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Authors: Vanessa Castro-López, Leanne F. Harris, James S. O'Donnell, Anthony J. Killard

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- Quantification of unfractionated heparin in human plasma and whole blood by means of novel fluorogenic anti-FXa assays
- 3 Vanessa Castro-López¹, Leanne F. Harris¹, James S. O'Donnell^{1, 2}, Anthony J. Killard^{1, 3}
- ¹Biomedical Diagnostics Institute, National Centre for Sensor Research, Dublin City
- 6 University, Dublin 9, Ireland.
- ²Haemostasis Research Group, Trinity College Dublin, and National Centre for Hereditary
- 8 Coagulation Disorders, St. James's Hospital, Dublin 8, Ireland.
- ³Department of Applied Sciences, University of the West of England, Coldharbour Lane,
- 10 Bristol BS16 1QY, UK.
- Author's e-mail addresses: Dr Vanessa Castro-López (vanessa.castro.lopez@gmail.com); Dr
- Leanne Harris (Leanne.Harris@dcu.ie); Prof. James S. O'Donnell (jodonne@tcd.ie); Prof.
- 13 Anthony J. Killard (tony.killard@uwe.ac.uk).
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- 16
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- 20 ³Corresponding Author: Prof. Anthony J. Killard.
- 21 Department of Applied Sciences, University of the West of England, Coldharbour Lane,
- 22 Bristol BS16 1QY, UK.
- 23 Tel: + 00 44 1173282147
- 24 Fax: + 00 44 1173282904
- 25 E-mail: tony.killard@uwe.ac.uk

26	Abstract
27	Novel and sensitive plate-based fluorogenic anti-factor Xa (FXa) assays were investigated to
28	quantify unfractionated heparin (UFH) in human plasma and whole blood within the
29	therapeutic ranges of 0-1.6 U/mL and 0-0.8 U/mL, respectively. Two fluorogenic anti-FXa
30	assay methods were defined for low (0-0.6 U/mL) and high (0.6-1.2 U/mL)
31	pharmacologically relevant UFH concentration ranges in pooled human plasma. In both cases
32	significant differences were observed at intervals of 0.2 U/mL (P<0.05). The semi-
33	logarithmic plots of the calibration curves in the low and high UFH range were both fitted to
34	linear regressions with correlation coefficients of 0.96 and >0.99, respectively. The assay was
35	also optimized for whole blood which was capable of differentiating UFH concentrations at
36	intervals of 0.2 U/mL (P<0.05) in the range of 0-0.4 U/mL. The statistically different results
37	were fitted to a linear regression with a correlation coefficient of >0.99. The results obtained
38	in this study could assist diagnostic laboratories towards improved monitoring of UFH
39	therapy.
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50	Keywords: fluorogenic anti-FXa assay; whole blood; plasma; UFH; monitoring.

1. Introduction

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The anticoagulant drugs market is believed to increase to over €9 billion in 2014 from €6 billion in 2008 [1]. Unfractionated heparin (UFH)¹ has been the parenteral anticoagulant of 53 choice for more than 50 years [2-4]. Even though new anticoagulant drugs inhibiting 54 thrombin (30%) and factor Xa (FXa) (70%) are under clinical development [5-7], UFH 55 continues to be administered for short-term prophylaxis because it is effective, inexpensive, 56 and a protamine sulfate antidote exists to rapidly reverse bleeding [8]. 57 Common laboratory monitoring of UFH is carried out by traditional coagulation tests, such as 58 the clot-based activated partial thromboplastin time (APTT) [9], chromogenic anti-FXa 59 assays [10], and the activated clotting time (ACT). Due to some limitations and drawbacks 60 61 associated with APTT and ACT, it has been recommended to calibrate the therapeutic APTT range in seconds to the reference anti-FXa range of 0.3-0.7 anti-FXa U/mL [11]. 62 Fluorescent detection has been investigated over the last few years as an alternative technique 63 to clotting and chromogenic assays. The high sensitivity that this optical measurement can 64 offer [12] along with its ability to be adapted to a broad range of sample matrices, makes it an 65 interesting technique to investigate. Many fluorophores and labelling chemistries are 66

¹ **Abbreviations:** UFH, unfractionated heparin; FXa, factor Xa; APTT, activated partial thromboplastin time; ACT, activated clotting time; AMC, 7-amino-4-methylcoumarin; SN-7, Mes-D-LGR-ANSN (C₂H₅)₂ fluorogenic substrate; ANSN, 6-amino-1-naphthalenesulfonamide; DCU, Dublin City University; ANOVA, analysis of variance; afu, arbitrary fluorescence units; TEG, thromboelastography; TAS HMT, thrombolytic assessment system heparin management test; TG, thrombin generation.

