# Single mode lasers based on slots suitable for photonic integration

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Abstract: The re-growth free single mode lasers based on etched slots suitable for photonic integration are presented in this paper. The fabricated 650µm long laser exhibits a threshold current and a slope efficiency of about 32mA and 0.12mW/mA, respectively. The stable single mode operation has been observed with a side mode suppression ratio (SMSR) over 50dB at a current injection of 100mA for the fabricated laser. Such a laser integrated with electroabsorption (EA) modulator is also demonstrated. The integrated device has an extinction ratio over 10 dB at 2.2V driving voltage with the lasing wavelength of around 20nm positive detuning relative to the gain peak. The bandwidth measured is about 3GHz for the integrated device.

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## 1. Introduction

Realizing single mode lasers with very simple fabrication has attracted strong continued interest among groups all over the world. No regrowth is a key pre-requisite for the so-called simple fabrication. Laterally coupled DFB lasers [1–3] and surface-grating distributed Bragg reflector (DBR) lasers [4,5] which use just a single growth step have thus attracted great interest. However, these devices generally need electron-beam lithography for patterning which is quite expensive and time consuming compared with the standard photolithography.

An exception is the slotted laser reported in [6-13]. These lasers use optical defects in a standard Fabry-Pérot (FP) laser to specifically select a single mode, and such optical defects are formed by etching around 1 µm wide slots into the top ridge of the laser waveguide. Generally multiple slots have to be etched, of which the reflection strength determines how different the selected mode is from other modes, and the reflection band determines which mode is selected. These slots, if we consider them as a kind of grating, are generally very high order surface gratings. Up to now there have been two types of laser schemes based on these slots: one is the mode selective FP laser type [6–11], where the slots are seated inside the laser cavity. This type of laser needs two cleaved facets to form the fundamental FP cavity and uses the slots to select one single mode from the multiple modes of the FP cavity. The threshold of such a laser is mainly determined by the fundamental FP cavity. However, because it needs two cleaved facets it cannot be employed to integrate monolithically with other optical devices. Another is the high-order distributed Bragg reflector (DBR) laser type [12,13], where the slots are introduced into one side of the laser cavity to provide the full feedback for lasing. This type of laser is made completely dependent on slots. Because in this way the fundamental FP cavity is removed, the strong background reflection from the FP cavity facets disappears, which means that the laser can be integrated with other photonic devices such as electro-absorption (EA) modulators and mode converters, and that the laser can potentially work over a wide temperature range while keeping high side mode suppression ratio (SMSR). In [13] a laser based on the very deep slots has been demonstrated to integrate with a photodiode, however, this laser suffered from a low SMSR due to the broad reflection bandwidth caused by the small number of deep slots. By optimizing the slot width, depth and period, we predicted in theory that single mode lasers can be made completely dependent on such slots at one end of the laser cavity and in such case the laser is suitable for photonic integration [14]. In this paper we present the design, fabrication and experimental results on this non-regrowth integrable single mode laser based on slots. The laser integrated with EA modulators using identical active layer (IAL) [15] is also demonstrated.

## 2. Design of Single Mode Lasers Based on Slots

The schematic structure of the slotted laser integrated with the EA modulator is shown in Fig. 1 (a). The laser has a typical 2.0 µm-wide surface ridge waveguide. The active layer consists of five AlGaInAs quantum wells which have the emission peak around 1550 nm. One side of the laser has multiple uniformly distributed slots which act as an active DBR of the laser and provide sufficient feedback for the laser to work. The other end of the laser has an as-cleaved facet (for further optimization we can use a high reflection (HR) coating). The laser has a single contact which covers the whole laser cavity including the group of slots, so the regions with and without slots will have the same current injection. Under the effective index

approximation the three dimensional ridge waveguide is simplified into a 2D structure as shown in Fig. 1 (b).

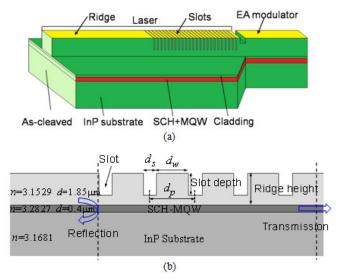


Fig. 1. (a) 3D schematic structure of the slotted single mode laser integrated with the EA modulator. (b) Simplified 2D waveguide structure with the slot.

