

1 **Reduced Azole Susceptibility in Genotype 3 *C. dubliniensis* Isolates**
2 **Associated with Increased *CdCDR1* and *CdCDR2* Expression**

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ABSTRACT

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3 *Candida dubliniensis* is a recently identified yeast species primarily associated with oral
4 carriage and infection in HIV-infected individuals. The species can be divided into at least four
5 genotypes on the basis of the nucleotide sequence of the ITS region of the rRNA operon.
6 Previous studies have shown that a small number of clinical isolates belonging to genotype 1 are
7 resistant to the commonly used antifungal drug fluconazole. The aim of the present study was to
8 investigate the molecular mechanisms responsible for reduced susceptibility to azole drugs in *C.*
9 *dubliniensis* genotype 3 isolates obtained from a patient with fluconazole-recalcitrant oral
10 candidiasis. Four isolates from a single clinical sample, one susceptible, the other three exhibiting
11 reduced susceptibility to fluconazole, itraconazole, ketoconazole, voriconazole and posaconazole,
12 were examined. Results showed that reduced susceptibility to azole drugs was associated with an
13 increase in the expression of the multidrug transporters *CdCDR1* and *CdCDR2* which correlated
14 with reduced intracellular accumulation of radiolabeled fluconazole and an increase in
15 energy-dependent efflux mechanisms. In contrast to observations made in previous studies,
16 overexpression of the multidrug transporter *CdMDR1* was not observed. Despite a thorough
17 investigation of all commonly encountered mechanisms of azole resistance, no other mechanism
18 could be associated with reduced susceptibility to azole drugs in the clinical isolates studied. This
19 is the first report of *CdCDR2* involvement in azole resistance in *C. dubliniensis*.

20

1 **Introduction**

2 While the introduction of azole antifungal agents has improved the outcome of many fungal
3 infections, treatment failure due to resistance to these agents has become a clinical problem. In
4 particular, resistance to azole drugs has been associated with relapses in oral candidiasis in
5 HIV-infected and AIDS patients following extensive therapeutic and prophylactic use of
6 fluconazole (23, 36, 37)

7 A variety of molecular mechanisms by which *Candida* cells can develop resistance to azole
8 drugs have been described previously. Azole resistance can be caused by increased expression of
9 the target enzyme (cytochrome P450 lanosterol 14 α -demethylase) resulting in increased cellular
10 levels of the enzyme (18, 34) or as a result of point mutations which reduce its affinity for azole
11 drugs (11, 13, 16, 17, 24, 29). Reduced accumulation of drug due to increased efflux is another
12 mechanism commonly involved in azole resistance in clinical *Candida* isolates (1, 15, 21, 25, 31,
13 35). In addition, resistance to azole drugs has also been associated with modifications of the
14 ergosterol biosynthetic pathway such as defects in the sterol C5,6-desaturation step (10, 12, 19,
15 26)

16 *Candida dubliniensis*, a recently described species closely related to *C. albicans*, has been
17 documented as a significant cause of oral disease in HIV-infected patients, particularly those who
18 routinely receive fluconazole therapy for the treatment of oral candidiasis (3, 14, 27, 33).
19 Recently, four distinct genotypes were identified among *C. dubliniensis* isolates on the basis of
20 sequence variations in the ITS1 and ITS2 regions of the rRNA operon (8). Gee et al. found that
21 isolates belonging to genotype 1 were predominant world-wide and mainly recovered from HIV-
22 infected patients, while isolates belonging to genotypes 2, 3 and 4 were mainly recovered from
23 HIV-negative individuals. Resistance to fluconazole in clinical isolates of *C. dubliniensis*

1 belonging to genotype 1 has been observed previously (22, 25, 28). In addition, we have
2 previously shown that in vitro exposure of *C. dubliniensis* to fluconazole can result in the
3 development of stable resistance (21, 22). Previous molecular studies have shown that
4 fluconazole-specific resistance in clinical azole-resistant genotype 1 *C. dubliniensis* isolates and
5 in vitro-generated derivatives is primarily associated with overexpression of the major facilitator
6 CdMdr1p (21, 39). Although upregulation of *CdCDR1* has been observed in fluconazole-resistant
7 clinical isolates and in vitro-generated derivatives (21), it has been shown that CdCdr1p is not
8 essential for fluconazole resistance (20). This is in contrast to *C. albicans* where almost all
9 isolates with reduced susceptibility to azoles examined to date show upregulation of *CaCDR1*
10 (24). Moreover, Moran et al. found that 58 % of *C. dubliniensis* genotype 1 isolates harbor
11 mutated alleles of *CdCDR1*, that encode a truncated non-functional CdCdr1p protein.

12 In the present study, we describe the first case of reduced susceptibility to azole drugs in *C.*
13 *dubliniensis* isolates belonging to genotype 3. Using a matched susceptible isolate, an in depth
14 investigation of all molecular mechanisms previously associated with azole-resistance showed
15 that reduced azole susceptibility was associated exclusively with overexpression of CDR efflux
16 pumps, a mechanism not previously described in genotype 1 *C. dubliniensis* isolates.

