and c) decarboxylation of PAS to 3-aminophenol.

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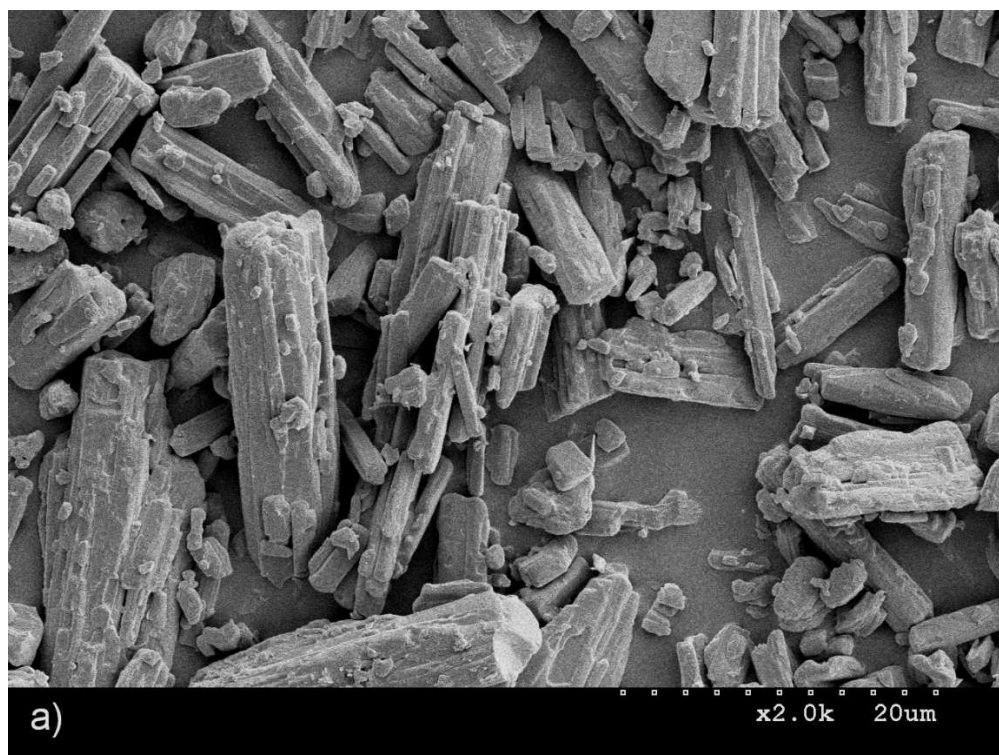


Figure 2 SEM micrograph of (a) PAS raw material, (b) PAS spray dried as 1% w/v solution from 70% v/v ethanol, (c) PAS spray dried as 1% w/v solution from 80% v/v ethanol, (d) PAS spray dried as 1% w/v solution from 90% v/v ethanol, (e) PAS spray dried as 3% w/v solution from 90% v/v ethanol (f) PAS spray dried as 4% w/v solution from 90% v/v ethanol at an outlet temperature of 78 °C. Note different magnifications of the micrographs.  