67	available for different coagulation proteases such as factor VIIa [13] and factor VIIa-tissue
68	factor complex [14], thrombin [15], factor VIII [16], factor IX a β [13, 17], factor Xa [13, 15],
69	factor XIa [18], factor XIIa [19] and factor XIII [20]. In particular, fluorogenic substrates
70	have been used to measure thrombin generation over the last ten years to investigate the
71	effects of UFH in platelet-poor-plasma (PPP) [21] and platelet-rich-plasma (PRP) [22].
72	To the best of our knowledge, few publications to date have evaluated the use of fluorogenic
73	substrates in the development of anti-FXa assays for monitoring anticoagulant therapy in
74	human plasma [23, 24] with none reported for use in whole blood. A novel and sensitive
75	plate-based fluorogenic anti-FXa assay in human plasma was recently investigated to monitor
76	UFH therapy using one of two commercially available peptide substrates for FXa based on a
77	7-amino-4-methylcoumarin (AMC) fluorescent reporter group [23]. In this study, it was
78	sought to develop novel fluorogenic anti-FXa assays to monitor therapeutic UFH
79	concentrations in both human pooled plasma and whole blood using the only other
30	commercially available FXa fluorogenic substrate.
31	
32	2. Materials and methods
33	2.1. Reagents
84	Water (molecular biology reagent), HEPES (minimum 99.5% titration), sodium citrate
85	tribasic dihydrate (ACS reagent, \geq 99.0%) and citric acid monohydrate (ACS reagent, 99.0-
36	102.0%) were purchased from Sigma-Aldrich (Dublin, Ireland). Filtered HEPES (pH 7.4; 10
87	mM) was prepared and both sodium citrate and citric acid were made up to 0.1 M. Citrate-
88	citric acid buffer solution was prepared at 3.8% sodium citrate and adjusted to ca. pH 5.5
89	with 0.1 M citric acid. A 100 mM filtered stock solution of CaCl ₂ from Fluka BioChemika

(Buchs, Switzerland) was prepared from a 1 M $CaCl_2$ solution. The fluorogenic substrate

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91	Mes-D-LGR-ANSN (C ₂ H ₅) ₂ (SN-7), containing the fluorescent reporter group 6-amino-1-
92	naphthalene-sulfonamide (ANSN), was acquired from Haematologic Technologies Inc.
93	(Vermont, USA). Stock solutions of 10 mM in DMSO were stored at -20 $^{\circ}$ C and also
94	protected from light with aluminum foil. Dilutions of stock solutions were performed with 10
95	mM HEPES. The kinetic constants of SN-7 fluorogenic substrate, which refer to the cleavage
96	by the endopeptidase FXa, were provided by the supplier as follows: $K_m = 125 \ \mu\text{M}$; $k_{cat} = 36$
97	s^{-1} ; $k_{cat}/K_m = 290,000 \ M^{-1} \ s^{-1}$. Purified human FXa (serine endopeptidase; code number: EC
98	3.4.21.6) was obtained from Hyphen BioMed (Neuville-Sur-Oise, France). UFH obtained
99	from bovine lung tissue was acquired from Sigma-Aldrich (St Louis, MO). Human pooled
100	plasma was purchased from Helena Biosciences Europe (Tyne and Wear, UK). Lyophilized
101	plasma was reconstituted in 1 mL of water and left to stabilize for at least 20 min at room
102	temperature prior to use.
103	2.2. Blood sampling
104	Human blood was locally obtained from one smoking and five non-smoking healthy
104105	Human blood was locally obtained from one smoking and five non-smoking healthy volunteers $(30-40 \text{ years of age; } 3 \text{ males and } 3 \text{ females})$, who had not ingested any
105	volunteers (30 – 40 years of age; 3 males and 3 females), who had not ingested any
105 106	volunteers (30 – 40 years of age; 3 males and 3 females), who had not ingested any pharmacologically active substances prior to the experiment. Informed consent was granted
105 106 107	volunteers (30 – 40 years of age; 3 males and 3 females), who had not ingested any pharmacologically active substances prior to the experiment. Informed consent was granted by all volunteers and the study was approved by the Dublin City University (DCU) ethics
105106107108	volunteers (30 – 40 years of age; 3 males and 3 females), who had not ingested any pharmacologically active substances prior to the experiment. Informed consent was granted by all volunteers and the study was approved by the Dublin City University (DCU) ethics committee. Samples were drawn through antecubital venipuncture at the School of Health
105 106 107 108 109	volunteers (30 – 40 years of age; 3 males and 3 females), who had not ingested any pharmacologically active substances prior to the experiment. Informed consent was granted by all volunteers and the study was approved by the Dublin City University (DCU) ethics committee. Samples were drawn through antecubital venipuncture at the School of Health and Human Performance in DCU. 10 mL of venous blood was collected into plastic 20 mL
105 106 107 108 109 110	volunteers (30 – 40 years of age; 3 males and 3 females), who had not ingested any pharmacologically active substances prior to the experiment. Informed consent was granted by all volunteers and the study was approved by the Dublin City University (DCU) ethics committee. Samples were drawn through antecubital venipuncture at the School of Health and Human Performance in DCU. 10 mL of venous blood was collected into plastic 20 mL sterile BD Luer-Lok TM syringes from Becton, Dickinson and Company (Drogheda, Ireland),

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115	2.3. Apparatus
116	Absorbance and fluorescence intensities were measured on an Infinite M200 microplate
117	reader from Tecan Group Ltd. (Männedorf, Switzerland) equipped with a UV Xenon
118	flashlamp. Flat, black-bottom 96-well polystyrol FluorNunc™ microplates fromThermo
119	Fisher Scientific (Roskilde, Denmark) were used for fluorescence measurements, and flat,
120	transparent 96-well Greiner® microplates from Greiner Bio-One (Gloucestershire, United
121	Kingdom) for absorbance readings.
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123	2.4. Absorbance and emission spectra of whole blood samples
124	The total volume of samples in the absorbance and emission fluorescence experiments was
125	150 μL , which corresponds to the same final volume as used in the fluorogenic anti-FXa
126	assay. Human blood samples were diluted 1:150 in water and their absorption spectra were
127	measured at 2 nm intervals with the Infinite M200 microplate reader from 280 to 1000 nm.
128	Background fluorescence emission spectra were evaluated at the excitation wavelength of
129	352 nm, which corresponds to that of the ANSN-based fluorogenic substrate, starting at 400
130	nm up to 850 nm at 2 nm reading intervals. Whole blood was diluted 1:3 in water. All
131	samples were scanned at 37 °C and after the assay was run.
132	
133	2.5. Optimization of fluorogenic anti-FXa assays
134	Measurements were carried out in reconstituted citrated human pooled plasma and citrated
135	human whole blood. All assays using human whole blood were initiated within 15 min of
136	collecting the blood samples. The same experimental protocol was used for both matrices, the
137	only difference being the titration range of both FXa and SN-7 fluorogenic substrate. In the
138	presence of citrated human pooled plasma, FXa and the ANSN-based fluorogenic substrate
139	were titrated within the range of 0.1-200 nM and 8.3-75 μ M (K_m = 125 μ M), respectively. In