17

1 **Materials and methods**

2 ***Candida dubliniensis* clinical isolates**

3 An AIDS patient showing persistent symptoms of oral candidiasis despite fluconazole therapy
4 attended the Dublin Dental Hospital in November 1997. A single oral swab sample taken from
5 the dorsum of the tongue yielded both *C. albicans* and *C. dubliniensis* when plated on
6 CHROMagar Candida[®] (Paris, France) medium (without fluconazole). All twelve single-colony
7 isolates of *C. dubliniensis* present on the isolation plate were recovered simultaneously from this
8 sample. On the basis of their susceptibility to azole drugs, four of the clinical *C. dubliniensis*
9 isolates (one susceptible and three with reduced susceptibility) were selected for detailed
10 molecular analysis. In addition, four single-colony *C. albicans* isolates were selected and
11 subcultured for further study. The *C. dubliniensis* isolates were originally identified on the basis
12 of their dark green colour on CHROMagar Candida[®] medium and their identities confirmed
13 using phenotypic and molecular techniques including biotyping using the API ID 32C yeast
14 identification system (bioMérieux, Marcy l'Etoile, France) and PCR identification using the
15 method developed by Donnelly et al (6). The *C. albicans* isolates were originally selected on the
16 basis of their light green colour on CHROMagar Candida[®] medium and identified using the API
17 ID 32C yeast identification system.

18 *Candida dubliniensis* and *C. albicans* clinical isolates were routinely cultured on potato
19 dextrose agar (PDA; Oxoid, Basingstoke, UK) medium (pH 5.6) at 37°C. For liquid culture, the
20 isolates were grown in yeast-extract-peptone (YEPD) broth at 37°C in an orbital shaker
21 (Gallenkamp, Leicester, UK) at 200 rpm.

1 **Susceptibility testing**

2 Broth microdilution (BMD) susceptibility testing with azole drugs was carried out by the
3 EUCAST broth microdilution method (5). All tests were carried out in duplicate.

4 **DNA and RNA extractions and Southern and Northern blotting analyses**

5 Total genomic DNA from the clinical isolates was prepared as described previously by
6 Gallagher et al. (7). Fingerprinting and karyotype analyses were carried out as described by Gee
7 et al. (8). Similarity coefficients (S_{AB}) based on band position obtained with the *C. dubliniensis*-
8 specific fingerprinting probe Cd25 were calculated according to the formula $S_{AB} = 2E / (2E + a + b)$,
9 where E is the number of bands shared by isolate A and B, a is the number unique to A and b is
10 the number of bands unique to B. An S_{AB} of 0.00 represents totally different patterns with no
11 correlated bands, an S_{AB} of 1.00 represents identical patterns (32).

12 RNA extraction, electrophoresis, transfer and hybridisation were carried out as outlined by
13 Moran et al. (21). Membranes were then exposed to BioMax MS film (Eastman Kodak
14 Company, Rochester, New York, USA.) for 24 to 72 h. For normalization purposes, all
15 membranes were hybridised with a probe homologous to the *C. dubliniensis* gene encoding
16 translation elongation factor 3 (*CdTEF3*). Hybridisation levels were analyzed by scanning
17 densitometry (GelWorks 1D Intermediate, Ultra-Violet Products Ltd., Cambridge, UK) and
18 normalized with *CdTEF3* expression levels by dividing the intensity obtained for the gene of
19 interest by the signal obtained for *CdTEF3*.

20 **PCR amplification and cloning of *C. dubliniensis* DNA sequences**

21 The amplification of *CdERG11* sequences was carried out using the primer pair ERG11F-
22 ERG11R (26). The *CdMDR1* promoter sequences (positions -882 to +40 with respect to the

1 *CdMDR1* start codon) were amplified by PCR with the primers PROF
2 (5'-CAAAACGTGTTAGAATTGCGC-3') and PROR (5'-CTCTACCAACAAAACACTATCTC-3').
3 The primer pair CdMDR1F-CdMDR1R (21) was used to amplify the *CdMDR1* ORF sequences.
4 In order to reduce PCR errors, all PCR reactions were carried out using a proof-reading
5 polymerase (Expand High Fidelity PCR System from Roche, Lewes, East Sussex, UK). The
6 amplimers were used directly in sequencing reactions carried out by Lark Inc. (Saffron Walden,
7 Essex, UK).

8 **Biochemical analyses**

9 Accumulation of [³H]fluconazole in *C. dubliniensis* was assessed by the method of Sanglard et
10 al (31) using cells grown to the mid-log phase in drug-free YNB. A time point of 20 minutes was
11 used as this has been shown previously to represent steady-state conditions (21).

12 For gas chromatography-mass spectrometry (GC-MS), non-saponifiable sterols were extracted
13 and analyzed using the method described by Pinjon et al. (26).

14 Glucose-induced efflux of rhodamine 6G (R6G) was determined in the absence of fluconazole
15 using the method described by Pinjon et al. (23).