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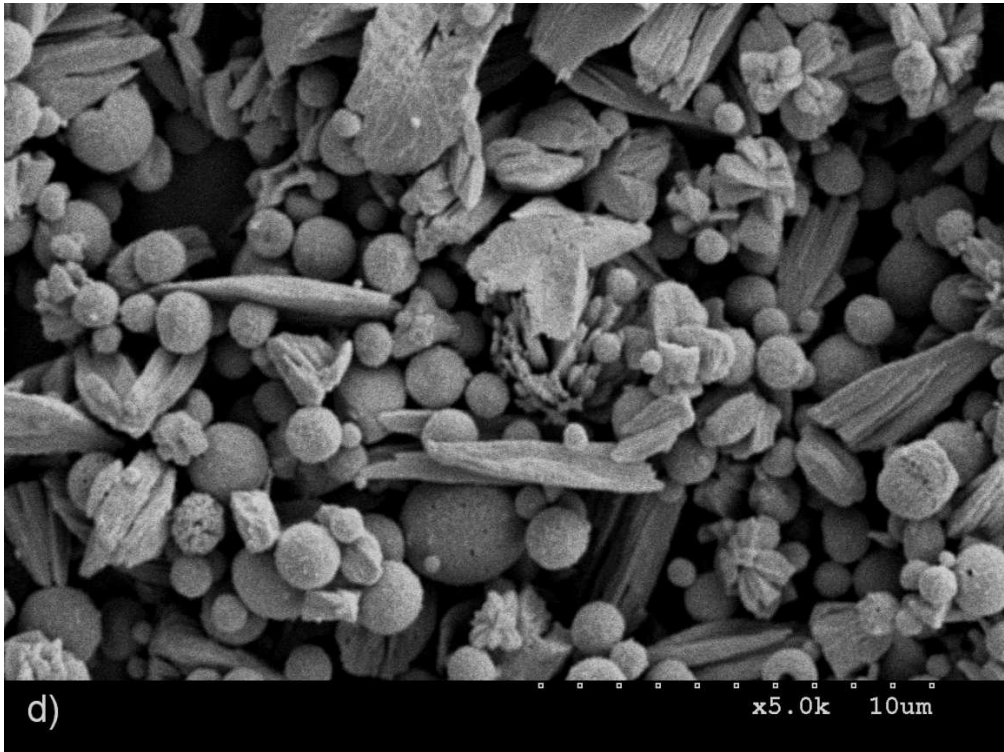
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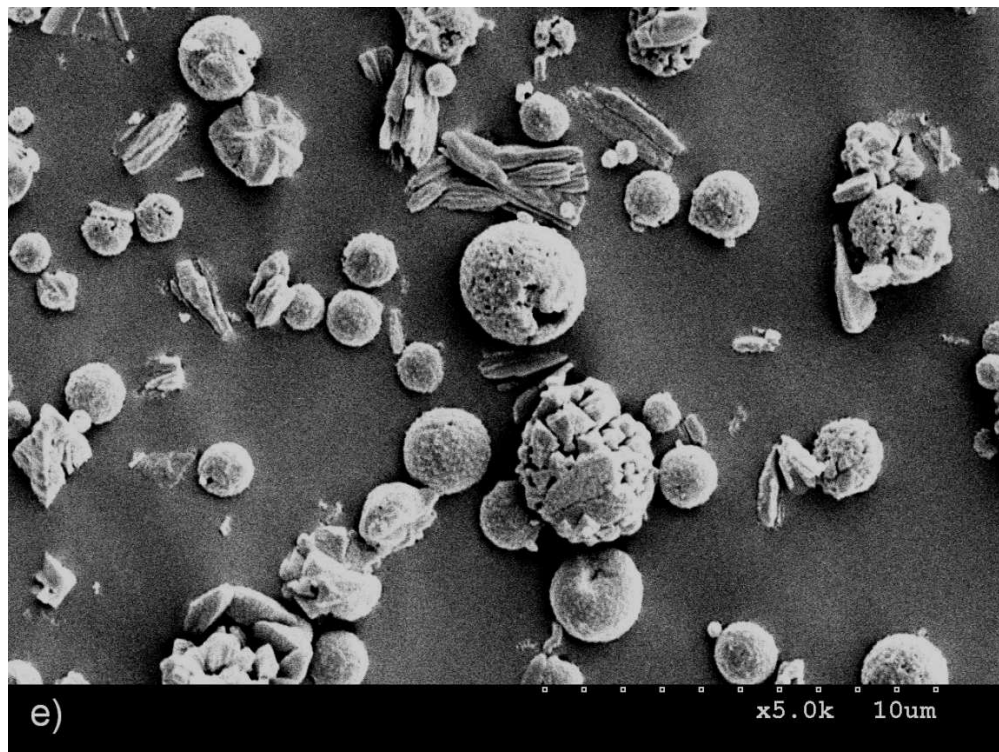
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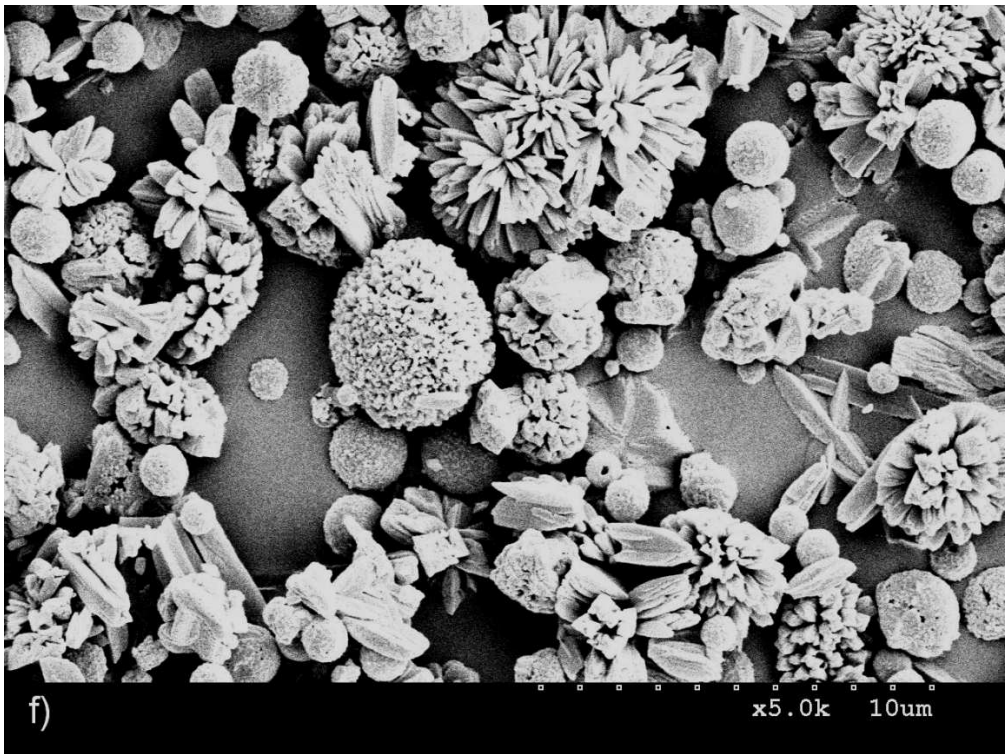
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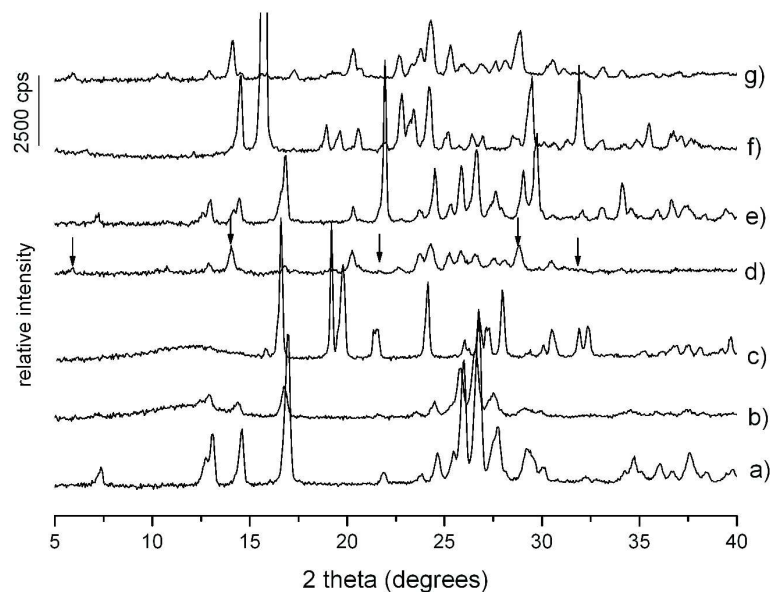


Figure 3 pXRD scans of (a) PAS starting material, (b) PAS spray dried as 3% w/v solution from 90% v/v ethanol, (c) 3-aminophenol, (d) PAS:AC 80:20 w/w spray dried from 90% ethanol, (e) PAS:AC 80:20 w/w physical mixture, (f) ammonium salt of PAS starting material and (g) ammonium salt of PAS spray dried as 3% w/v solution from 90% v/v ethanol. The arrows in the graph show differences between the diffractograms. Please note that the main peak of the ammonium salt of PAS starting material was capped for clarity purposes.