the case of citrated human whole blood, FXa and the fluorogenic substrate were titrated from
$300\ to\ 500\ nM$ and from 75 to 125 μM , respectively. The experimental protocol was as
follows: samples consisting of 6.25 μL of 100 mM CaCl ₂ , 43.75 μL of pooled plasma or
citrated whole blood, and 50 μL of FXa (within the titration ranges) were incubated at 37 $^{\circ}C$
for 3 min and shaken for the first 150 s. The reaction was started by adding 50 μL of ANSN-
based fluorogenic substrate within the titration ranges previously described. Samples within
wells were mixed with the aid of orbital shaking at 37 °C for 30 s. Finally, immediately after
shaking, fluorescence measurements were recorded at 37 °C for 60 min with 20 μs
integration time. Fluorescence excitation was at 352 nm and emission was monitored at 470
nm, corresponding to the excitation/emission wavelengths of the ANSN fluorophore. All
measurements were carried out in triplicate. Following optimization of assay conditions,
pooled commercial plasma and whole blood samples were spiked with therapeutically
relevant concentrations of UFH from 0-1.6 U/mL and from 0-0.8 U/mL, respectively. The
reaction rate (slope), which is defined as the change in fluorescence divided by the change in
time (i.e. dF/dt), was measured as the linear portion of the fluorescence response profile and
plotted versus anticoagulant concentration.

2.6. Software and statistical analysis

All graphs were plotted using SigmaPlot 8.0. Statistical analysis was carried out using SPSS 17.0 software. Logarithmic transformation was applied to all reaction rates for data normalization. Intra-assay differences within the anticoagulant concentration range were compared using one-way analysis of variance (ANOVA), with subsequent post-hoc analysis performed (Tukey's test) if significance was observed. A result of p<0.05 was considered statistically significant.

3. Results

3.1. Human plasma
In the first part of the study in plasma, optimization of the fluorogenic anti-FXa assay was
undertaken by titrating FXa and the SN-7 fluorogenic substrate within the range of 0.1-100
nM and 8.3-33.3 μ M (K_m = 125 μ M), respectively. The best performing assay was selected in
terms of lag time and reaction rate values, as well as an optimal increase in fluorescence
response. It was found that 100 nM FXa and 25 μM fluorogenic substrate fulfilled all these
performance requirements. Subsequently, the fluorogenic anti-FXa assay developed was
analysed in human pooled plasma spiked with UFH from 0 to 1.6 U/mL UFH every 0.2
U/mL. The reaction progress curves shown in the inset of Fig. 1 showed decreasing reaction
rates and increasing lag times with increasing UFH concentration. This indicates that the
amount of FXa left over after the inhibition of FXa by the complex formation of
antithrombin-UFH, hence the fluorphore release, is inversely proportional to the
concentration of UFH present in the reaction mixture.
Reaction rates were calculated and data normalization was performed by applying the log ₁₀ -
transformation to slope values (Fig. 1 and Table 1). Intra-assay variability was determined by
means of statistical analysis of the log mean slope values obtained at all UFH concentrations.
Statistical analysis of the data showed sensitivity of the assay up to 1.6 U/mL UFH (p<0.05)
except that 0.6 and 1 U/mL, and 1.2 and 1.4 U/mL, there were not significantly different from
one another. Table 1 outlines the mean of the log of the slopes, their corresponding standard
deviation (SD) and %CV values. As can be seen, all CVs <2.5% indicating good
reproducibility of results.
Linear regression analysis was used to assess the behaviour of the log of the slopes with
increasing UFH concentration. Even though the dynamic assay range was previously
indicated as 0-1.6 U/mL UFH, the entire range was not considered for the linear calibration

curve as the linear fit returned a poor regression coefficient. From the data, a linear regression was found within the assay sensitive range 0-0.6 U/mL (y = -1.37x + 1.80; R^2 of 0.96). In light of these results, it was considered appropriate to re-optimize the fluorogenic anti-FXa assay to investigate if a high range of UFH concentration could be monitored. Therefore, in the second part of the study in plasma, 200 nM FXa was titrated with the SN-7 fluorogenic substrate over the range of 25 to 75 µM. The requirements for the best performing assay were the same as previously indicated. Thus, 200 nM FXa and 75 µM SN-7 fluorogenic substrate were found to be the optimal assay concentrations. The assay was tested within the UFH range of 0.6-1.6 U/mL. As can be seen in the inset of Fig. 2, the reaction progress curve showed negligible lag time values, fluorescence intensity reached the upper limit of the instrument and differences in slope values are shown at different UFH concentrations. Fig. 2 illustrates the log of the slopes at all UFH concentrations studied. Statistical analysis demonstrated that UFH concentrations at 0.2 U/mL intervals were statistically different from one another (p<0.001) from 0.6 up to 1.2 U/mL. Table 1 summarises the log (dF/dt), SD and %CV values. As can be seen, reproducibility was consistent throughout the experiment with CVs <2%. Linear regression was carried out within the statistically sensitive range and the linear calibration curve equation was y = -0.43x + 1.90 with a correlation coefficient of >0.99.