16

1 **Results**

2 Twelve single colony isolates of *C. dubliniensis* were recovered from the same clinical
3 specimen obtained from a patient with oral candidiasis receiving fluconazole treatment. All were
4 found to belong to *C. dubliniensis* genotype 3 on the basis of nucleotide sequence analysis of the
5 ITS region of the rRNA operon (data not shown). The isolates exhibited a range of susceptibility
6 to fluconazole (MIC range 0.25 to 8 µg/ml), itraconazole (MIC range 0.06 to 0.5 µg/ml),
7 ketoconazole (MIC range from 0.07 to 0.125 µg/ml), voriconazole (MIC range 0.07 to 0.125
8 µg/ml) and posaconazole (MIC range 0.01 to 0.25) (Table 1). In addition, four *C. albicans*
9 isolates were selected from the initial isolation plate. All four were found to be susceptible to
10 fluconazole (MIC range 0.25 to 0.5 µg/ml) and itraconazole (MIC 0.03 µg/ml) (Table 1). Of the
11 twelve *C. dubliniensis* isolates, four representative isolates were selected for further study on the
12 basis of their susceptibility to azole drugs. One isolate, CD519-8, was susceptible to all the azole
13 drugs tested while the other three isolates (CD519-1, CD519-7 and CD519-14) showed reduced
14 susceptibility to these drugs (Table 1). Although resistance to azole drugs is frequently associated
15 with resistance to other antifungal drugs and metabolic inhibitors, the four clinical isolates were
16 all susceptible to amphotericin B (MIC 0.06 µg/ml) and all had similar susceptibility to 4NQO,
17 flucytosine, crystal violet and 1,10 phenanthroline (data not shown). To determine the relatedness
18 between these four isolates, they were analyzed by Southern hybridisation with the *C.*
19 *dubliniensis*-specific fingerprinting probe Cd25 (9). The four isolates yielded very similar
20 Cd25-generated fingerprint profiles that were significantly different from the fingerprint profile
21 obtained from the *C. dubliniensis* type strain CD36 (S_{AB} = 0.24 to 0.26) which was used as a
22 reference in fingerprinting procedures (Fig. 1.A). However, the Cd25-generated fingerprint

1 profile of CD519-14 ($S_{AB}= 0.93$) contained three polymorphic bands (indicated by arrows on Fig
2 1.A) which were not present in the fingerprint profiles of isolates CD519-1, CD519-7 and
3 CD519-8 ($S_{AB}= 1.00$). This finding suggested that, although isolate CD519-14 was closely
4 related to the other three isolates, it had undergone minor genetic reorganization suggestive of
5 microevolution which has been previously observed in *C. dubliniensis* (8, 9). The karyotypes of
6 the four isolates were analyzed by pulsed-field gel electrophoresis. The four clinical isolates
7 yielded identical karyotype profiles which were clearly distinct from the karyotype profile of the
8 *C. dubliniensis* type strain CD36 which was used as a reference (Fig. 1.B). Taken together, the
9 results of the Southern blot and karyotype fingerprinting experiments showed that the four
10 isolates examined were clonally related.

11 In order to elucidate the mechanism(s) responsible for reduced susceptibility to azole drugs in
12 these isolates, we carried out a thorough analysis investigating the mechanisms commonly
13 associated with azole resistance in *C. dubliniensis* (20, 21, 25, 26).

14 We have recently shown that resistance to azole drugs in *C. dubliniensis* can be associated
15 with defective sterol C5,6-desaturation resulting in a lack of ergosterol synthesis and the
16 accumulation of atypical sterol precursors (26). In order to determine whether the decreased
17 susceptibility to azole drugs observed in the clinical isolates CD519-1, CD519-7 and CD519-14
18 could be due to a defect in the enzyme sterol C5,6-desaturase, the non-saponifiable sterols
19 present in their membranes were analyzed by GC/MS. This analysis showed that all four clinical
20 isolates accumulated ergosterol in their membranes, which indicated that the ergosterol synthesis
21 pathway, and more particularly the sterol C5,6-desaturation function, was intact in all four
22 clinical isolates (Table 2).

23 In order to investigate whether overexpression of the enzyme lanosterol demethylase
24 (CdErg11p) was involved in mediating reduced susceptibility to azole drugs in the clinical

1 isolates CD519-1, CD519-7 and CD519-14, expression levels of the *CdERG11* gene in these
2 isolates and the azole-susceptible clinical isolate CD519-8 were examined by Northern blot
3 analysis. There was no significant elevation of *CdERG11* mRNA levels in any of the four clinical
4 isolates examined (Fig. 2).

5 In addition, the *CdERG11* genes from all four isolates were amplified by PCR and sequenced
6 directly. All four isolates had identical *CdERG11* nucleotide sequences which contained two
7 homozygous polymorphisms (I188V and R499K) and one heterozygous polymorphism
8 (V402G) when compared with the recently published *CdERG11* sequence (25). To confirm this,
9 the *CdERG11* genes from two isolates with differing susceptibility to azole drugs (i.e. CD519-1
10 and CD519-8) were expressed in the azole hypersusceptible *S. cerevisiae* strain YKKB-13 as
11 described by Sanglard et al. (29). The susceptibility to itraconazole and fluconazole of the *S.*
12 *cerevisiae* transformants expressing *CdERG11* genes from clinical isolates CD519-8 and
13 CD519-1 were determined by BMD. There was no difference in susceptibility to azole drugs in
14 transformants expressing the *CdERG11* gene from the azole-susceptible isolate CD519-8
15 compared to the azole susceptibility of the transformants expressing the *CdERG11* gene from the
16 isolate with reduced susceptibility to azoles, CD519-1 (data not shown).