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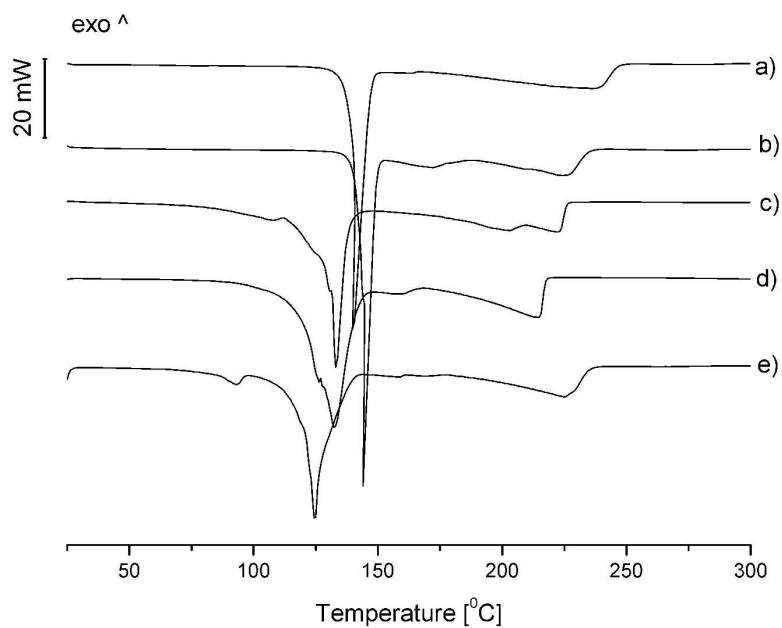


Figure 4 DSC scans of (a) PAS starting (b) PAS spray dried as 3% w/v solution from 90% v/v ethanol, (c) PAS:ammonium carbonate 80:20 w/w spray dried from 90% ethanol, (d) ammonium salt of PAS starting material and (e) ammonium salt of PAS spray dried as 3% w/v solution from 90% v/v ethanol.

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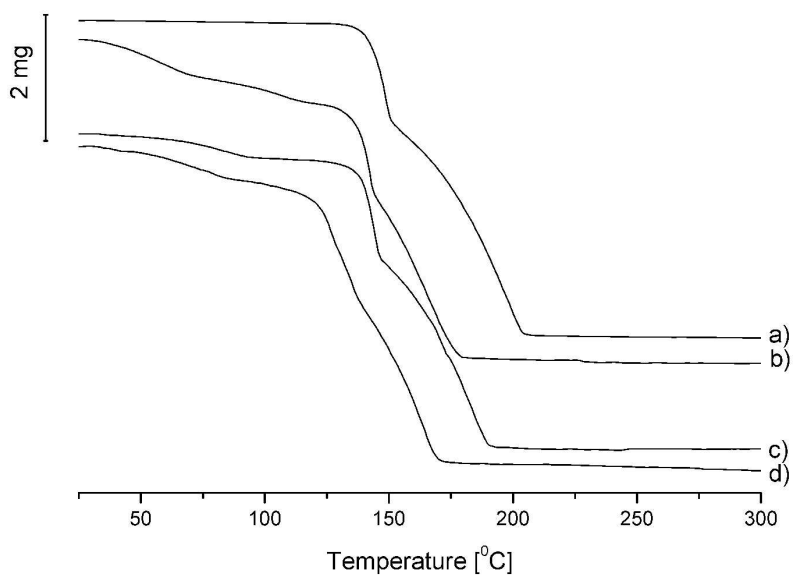


Figure 5 TGA curves of (a) PAS starting material, (b) PAS:AC 80:20 w/w physical mixture, (c) PAS:AC 80:20 w/w spray dried from 90% ethanol and (d) ammonium salt of PAS spray dried as 3% w/v solution from 90% v/v ethanol.  