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3.2. Whole blood

3.2.1. Absorption and emission spectra

The absorption and emission fluorescence spectra of blood samples from six donors were measured in this study to investigate the effect of whole blood background fluorescence in the fluorogenic anti-FXa assay. Fig. 3 shows the absorption spectra of whole blood from the

214	six volunteers. As can be seen, the main absorption peaks appear at ~ 280, 345, 415, 541, and
215	576 nm, with the maximal absorption band at 415 nm. Differences in absorbance intensities
216	can be observed between individuals, which can be attributed to intrinsic donor
217	characteristics.
218	In order to investigate the whole blood autofluorescence, the emission spectra were evaluated
219	at the excitation wavelength of 352 nm, which corresponds to that of the ANSN fluorophore
220	(Fig. 4). A unique, but broad peak was observed at ca. 470 nm with a fluorescence intensity
221	value of 500-600 arbitrary fluorescence units (afu), which represents only 1% of the total
222	fluorescence range of the instrument (i.e. 0-60,000 afu). Thus the ANSN-based fluorogenic
223	substrate proves to be a suitable label due to the almost negligible background emission
224	fluorescence of whole blood at the wavelength excitation/emission pair of 352/470 nm.
225	3.2.2. Fluorogenic anti-FXa assay
226	Despite the very low emission fluorescence background shown by whole blood when exciting
226	Despite the very low emission fluorescence background shown by whole blood when exciting at 352 nm, the ANSN-based fluorogenic anti-FXa assay had to be re-optimized due to the
227	at 352 nm, the ANSN-based fluorogenic anti-FXa assay had to be re-optimized due to the
227 228	at 352 nm, the ANSN-based fluorogenic anti-FXa assay had to be re-optimized due to the very low increment in fluorescence signal. FXa and the SN-7 fluorogenic substrate were
227228229	at 352 nm, the ANSN-based fluorogenic anti-FXa assay had to be re-optimized due to the very low increment in fluorescence signal. FXa and the SN-7 fluorogenic substrate were titrated within the range of 300-500 nM and from 75 to 125 μ M, respectively. Whole blood
227228229230	at 352 nm, the ANSN-based fluorogenic anti-FXa assay had to be re-optimized due to the very low increment in fluorescence signal. FXa and the SN-7 fluorogenic substrate were titrated within the range of 300-500 nM and from 75 to 125 μ M, respectively. Whole blood samples from 6 donors were spiked with UFH concentrations within the range of 0-0.8 U/mL
227228229230231	at 352 nm, the ANSN-based fluorogenic anti-FXa assay had to be re-optimized due to the very low increment in fluorescence signal. FXa and the SN-7 fluorogenic substrate were titrated within the range of 300-500 nM and from 75 to 125 μ M, respectively. Whole blood samples from 6 donors were spiked with UFH concentrations within the range of 0-0.8 U/mL and optimization was assessed as previously described for the plasma assay. The best
227228229230231232	at 352 nm, the ANSN-based fluorogenic anti-FXa assay had to be re-optimized due to the very low increment in fluorescence signal. FXa and the SN-7 fluorogenic substrate were titrated within the range of 300-500 nM and from 75 to 125 μ M, respectively. Whole blood samples from 6 donors were spiked with UFH concentrations within the range of 0-0.8 U/mL and optimization was assessed as previously described for the plasma assay. The best performing assay was found to be 350 nM FXa and 87.5 μ M SN-7 fluorogenic substrate,
227228229230231232233	at 352 nm, the ANSN-based fluorogenic anti-FXa assay had to be re-optimized due to the very low increment in fluorescence signal. FXa and the SN-7 fluorogenic substrate were titrated within the range of 300-500 nM and from 75 to 125 μM , respectively. Whole blood samples from 6 donors were spiked with UFH concentrations within the range of 0-0.8 U/mL and optimization was assessed as previously described for the plasma assay. The best performing assay was found to be 350 nM FXa and 87.5 μM SN-7 fluorogenic substrate, which was capable of statistically differentiating UFH from 0 to 0.4 U/mL every 0.2 U/mL.
227 228 229 230 231 232 233 234	at 352 nm, the ANSN-based fluorogenic anti-FXa assay had to be re-optimized due to the very low increment in fluorescence signal. FXa and the SN-7 fluorogenic substrate were titrated within the range of 300-500 nM and from 75 to 125 μ M, respectively. Whole blood samples from 6 donors were spiked with UFH concentrations within the range of 0-0.8 U/mL and optimization was assessed as previously described for the plasma assay. The best performing assay was found to be 350 nM FXa and 87.5 μ M SN-7 fluorogenic substrate, which was capable of statistically differentiating UFH from 0 to 0.4 U/mL every 0.2 U/mL. Fig. 5 shows the normalized dose-response curve of UFH spiked whole blood donor samples.