17 In order to determine if reduced accumulation of drug contributed to the difference in MICs
18 observed between the CD519 clinical isolates, the accumulation levels of [³H]fluconazole were
19 examined in all four isolates. The isolates and the type strain CD36 were examined at a single
20 time-point following 20 minutes exposure to [³H]fluconazole (Fig. 3). The control strain CD36
21 which harbors a defective *CdCDR1* gene (20) accumulated 518 ± 0.7 cpm/ 10^7 cells. The three
22 isolates (CD519-1, CD519-7 and CD519-14) with reduced susceptibility to azoles showed lower
23 accumulation levels of [³H]fluconazole compared to the azole-susceptible isolate CD519-8. The
24 azole-susceptible CD519-8 yielded an average of 471 ± 29 cpm/ 10^7 cells following a 20 min

1 exposure to [³H]fluconazole while isolates CD519-1, CD519-7 and CD519-14 which had reduced
2 susceptibility to azoles yielded an average of 253 ± 3 cpm/ 10^7 cells, 272 ± 30 cpm/ 10^7 cells and
3 308 ± 57 cpm/ 10^7 cells respectively. This showed that in these three isolates, reduced
4 susceptibility to azoles was associated with reduced intracellular accumulation of drug,
5 suggesting an increased efflux of fluconazole in these isolates.

6 In order to more closely examine energy-dependent efflux mechanisms, glucose-mediated efflux
7 of rhodamine 6G was measured in the clinical isolates with reduced-susceptibility to azole drugs.
8 The method used in the present study directly assessed the efflux of R6G by measuring
9 extracellular concentrations of R6G following the addition of glucose to energy-starved cells in
10 the absence of fluconazole. Efflux of R6G from *C. dubliniensis* type strain CD36, harboring a
11 non-functional *CdCDR1* gene, was lower than R6G efflux from the clinical isolates (Fig.4). In
12 the absence of glucose, the extracellular R6G concentrations were similar in all four isolates
13 which reflected similar levels of R6G uptake (data not shown). However, in the presence of
14 glucose, efflux of R6G from the azole-susceptible isolate CD519-8 was lower than the R6G
15 efflux from isolates CD519-1, CD519-7 and CD519-14 (Fig. 4). This showed an increase in
16 energy-dependent efflux in the three isolates with reduced susceptibility to azole drugs.

17 All *C. dubliniensis* isolates with a defective *CdCDR1* gene identified to date belong to
18 genotype 1 (17). Therefore, since the CD519 isolates belong to *C. dubliniensis* genotype 3, they
19 were expected to harbour a functional *CdCDR1* gene. In order to confirm this, PCR amplification
20 followed by RFLP analysis was carried out as Moran et al. have shown that the presence of a stop
21 codon at position 756 in the *CdCDR1* ORF removes a restriction site for the enzyme *SspI* (20).
22 As expected, none of the CD519 isolates harbored the stop codon at position 756 of the *CdCDR1*
23 ORF.

1 Northern blot analysis was carried out in order to determine if the observed increase in
2 energy-dependent efflux in the clinical isolates with reduced susceptibility to azole drugs
3 correlated with increased expression of multidrug resistance genes. The isolates CD519-1,
4 CD519-7 and CD519-14, with reduced susceptibility to azole drugs, showed increased mRNA
5 levels of the two multidrug resistance genes *CdCDR1* and *CdCDR2* compared to the
6 azole-susceptible isolate CD519-8. However, this was not the case for the multidrug resistance
7 gene *CdMDR1*, the expression of which was not detectable in any of the four clinical isolates
8 (Fig. 2). *CdCDR1* expression was increased by approximately 5-fold, 2-fold and 6-fold in isolates
9 CD519-1, CD519-7 and CD519-14 respectively. Expression of *CdCDR2* was increased by > 10-
10 fold in isolates CD519-1, CD519-7 and CD519-14. Since RNA was extracted from cultures
11 grown in the absence of fluconazole, it can be assumed that upregulation of *CdCDR1* and
12 *CdCDR2* is constitutive.