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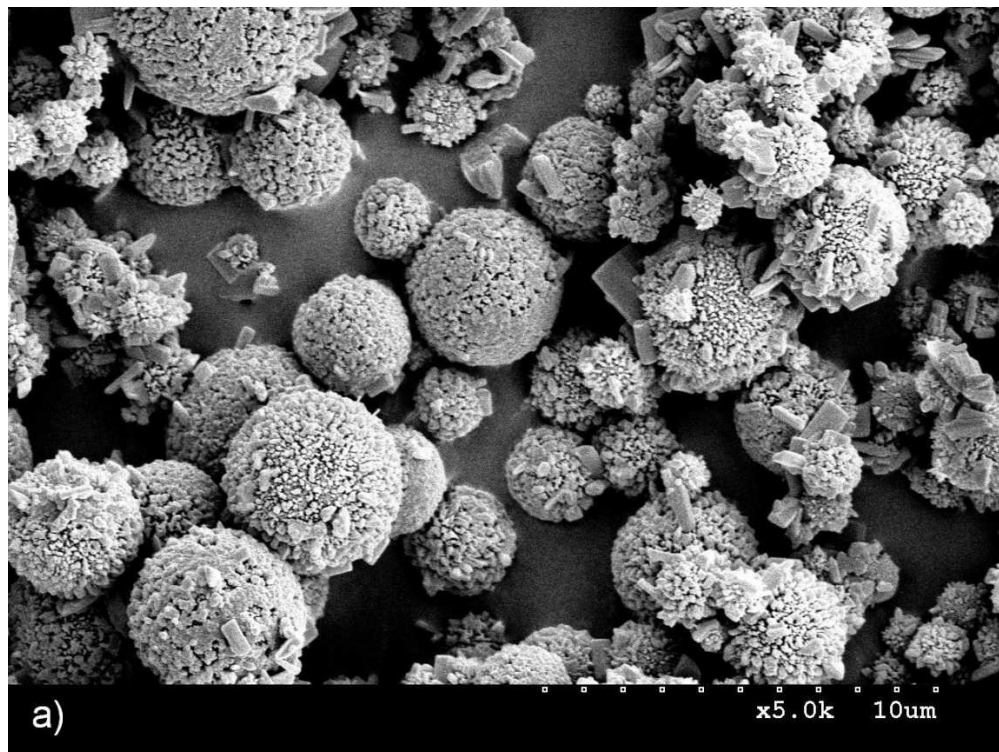
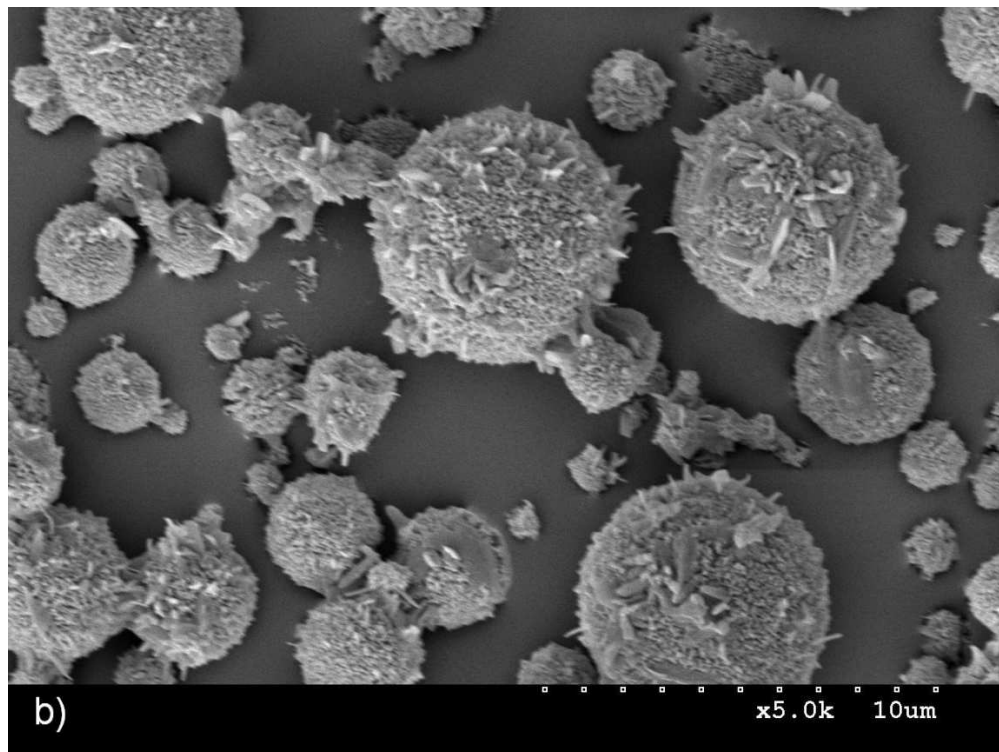


Figure 6 SEM micrographs of PAS/ammonium carbonate spray dried as 3% w/v solutions from 90% v/v ethanol, where the ratio between PAS to AC used was (a) 80:20 w/w (b) 73:27 w/w (c) 62:38 w/w, (d) 50:50 w/w and (e) spray dried ammonium salt of PAS. Please note different magnifications of the micrographs.