238	0.4-0.8 U/mL. Linear regression analysis was performed within the UFH statistically
239	sensitive range proving a linear calibration curve of $y = -1.87x + 1.70$ with an $R^2 > 0.99$.
240	4. Discussion
241	In the first part of this study, human pooled plasma samples were spiked with UFH
242	concentrations from 0 to 1.6 U/mL every 0.2 U/mL. Two optimized assays covering the low
243	(from 0-0.6 U/mL) and high range (from 0.6-1.2 U/mL) for UFH were developed. The
244	fluorogenic anti-FXa assay concentrating on the low range of UFH was found to be 100 nM
245	FXa and 25 μM SN-7 fluorogenic substrate which was capable of statistically differentiating
246	the log of the slope values in commercial human pooled plasma from 0 to 0.6 U/mL every 0.2
247	U/mL. A linear calibration curve was calculated returning a correlation coefficient of R ² =
248	0.96. Good reproducibility of results was achieved as indicated by CV values below 2%. In
249	relation to the UFH high range concentrations, the optimized assay proved to be 200 nM FXa
250	and 75 μM ANSN-based fluorogenic substrate. Assay sensitivity was statistically determined
251	from 0.6 to 1.2 U/mL UFH with CV values less than 2%. The test revealed linearity within
252	the statistically range with a correlation coefficient of $R^2 > 0.99$.
253	The low and high range fluorogenic anti-FXa assays developed for UFH compare positively
254	with the different commercially available chromogenic anti-FXa assays in terms of assay
255	sensitivity and reproducibility. Most of the tests from Chromogenix, American Diagnostica
256	Inc., Hyphen Biomed and Instrumentation Laboratory to name just a few, measure
257	therapeutic levels of UFH up to 1 U/mL with CV values between 5-10%. One of the
258	limitations of the chromogenic anti-FXa assays is the lack of standardization as investigated
259	by Ignjatovic et al. [25] and Kitchen et al. [26].
260	Another area of similar research is the development of fluorogenic thrombin generation
261	assays in plasma [27] and whole blood [21, 28]. There are currently two commercially

262	available tests from Thrombinoscope and Technoclone, which use plasma, but lack of
263	standardization is an issue [29, 30, 31]. Furthermore, it has been reported by Hemker et al.
264	[22] that the relationship between thrombin generation and fluorescent activity is non-linear
265	due to substrate consumption and the inner filter effect. In the low and high UFH range
266	fluorogenic anti-FXa assays developed in the study presented here, linear calibration curves
267	were calculated returning correlation coefficients of 0.96 and >0.99, respectively.
268	In the second part of the study, whole blood experiments were performed. Firstly, whole
269	blood background fluorescence of the six donor samples was examined using the absorption
270	and emission spectra. The main absorption peaks appeared at ~ 280, 345, 415, 541, and 576
271	nm in all samples, with the maximal absorption band at 415 nm. These results agree well with
272	the absorption bands reported by Chen et al. [32] and Li et al. [33]. According to Chen et al.
273	[32], these absorption bands are similar to that of red blood cells with the exception of the
274	absorption peak at 280 nm. The band at \sim 280 nm corresponds to tryptophan with emission at
275	340 nm [33]. The bands at \sim 345 nm, 415 nm, and 576 nm have been correlated with the
276	absorption of iron porphyrin, which is part of haemoglobin [34]. And the last two absorption
277	peaks at ~541 nm and ~576 nm correspond to oxyhemoglobin [35]. Emission spectra of the
278	six volunteers at 352 nm excitation wavelength, showed a very low fluorescence intensity
279	emission peak at ca. 470 nm. Therefore, this emission band indicates the presence of an
280	endogenous fluorophore in whole blood with similar excitation-emission wavelength pair to
281	that of the ANSN fluorophore. The wavelength pair at ca. 340-460 nm has been attributed to
282	endogenous reduced nicotinamide adenine dinucleotide or reduced nicotinamide adenine
283	dinucleotide phosphate [33, 36]. Nevertheless, this fact does not represent an issue as the
284	background fluorescence signal is only 1% of the total fluorescence range of the instrument.
285	Secondly, the fluorogenic assay was re-optimized in whole blood because of the small
286	increment in fluorescence signal obtained compared with the results in plasma. Considering

287	that whole blood contains several components, the fluorescence signal could be diminished
288	by light scattering effects as suggested Kim et al. [35]. Thus the optimized fluorogenic anti-
289	FXa assay in whole blood was found to be 350 nM FXa and 87.5 μM SN-7 fluorogenic
290	substrate, which was capable of statistically differentiating UFH from 0 to $0.4~\text{U/mL}$ every
291	$0.2~\mathrm{U/mL}$. Linear regression of the statistically different data revealed good linearity (y = -
292	$1.87x + 1.70$ and an $R^2 > 0.99$).
293	Several attempts have been made to develop sensitive and rapid UFH whole blood assays for
294	critical clinical applications (e.g. cardiopulmonary bypass surgery, liver surgery, intensive
295	care units, etc.) but no success has been achieved to date. ACT whole blood point-of-care
296	devices are still widely used despite the drawbacks in relation to precision and sensitivity to
297	low UFH concentrations [37, 38]. It has been reported that the detection limit for heparin in
298	the ACT is ca. 0.5 U/mL therefore not being able to quantify normal therapeutic UFH
299	concentrations compared to anti-FXa assays [39].
300	Murray et al. [30] compared the sensitivity of ACT, APTT, protamine titration,
301	thromboelastography (TEG) and chromogenic anti-FXa assays to heparin therapy, in vascular
302	and cardiac surgical patients. ACT was reported to be the least sensitive test to identify
303	residual heparin. In another study, the new thrombolytic assessment system heparin
304	management test (TAS HMT) was compared with ACT and a chromogenic anti-FXa assay as
305	the reference method [37]. They documented that the new TAS HMT did not improve the
306	performance of ACT. In addition, two fluorogenic thrombin generation (TG) assays have
307	been developed in whole blood but not tested in the presence of UFH [21, 28]. Tappenden et
308	al. [28] showed that the whole blood TG assay was more sensitive than the PPP or PRP
309	methods, but its imprecision was higher with CV values for peak height and endogenous
310	thrombin potential of 14% and 13%, respectively. In our case, CVs were also higher in whole
311	blood than in plasma samples at all concentrations with values between 4-20%.