13 In contrast to previously established mechanisms of resistance to fluconazole in *C.*
14 *dublinsiensis*, reduced susceptibility to azole drugs in *C. dublinsiensis* clinical isolates CD519-1,
15 CD519-7 and CD519-14 did not correlate with overexpression of *CdMDR1*. For this reason, it
16 was decided to study the *CdMDR1* gene from the four *C. dublinsiensis* CD519 isolates. The ORF
17 and promoter sequences from these isolates were amplified by PCR using the primer pairs
18 CdMDR1F-CdMDR1R and PROF-PROR respectively and sequenced. Fragments of the expected
19 sizes (approximately 1.7 kb for the *CdMDR1* ORF and 920 bp for the *CdMDR1* promoter) were
20 obtained in each case. Sequence analysis of the four ORFs showed that the sequences obtained
21 for the four clinical isolates were identical and contained five polymorphisms (D32G, T68S,
22 A105, T307I and E415K) which affected the amino acid sequence of the CdMdr1p protein
23 compared with the published sequence of *CdMDR1* obtained from the *C. dublinsiensis* type strain
24 CD36 (EMBL accession no. AJ227752) (21).

1 Sequence analysis of the *CdMDR1* promoter region showed that promoter sequences obtained
2 from the clinical isolates CD519-1, CD519-7, CD519-8 and CD519-14 were identical. However,
3 there were thirteen nucleotide differences between the sequence previously obtained from the
4 type strain CD36 and the sequence data obtained from the four isolates CD519-1, CD519-7,
5 CD519-8 and CD519-14.

6 Finally, in order to determine if the *CdMDR1* gene from the CD519 clinical isolates was
7 functional, it was heterologously expressed in the azole-hypersusceptible *S. cerevisiae* strain
8 YKKB-13. The transformant expressing the *CdMDR1* gene from isolate CD519-1 exhibited a
9 susceptibility pattern which was identical to the susceptibility pattern of the transformant
10 expressing the *CdMDR1* gene from *C. dubliniensis* type strain CD36. Both transformants showed
11 decreased susceptibility to fluconazole, benomyl, cycloheximide and 1,10 phenanthroline
12 compared with the transformant harboring the empty plasmid vector (Fig. 5).

13

1 **Discussion**

2 It is now well established that long-term treatment of *Candida* infections with azole drugs can
3 result in the development of antifungal resistance. In particular, fluconazole treatment of oral
4 candidiasis in HIV-infected and AIDS patients has been associated with treatment failures (23,
5 36, 37). The recently described species *C. dubliniensis* has been shown to be particularly
6 prevalent in this patient cohort and the recovery of genotype 1 *C. dubliniensis* isolates exhibiting
7 reduced susceptibility / resistance to fluconazole has been described previously (22, 25, 28). The
8 present study concentrated on the investigation of the molecular mechanisms involved in
9 mediating reduced susceptibility to azoles in four clonally related *C. dubliniensis* genotype 3 oral
10 isolates recovered from an AIDS patient with recurrent oral candidiasis recalcitrant to
11 fluconazole treatment. One isolate (CD519-8) was susceptible to azole drugs while the other
12 three (CD519-1, CD519-7 and CD519-14) showed decreased susceptibility to the azole drugs
13 fluconazole, itraconazole, ketoconazole, voriconazole and posaconazole (Table 1).

14 The decreased susceptibility to azole drugs in the three isolates was not associated with
15 overexpression or mutation of the *CdERG11* gene (Fig. 2). There was no indication of membrane
16 permeability alterations in these isolates. Indeed, all four isolates accumulated ergosterol in their
17 membranes (Table 2) which suggested that defects in the enzyme sterol C5,6-desaturase were not
18 involved in mediating reduced susceptibility to azole drugs in these clinical isolates.

19 Reduced susceptibility to azole drugs was, however, associated with reduced intracellular
20 accumulation of fluconazole (Fig. 3). This was confirmed by Northern blot analysis which
21 showed a correlation between overexpression of the multidrug resistance genes *CdCDR1* and
22 *CdCDR2* and a reduction in azole susceptibility (Fig. 2). Contrary to previous studies of

1 fluconazole resistance mechanisms in *C. dubliniensis*, overexpression of the multidrug resistance
2 gene *CdMDR1* was not observed in the three clinical isolates exhibiting reduced susceptibility to
3 azole drugs. Although resistance to fluconazole in clinical isolates *C. dubliniensis* has been
4 associated with combinations of different molecular mechanisms (25), the primary mechanism of
5 resistance to fluconazole in this species has been shown previously to be due to reduced
6 intracellular accumulation of drug mediated by the overexpression of *CdMDR1*.

7 While overexpression of *CDR2* has been observed in azole-resistant isolates of *C. albicans*
8 (30), overexpression of its *C. dubliniensis* homologue, *CdCDR2*, had never been reported
9 previously and was therefore thought not to be implicated in azole drug resistance in this species.
10 However, heterologous expression of *CdCDR2* in *S. cerevisiae* has previously shown that it was
11 able to mediate resistance to fluconazole and itraconazole (20). Because both *CdCDR1* and
12 *CdCDR2* were found to be upregulated in the present study, it is not possible to establish the
13 exact contribution of *CdCDR2* overexpression to the phenotype. In order to investigate this, it
14 would be necessary to disrupt the *CdCDR2* and/or the *CdCDR1* gene(s).