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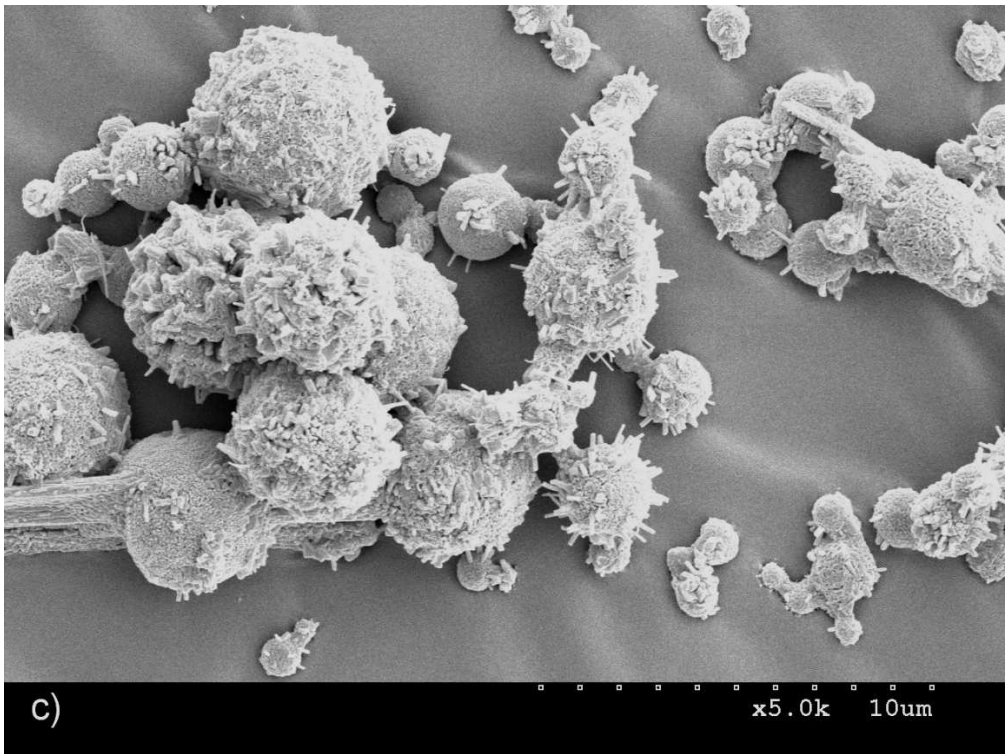
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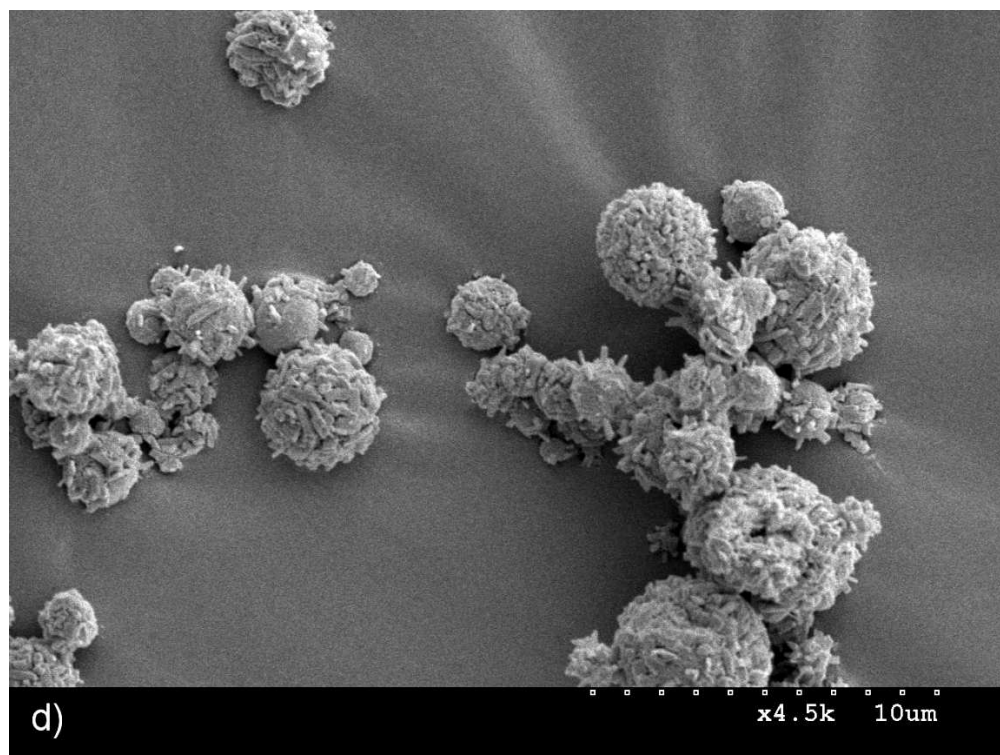
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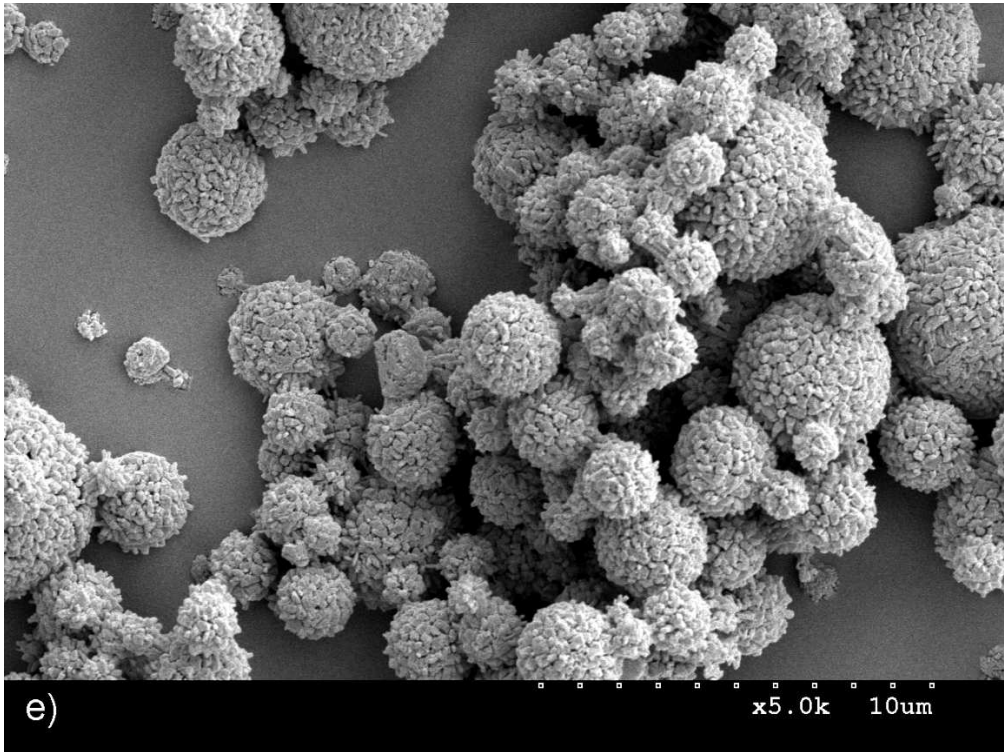