312	And finally, considering that FXa is the method of reference for measuring UFH, Hansen et
313	al. [40] developed an ACT II anti-Xa assay in whole blood with in vitro experiments
314	revealing linearity up to 6 U/mL UFH. Despite its rapidity and ease-of-use, individual
315	calibration curves need to be carried out in the operating room, which could hamper assay
316	procedure.
317	5. Conclusions
318	Apart from two recent publications on the development of fluorogenic anti-FXa assays to
319	monitor anticoagulant therapy in human pooled plasma [23, 24], to the best of our
320	knowledge, no studies on whole blood have been previously described. This paper outlines
321	the development of two more fluorogenic anti-FXa assays using one of the only two
322	commercially available FXa fluorogenic substrates. Two assays were developed to measure
323	low (0-0.6 U/mL) and high (0.6-1.2 U/mL) ranges of UFH in human pooled plasma.
324	Moreover, a linear calibration curve up to 0.4 U/mL UFH using the fluorogenic anti-FXa
325	assay in whole blood was developed. Further studies need to be undertaken to evaluate the
326	potential of this latter novel fluorogenic anti-FXa assay in critical clinical situations where
327	monitoring low UFH concentrations is needed.
328	
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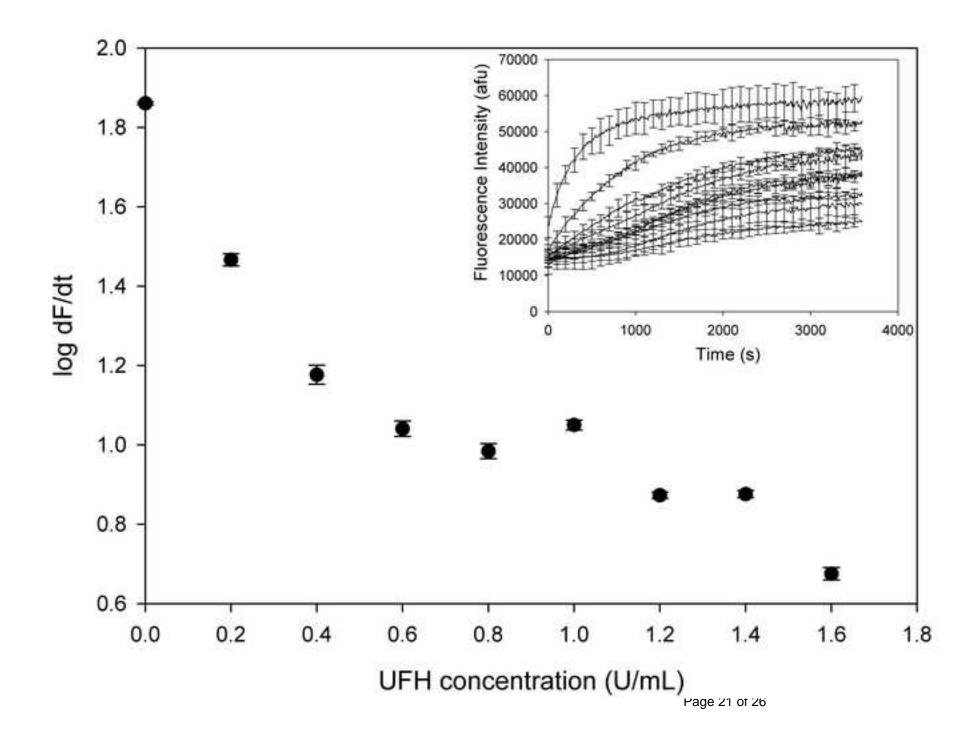
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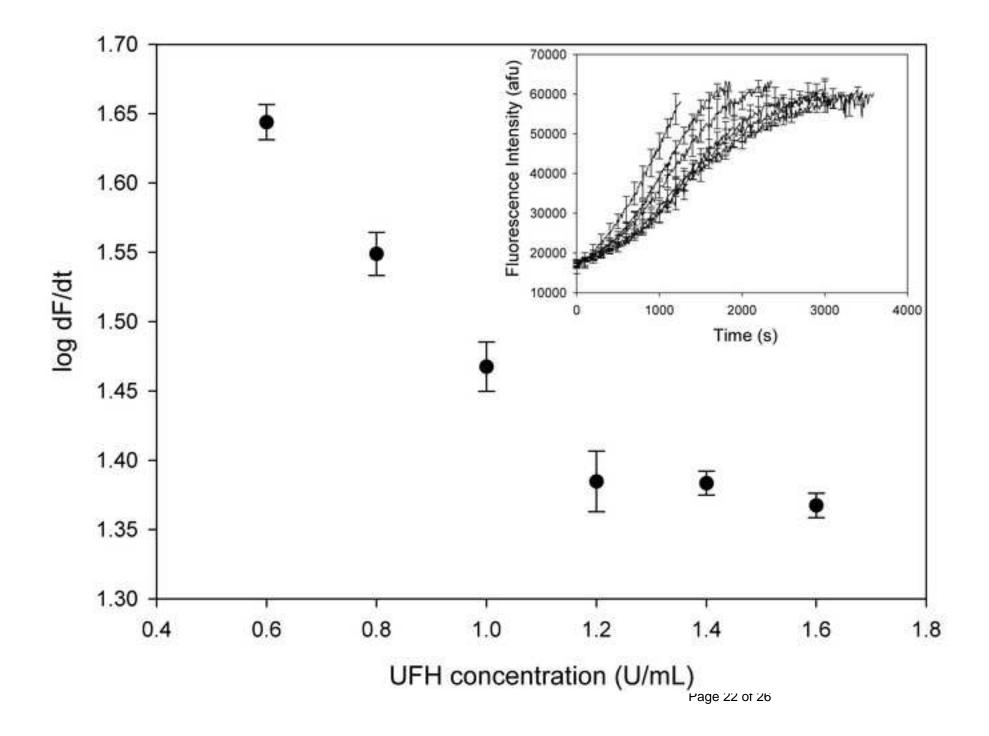
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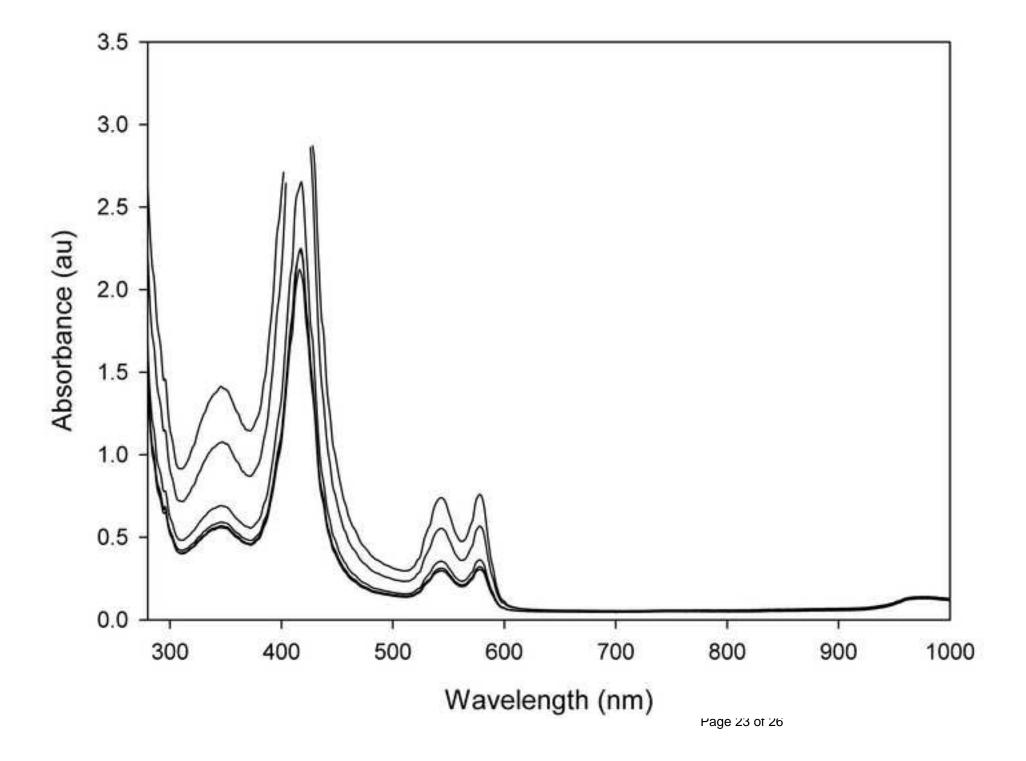
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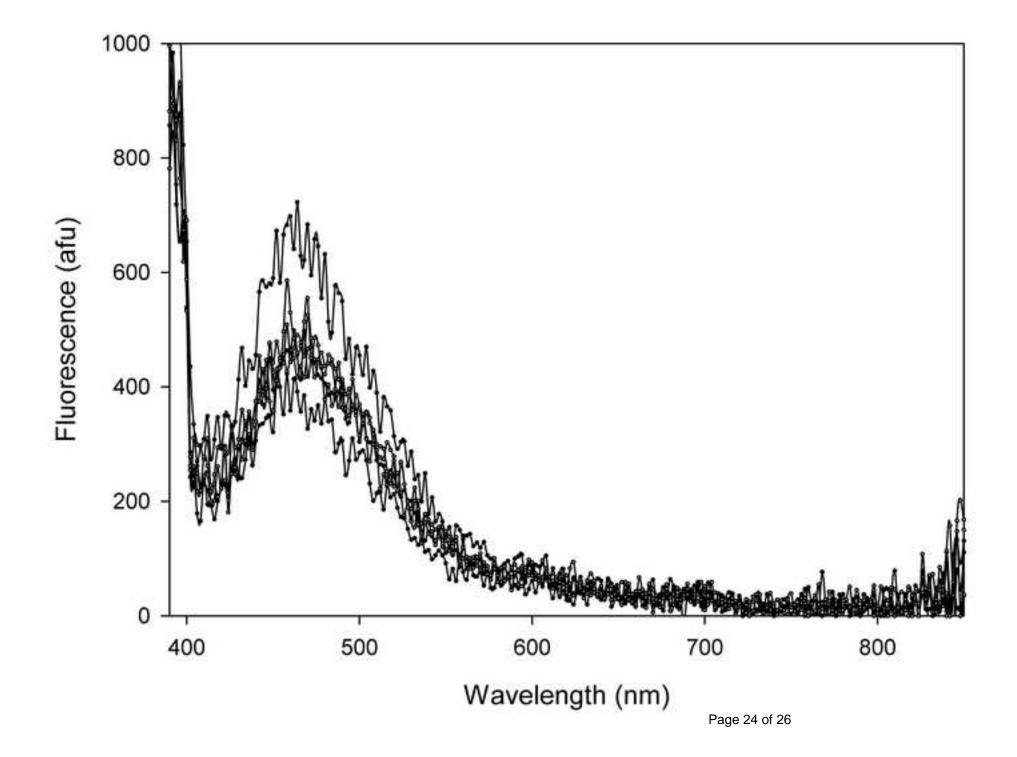
Table 1. Summary of averaged \log_{10} -transformation of reaction rates, SD and %CV values of the fluorogenic anti-FXa assay within the low UFH range of 0-0.8 U/mL, high UFH range of 0.6-1.6 U/mL in plasma (n=3), and whole blood (n=6).