15 Although, five amino acid-altering polymorphisms (D32G, T68S, A105, T307I and E415K)
16 were identified in the sequence of the *CdMDR1* ORF obtained from the four CD519 isolates,
17 heterologous expression in *S. cerevisiae* YKKB13 showed that the *CdMDR1* gene was functional
18 since it was able to mediate resistance to fluconazole and metabolic inhibitors with the same
19 efficiency as the *CdMDR1* gene from the *C. dubliniensis* type strain CD36 (Fig. 5). While several
20 polymorphisms in the promoter sequences of the *CdMDR1* genes from the CD519 clinical
21 isolates compared to the promoter sequence obtained from the *C. dubliniensis* type strain CD36
22 (EMBL accession no. AJ227752) were identified, it is not known whether these could affect the
23 expression of *CdMDR1* in these isolates (38). A comparative functional analysis of the *CdMDR1*

1 promoter from the CD519 strain and the *C. dubliniensis* type strain CD36 should be carried out to
2 find out the relevance of these polymorphisms

3 While our data strongly imply that the observed reduced susceptibility to azole drugs was due
4 to upregulation of *CdCDR1* and *CdCDR2* expression, the possibility still remains that additional
5 resistance mechanisms could be involved. This possibility is currently being investigated using
6 microarray analysis. In *C. albicans*, a transcriptional activator of the ABC-transporters genes
7 *CDR1* and *CDR2* named *TAC1* (Transcriptional Activator of CDR genes) has recently been
8 identified (4). *TAC1* alleles from azole-resistant strains reintroduced in a *TAC1* homologous
9 mutant were able to confer constitutive *CDR1* and *CDR2* upregulation thus showing that azole
10 resistance in the clinical strains had evolved from mutations in *TAC1*. Recently, the complete
11 sequence of the *C. dubliniensis* genome has become available and a homologue of the *TAC1* gene
12 has been identified. It is likely that this homologue could be involved in the upregulation of
13 *CdCDR1* and *CdCDR2* observed in the clinical isolates analysed in the present study.

14 In conclusion, the analysis of susceptibility of multiple *C. dubliniensis* colonies from a single
15 clinical sample revealed a significant degree of variation in susceptibility to azole drugs. The
16 thorough analysis of matched clinical isolates belonging to *C. dubliniensis* genotype 3 showed
17 that reduced susceptibility to azole drugs appeared to be only associated with increased
18 energy-dependent efflux mechanisms mediated by the overexpression of the *CdCDR1* and
19 *CdCDR2* genes. These results are in contrast to the mechanisms of azole resistance described to
20 date in genotype 1 *C. dubliniensis* and highlight the complexity and diversity of mechanisms by
21 which *C. dubliniensis* isolates can develop resistance to azole drugs. Our previous studies were
22 based on the analysis of the most common *C. dubliniensis* genotype, i.e. genotype 1. Since the
23 majority of these isolates possess a defective *CdCDR1* gene, this led us to suggest that azole
24 resistance mechanisms in *C. dubliniensis* were different than in *C. albicans*. However, based on

1 the data of the present study, it would appear that resistance mechanisms in the *C. dubliniensis*
2 genotypes 2, 3 and 4 may be more similar to those found in *C. albicans*.

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1 **Figure 1:**

2 DNA fingerprint patterns of *C. dubliniensis* clinical isolates CD519-1, CD519-7, CD519-8,
3 CD519-14 and *C. dubliniensis* type strain CD36. (A) Cd25 hybridisation patterns of *EcoRI*-
4 digested genomic DNA. The relative positions of molecular size reference markers (in kilobases)
5 are indicated on the left of the panel. The positions of three polymorphic bands in the
6 fingerprinting profile of CD519-14 are indicated by arrows (B) Electrophoretic karyotype
7 patterns of *C. dubliniensis* clinical isolates CD519-1, CD519-7, CD519-8, CD519-14 and *C.*
8 *dubliniensis* type strain CD36. The relative positions of molecular size reference markers (in
9 megabases) are indicated on the left of the panel.

10

11

12 **Figure 2:**

13 Expression of *CdCDR1*, *CdCDR2*, *CdMDR1* and *CdERG11* analyzed by Northern blot of total
14 RNA from isolates CD519-1, CD519-7, CD519-8, CD519-14, *C. dubliniensis* type strain CD36
15 and Cm2, a fluconazole-resistant genotype 1 *C. dubliniensis* isolate characterised by Moran et al.
16 (18). Total RNA was extracted from cells growing exponentially in YEPD in the absence of azole
17 drugs, and 15 µg were electrophoresed on a denaturing agarose gel. Following transfer to a nylon
18 membrane, the blots were sequentially probed with radiolabeled DNA probes homologous to
19 *CdMDR1*, *CdCDR1*, *CdCDR2*, *CdERG11* and the constitutively expressed *CdTEF3* gene.
20 Expression of *CdTEF3* was used as an internal control for RNA loading.

21

22 **Figure 3:**

23 Accumulation of [³H]fluconazole in *C. dubliniensis* clinical isolates with reduced susceptibility to
24 azole drugs (CD519-1, CD519-7 and CD519-14) and an azole-susceptible clonally related isolate

1 (CD519-8). Accumulation levels were determined following 20 min incubation in the presence of
2 [³H]fluconazole. The *C. dubliniensis* type strain CD36 was used as a control in this experiment.