The slot parameters such as the slot width  $d_s$ , spacing  $d_w$ , depth, and slot number are key parameters which influence the laser performance and have to be optimized in the design. To optimize these slot parameters, the 2D scattering matrix method (SMM) is used for the simulation. The slot depth was first set as  $1.35\mu m$ , which is much smaller than the  $1.85\mu m$  ridge height, to seek a high reflection while keeping a narrow reflection bandwidth. The slot width and slot spacing are defined as  $d_s = (2p+1)\lambda_B/4n_s$  and  $d_w = (2q+1)\lambda_B/4n_w$ , respectively, where p and q are integers,  $n_s$  and  $n_w$  are the effective index of the slot region and the waveguide region,  $\lambda_B$  is the Bragg wavelength which is always set to be 1550 nm in the simulation.

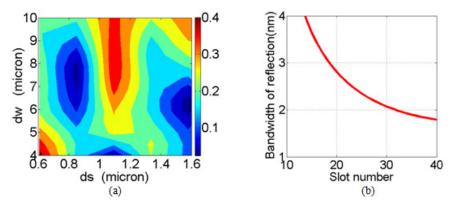


Fig. 2. (a) Contour plot of calculated amplitude reflection versus slot width and spacing. (b) Calculated reflection bandwidth versus slot number.

The contour plot of the calculated amplitude reflection from a group of 20 slots is given in Fig. 2 (a), which shows that when the slot width is around 1.1 µm (in this case the slot can be fabricated by standard photolithography), there exists a high reflection peak. The optimal slot width and slot spacing are around 1.1 and 7.87 µm, respectively, which makes the slot section act as a 37th-order surface grating. A relatively narrow reflection spectrum is generally

required to ensure a good single mode operation. Figure 2 (b) shows the 3-dB bandwidth of the reflection spectrum versus the slot number, which shows that when the slot number is between 20 and 30, a reflection bandwidth narrower than 3 nm can be obtained, which would cover 2 to 3 longitudinal modes of a conventional FP laser with a 300µm cavity length.

By increasing the slot number a sufficiently high reflection can be obtained but with a sacrifice of the transmission due to the loss caused by each slot. From the above analysis, the slots are optimized with a slot number of 24. For such slots, an amplitude reflection and transmission around 0.43 and 0.4, respectively, can be generated, and the bandwidth is around 2.5nm. This will help to achieve good single mode operation with a minimum laser threshold.

For a group of slots with uniform slot period of around  $9\mu m$ , the calculated free spectral range (FSR) is around 37nm, which means there are three reflection peaks in the wavelength range from 1500nm to 1600nm as shown in Fig. 3 (a). For integration with EA modulators which use the same quantum well layer as for the active region of the laser, the laser wavelength need to be red shifted from the gain peak. Therefore we need to suppress the side reflection peaks in the design. Simply we introduce three slot periods (8.5, 9.9 and 11.4 $\mu m$ ) to eliminate the side reflection peaks as shown in Fig. 3(a), where nearly no overlap among the side peaks. Figure 3 (b) shows the calculated reflection spectrum from such a group of slots, where the central reflection peak dominates.

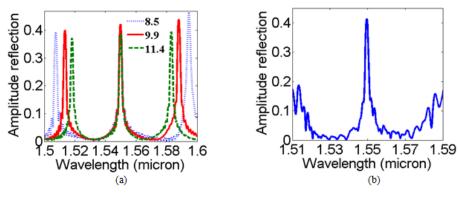


Fig. 3. Calculated reflection spectrum from a group of 24 slots (a) with the slot period of 8.5, 9.9 and  $11.4 \mu m$ , respectively. (b) with three above slot periods.

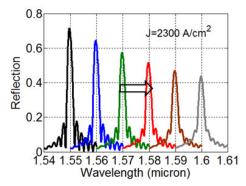


Fig. 4. Calculated red shift reflection spectrum.

Figure 4 shows the calculated reflection spectrum with gain under a current density of 2300A/cm<sup>2</sup>. Obviously, the longer the wavelength the lower the gain experienced, i.e., the lower the reflection thus the higher the threshold. Based on this, for the goodness of both the laser threshold and the extinction ratio of the EA modulator, the laser wavelength is designed as 1575nm.