	Plasma			Whole blood		
[UFH]	Low	UFH ra	nge			
(U/mL)	log(dF/dt)	SD	CV (%)	log(dF/dt)	SD	CV (%)
0	1.86	0.00	0.20	1.70	0.07	4.00
0.2	1.47	0.02	1.04	1.32	0.09	6.72
0.4	1.18	0.02	2.04	0.95	0.19	20.04
0.6	1.04	0.02	1.87	0.89	0.21	23.32
0.8	0.98	0.02	1.93	0.90	0.14	15.41
	High	UFH ra	ange			
0.6	1.64	0.01	0.77			
0.8	1.55	0.02	1.00			
1	1.47	0.02	1.21			
1.2	1.38	0.02	1.58			
1.4	1.38	0.01	0.62			
1.6	1.37	0.01	0.65			
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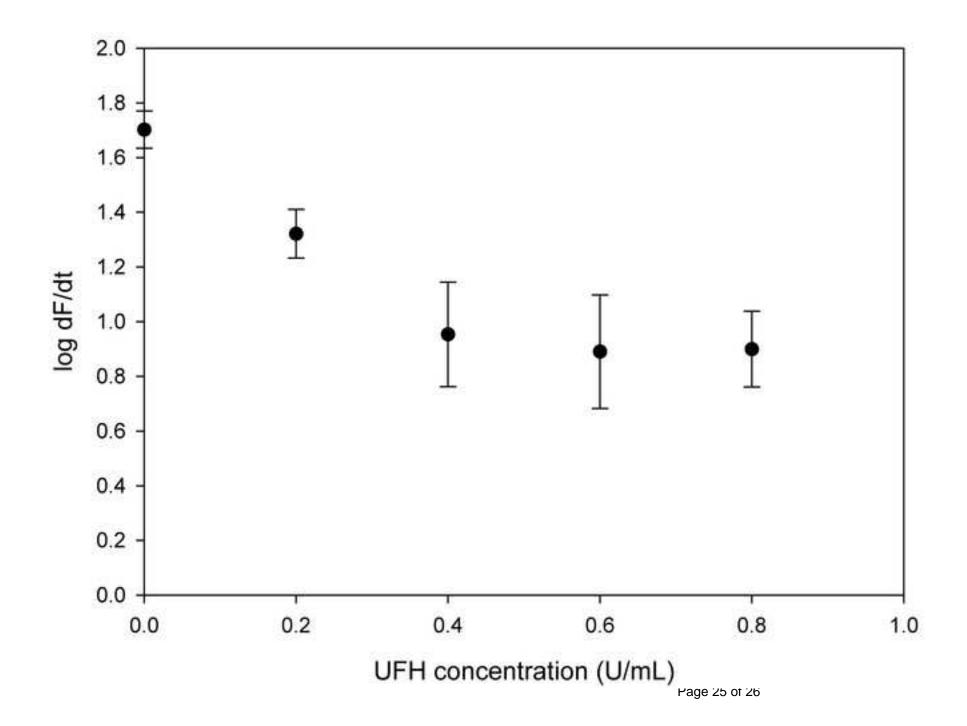


Figure Captions

Fig. 1. Log/lin dose-response curve of UFH within the range of 0-1.6 U/ml in human pooled plasma. Inset: Reaction progress curves of the ANSN-based fluorogenic anti-FXa assay (100 nM FXa and 25 μ M ANSN fluorogenic substrate) using human pooled plasma spiked with UFH (0-1.6 U/ml) (n=3).

Fig. 2. Log/lin dose-response curve of UFH within the range of 0.6-1.6 U/ml in human pooled plasma. Inset: Reaction progress curve of the ANSN-based fluorogenic anti-FXa assay (200 nM FXa and 75 μ M ANSN fluorogenic substrate) using human pooled plasma spiked with UFH (0.6-1.6 U/ml) (n=3).

Fig. 3. Absorption spectra of whole blood from six donors evaluated from 280 to 1000 nm.

Fig. 4. Fluorescence emission spectra (400-850 nm) of whole blood from six donors at $\lambda_{\text{excitation}} = 352$ nm.

Fig. 5. Log/lin dose-response calibration curve of UFH within the range of 0-0.8 U/ml in whole blood samples (n=6).