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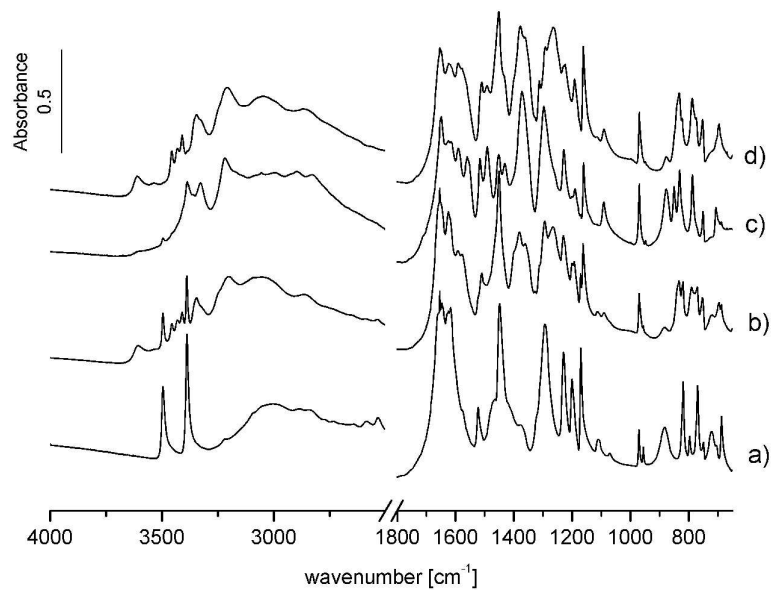


Figure 7 FTIR spectra of (a) PAS starting material, (b) PAS:AC 80:20 w/w spray dried from 90% ethanol, (c) ammonium salt of PAS starting material and (e) ammonium salt of PAS spray dried as 3% w/v solution from 90% v/v ethanol.  