3
4 **Figure 4:**
5 Glucose-induced rhodamine 6G efflux from clinical isolates with reduced susceptibility to azole
6 drugs (CD519-1, CD519-7 and CD519-14) and an azole-susceptible clonally related isolate
7 (CD519-8). The *C. dubliniensis* type strain CD36 was used as a control in this experiment. Each
8 bar indicates the standard error of the mean of three sets of experiments.

9
10 **Figure 5:**
11 Susceptibility of *S. cerevisiae* YKKB-13 ($\Delta pdr5$) transformants harbouring cloned *CdMDR1*
12 genes to fluconazole and metabolic inhibitors. *CdMDR1* alleles from *C. dubliniensis* isolates
13 were amplified by PCR, cloned into the expression vector pAAH5 (2) and transformed into the *S.*
14 *cerevisiae* strain YKKB-13. The transformants harbour the pAAH5 plasmid (YP5) and the
15 *CdMDR1* genes from isolates CD36 (YGM3) and CD519-1 (EPY84). Each transformant was
16 grown to exponential growth phase to a density of 2×10^7 cells/ml and 4 μ l were spotted in a
17 dilution series on minimal agar medium plates containing fixed concentrations of fluconazole and
18 metabolic inhibitors as indicated. Plates were incubated for 48 h at 30°C

19

1 **TABLE 1.** Susceptibility to azole drugs of the *C. dubliniensis* and *C. albicans* isolates recovered from the same
 2 clinical sample
 3

Isolate ^c	MIC (µg/ml) ^{a, b}				
	Fluconazole	Itraconazole	Ketoconazole	Voriconazole	Posaconazole
<i>C. dubliniensis:</i>					
CD519-1	8	0.5	0.125	0.125	0.25
CD519-2	4	ND	ND	ND	ND
CD519-3	4	ND	ND	ND	ND
CD519-4	0.25	ND	ND	ND	ND
CD519-5	4	ND	ND	ND	ND
CD519-6	8	0.125	ND	ND	ND
CD519-7	8	0.25	0.125	0.125	0.125
CD519-8	0.5	0.06	< 0.07	0.07	< 0.01
CD519-10	4	ND	ND	ND	ND
CD519-11	2	0.125	ND	ND	ND
CD519-13	4	ND	ND	ND	ND
CD519-14	8	0.5	0.125	0.125	0.125
<i>C. albicans:</i>					
CA1197-1	0.25	0.03	ND	ND	ND
CA1197-2	0.25	0.03	ND	ND	ND
CA1197-3	0.25	0.03	ND	ND	ND
CA1197-4	0.5	0.03	ND	ND	ND

4 ^a MICs were determined by broth microdilution as described in Materials and Methods

5 ^b ND: not determined

6 ^c Isolates in bold-type were selected for a more detailed phenotypic and molecular analysis

7
8

1 **TABLE 2.** Sterols accumulated by *C. dubliniensis* clinical isolates in order of retention time
 2

Isolate	Accumulated sterols ^a	Isolate	Accumulated sterols ^a
CD519-1	15.3 % ergosta-tetraenol	CD519-8	16.8 % ergosta-tetraenol
	61.2 % ergosterol		55.8 % ergosterol
	8.3 % episterol		6.7 % fecosterol
	2.8 % obtusifoliol		6.0 % episterol
	12.4 % unknown sterols		1.8 % obtusifoliol
			12.8 % unknown sterols
CD519-7	17.8 % ergosta-tetraenol	CD519-14	21.3 % ergosta-tetraenol
	61.5 % ergosterol		63.2 % ergosterol
	3.7 % fecosterol		2.9 % fecosterol
	7.5 % episterol		5.0 % episterol
	3.0 % obtusifoliol		3.1 % obtusifoliol
6.7 % unknown sterols	4.5% unknown sterols		

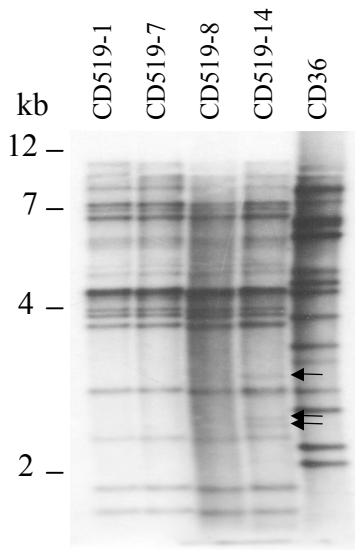
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 4 ^a Sterols were extracted from cells grown overnight in YEPD broth at 30°C and analysed by gas chromatography and
 5 mass spectrometry as described in Materials and Methods
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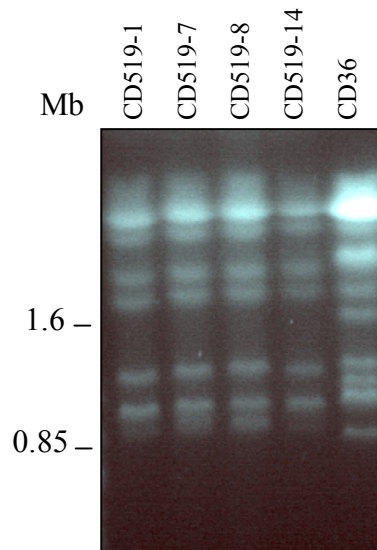
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1 **FIGURE 1**