### 3. Device Fabrication and Characteristics

For the first fabrication run e-beam lithography was used to pattern the slot but potentially photolithography can be used considering the ~1.0 μm slot width. Two steps of inductively coupled plasma (ICP) based dry etching with Cl<sub>2</sub> /N<sub>2</sub> gas combinations were used to form the ridge and the slots. First a 0.5μm high surface ridge was formed with the slot area being protected during the dry etching. Afterwards the protection layer was removed and a second dry etching was used to form the slot and also to continue etching the ridge to a depth of 1.85 µm. The slot was thus about 0.5 µm shallower than the ridge as was determined by this two-step dry etching process. The ridge was then passivated and metal contacted to finish the device structure. Finally the fabricated devices were cleaved and mounted on silicon carriers and placed on a thermoelectric cooling (TEC) unit to hold at a constant temperature of 25b C during the measurement. The fabricated laser has a total cavity length of 650 µm which includes the 235 um long section between the back facet and the first slot, and the 215 um long slot section and the 200 µm long curved front SOA (it is curved to produce a 7 degree angle with respect to the cavity and thereby to eliminate the back reflection from the front facet). A stable single mode performance with a threshold current of about 32mA and a slope efficiency of about 0.12mW/mA has been observed for this laser, as shown in Fig. 5 (a). Figure 5 (b) is the measured output spectrum at an injection current of 100mA, which shows a side mode suppression ratio of about 52dB.

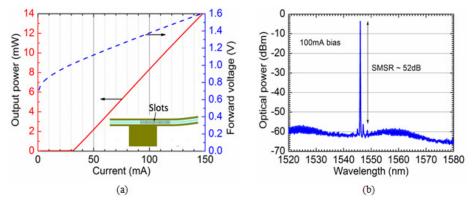


Fig. 5. (a) Measured light-current-voltage (LIV) curves at 25pC. The inset shows laser structure. (b) Measured output spectrum at 100 mA for  $650 \mu \text{m}$  long integrable slotted single mode lasers.

The fabricated integrated laser-modulator devices were cleaved to a length of around 850 $\mu$ m, of which the length of the laser and EA modulator was about 600 $\mu$ m and 200 $\mu$ m, respectively. A group of 24 slots with three slot periods (8.6, 10.1 and 11.6 $\mu$ m) was introduced in the one side of laser cavity to keep the laser lasing at long wavelength. An angled (10 $\mu$ ) deep etched slot was introduced between the laser and the modulator for the electrical isolation of each other. The typical isolation resistance was measured about 100k $\Omega$ . Figure 6 (a) shows the measured output spectrum of the light emitted from the EA modulator facet at the current injection of the laser of 100mA and the modulation voltage of 0V. The stable single mode performance was demonstrated with a side mode suppression ratio (SMSR) of over 50dB at a current injection of 100mA and the lasing wavelength of around 1569nm i.e., a positive detuning of around 20nm from the gain peak. Figure 6 (b) shows the normalized output power versus the reverse bias of the EA modulator. The extinction ratio of around 10dB was obtained when the modulator bias was -2.2V.

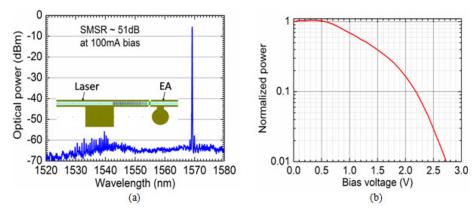


Fig. 6. (a) Measured output spectrum from the EA side facet. The inset shows laser-EA structure. (b) Normalized output power versus the reverse bias of EA modulator.

The bandwidth of the integrated device is measured to be over 3GHz as shown in Fig. 7. The bandwidth is limited by the simple contacting arrangement to the device. Much higher bandwidths can be envisaged through an optimized contacting arrangement.



Fig. 7. Measured eye diagram at 3Gb/s.

## 4. Conclusion

A re-growth free single mode laser scheme based on slots suitable for photonic integration has been presented. The fabricated lasers exhibit a stable single mode operation with a threshold of 32mA and side mode suppression ratio of about 52dB. Such laser integrated with EA modulator has also been demonstrated. The integrated device has a lasing wavelength of around 1569nm (20nm red shift from the gain peak). A DC extinction ratio of 10dB and 3dB bandwidth of 3GHz have been observed for the integrated devices. The laser performance can be further improved in terms of output power and threshold current if the back-side facet can be HR coated. The presented laser structure just needs a single wafer growth and can be fabricated by standard photolithography, which should provide a significant cost advantage.

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