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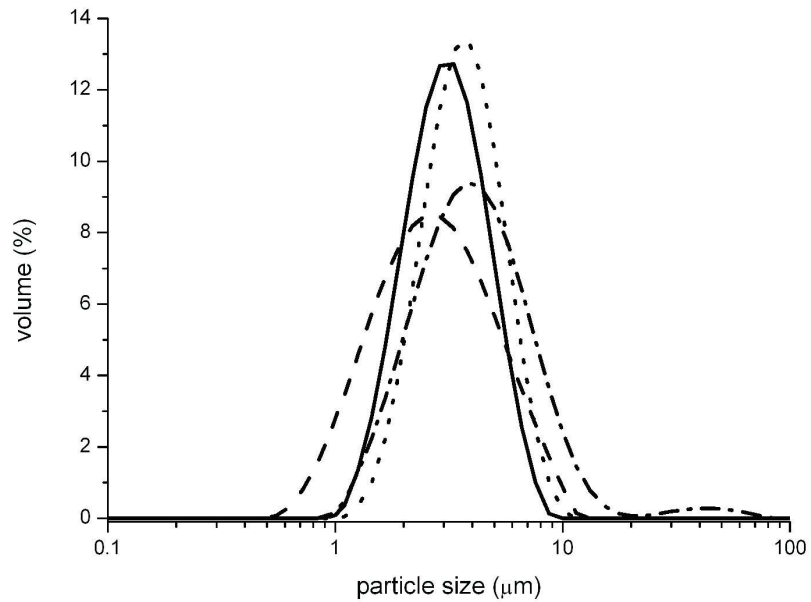


Figure 8 Particle size distribution of micronised PAS (solid line), SD PAS (dash line), SD PAS:AC 80:20 w/w (dotted line) and SD AM-PAS (dash-dotted line).  
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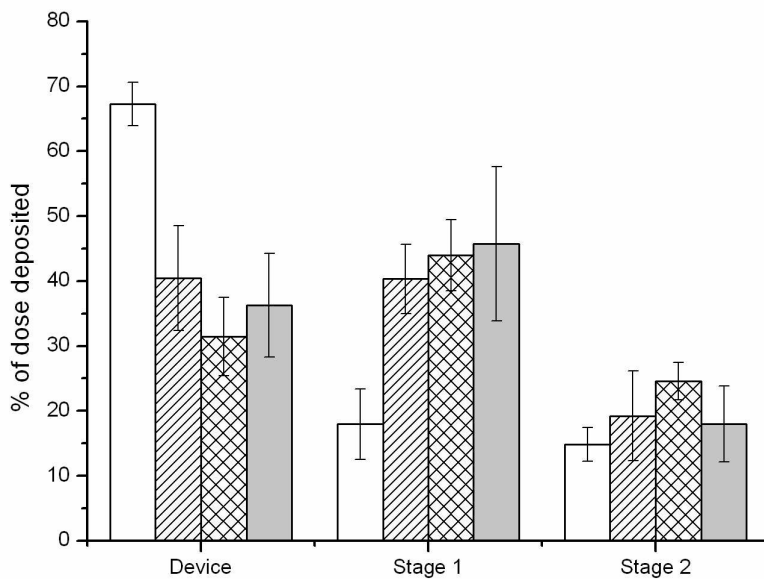


Figure 9 Twin impinger analysis of the micronised and spray dried PAS samples. White bars – micronised PAS, hatched bars – SD PAS, crossed bars – SD PAS:AC 80:20 w/w and grey bars – SD AM-PAS.

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