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4 **A**

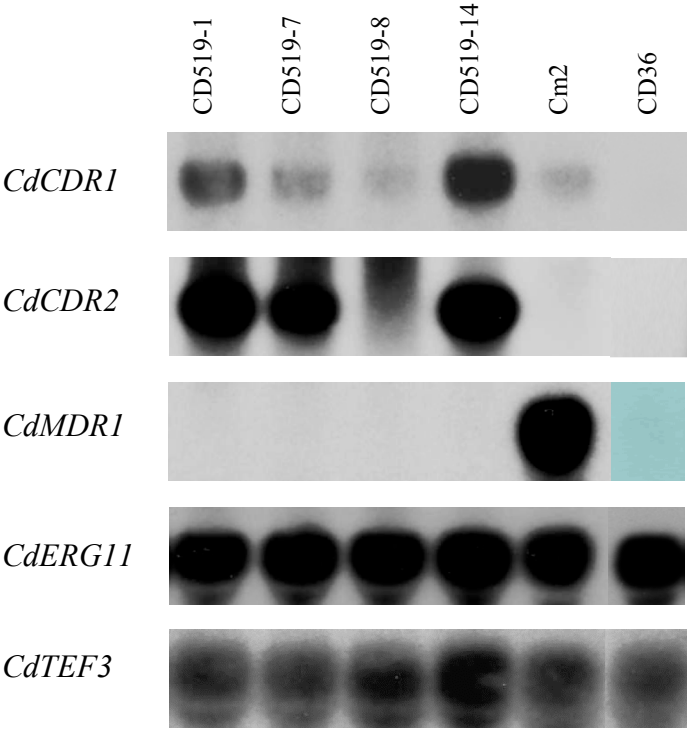


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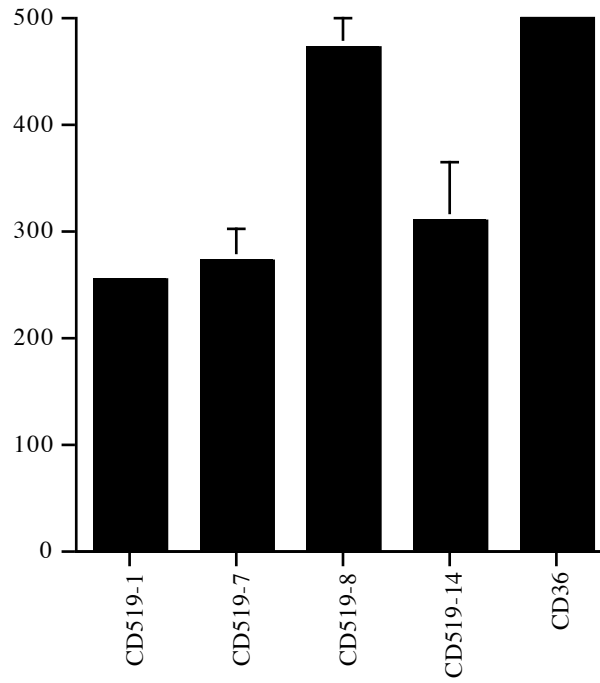
1 **FIGURE 2**

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1 **FIGURE 3**

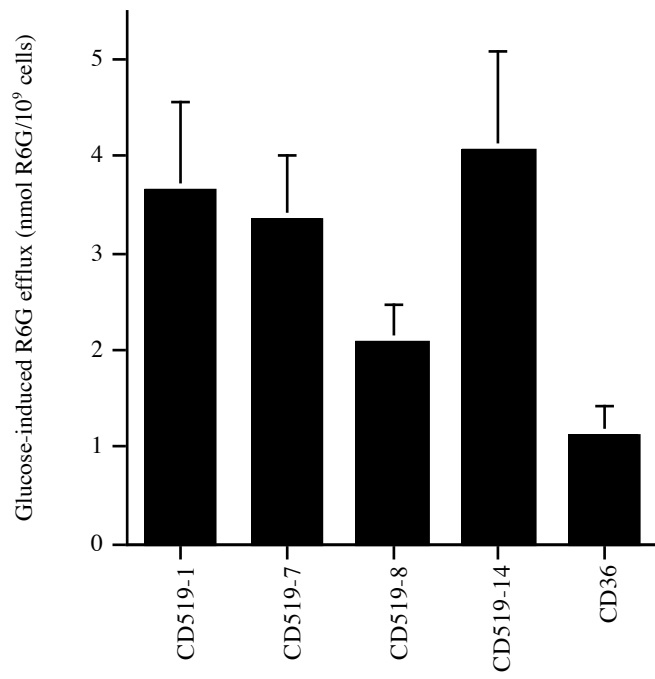
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FIGURE 4



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FIGURE 5

