

## Magnetism of wurtzite CoO nanoclusters

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The possibility that the apparent room-temperature ferromagnetism, often measured in Co-doped ZnO, is due to uncompensated spins at the surface of wurtzite CoO nanoclusters is investigated by means of a combination of density-functional theory and Monte Carlo simulations. We find that the critical temperature extracted from the specific heat systematically drops as the cluster size is reduced, regardless of the particular cluster shape. Furthermore the presence of defects, in the form of missing magnetic sites, further reduces  $T_C$ . This suggests that even a spinodal decomposed phase is unlikely to sustain room-temperature ferromagnetism in ZnO:Co.

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In recent years the search for ferromagnetism in insulating oxides doped with small quantities of transition metals has become a topic generating much debate in the literature. Taking ZnO:Co as the prototypical example for this class of materials, many experimental groups have reported room-temperature ferromagnetism<sup>1-3</sup> whereas several others have failed to find any such evidence.<sup>4,5</sup> Notably, growth conditions, sample morphology, and spatial Co distribution play a crucial role in determining the magnetic properties. In particular, there is now an emerging view that samples with high structural quality and uniform Co distribution do not result in long-range ferromagnetism at high temperature and that the magnetism may be related to structural<sup>6</sup> or point defects.<sup>7</sup>

Given the unsettled experimental landscape it should not be a surprise that a number of interesting and competing theoretical models have been proposed. In general explanations involving standard mechanisms for the magnetic interaction appear problematic. Schemes leading to short-range magnetic coupling such as superexchange need a Co concentration, [Co], exceeding the percolation threshold. This is around 20% for an interaction extending to the nearest-neighbor sites of an fcc lattice and it is about a factor of five larger than the typical experimental concentrations. Similarly carrier-mediated mechanisms are not sustained by experimental evidence, which show both paramagnetism in presence of abundant-free carriers<sup>6</sup> and ferromagnetism deep in the insulating region of the phase diagram.<sup>2</sup> More generally carrier concentration and mobility have an apparent little correlation to the magnetic properties.<sup>8</sup> Furthermore carrier-mediated mechanisms are difficult to validate on a solid theoretical ground by using first-principles calculations since the empty Co *d* levels are usually erroneously predicted too shallow at the edge of the ZnO conduction band.<sup>9</sup>

Thus one has to look for more complex mechanisms for the magnetic interaction. Among these, the donor impurity-band-exchange model (DIBE) (Ref. 10) has enjoyed considerable popularity in the experimental community. According to the DIBE the magnetic interaction among Co<sup>2+</sup> ions is mediated by donors, whose charge density is localized over large hydrogenic orbitals so that the relevant percolation threshold becomes that of the donors and not that of the Co. Unfortunately the model still fails at the quantitative ground since room-temperature ferromagnetism needs prohibitively large exchange coupling between the donors and the Co.<sup>10,11</sup>

A second, recently proposed scheme, is the two-species model<sup>7,12</sup> in which Co-oxygen vacancy pairs (CoV) act as a second magnetic center in addition to Co<sup>2+</sup>. Interestingly CoV can interact magnetically strongly up to the third nearest neighbors, where the percolation threshold drops to 7%. Although this is still too high to justify a long-range ferromagnetic order, it suggests that room-temperature ferromagnetism can be achieved in samples where Co ions are non-uniformly distributed.

The extreme limit of Co segregation is represented by the formation of some secondary Co-based phase. Usually metallic Co is excluded by x-ray measurements.<sup>13</sup> Unfortunately all other compounds in the Zn-Co-O phase diagram (CoO, Co<sub>2</sub>O<sub>3</sub>, Co<sub>3</sub>O<sub>4</sub>, and ZnCo<sub>2</sub>O<sub>4</sub>) are either nonmagnetic or antiferromagnetic with low Néel temperatures so that there is no obvious candidate material to form ferromagnetic clusters in ZnO. Still, recently Dietl *et al.*<sup>14</sup> suggested that the apparent room-temperature ferromagnetism in ZnO:Co may be due to uncompensated spins at the surface of CoO nanoclusters maintaining the ZnO wurtzite (WZ) lattice structure. Indeed both WZ and zincblende (ZB) CoO were synthesized in the bulk<sup>15-17</sup> and as colloidal nanocrystals<sup>18</sup> but little magnetic characterization exists. Unfortunately calculations based on density-functional theory (DFT) and Monte Carlo (MC) methods are discouraging.<sup>19</sup> In fact all the possible CoO polymorphs, including WZ and ZB, do not display a ferromagnetic order but instead they are characterized by a general spin frustration. As a result, the critical temperatures,  $T_C$ , extracted from the specific heat are well below room temperature. Notably such a frustration was recently confirmed experimentally<sup>20</sup> for the WZ phase.

However, even if one can exclude magnetism in the bulk, the question of whether or not uncompensated spins can order at the surface of a nanocluster remains open. In particular, this is an intriguing question since the dominant exchange parameter extracted from DFT for wurtzite CoO, the one giving frustration, is large. One can then speculate that reducing frustration (as it happens on a surface) can help in enhancing  $T_C$ . Such an open question is investigated here, where we use MC simulations for extracting the thermodynamical properties of WZ CoO nanoparticles of different shapes and dimensions.

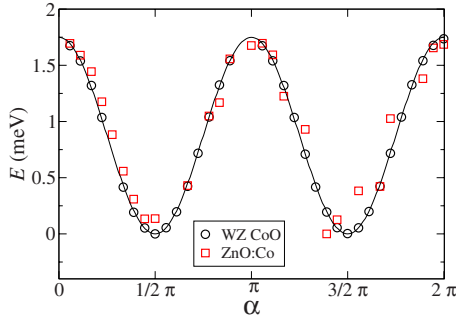


FIG. 1. (Color online) Total DFT energy as a function of the angle of the magnetization with respect to the WZ  $c$  axis for both CoO and a single Co impurity in a ZnO supercell. Note that in both cases the  $c$  axis is a hard axis while the  $a$ - $b$  plane is an easy plane. The anisotropy barrier is about 1.75 meV.

### I. FOUNDATION OF THE MODEL AND COMPUTATIONAL DETAILS

We perform MC calculations for a classical Heisenberg Hamiltonian of the form

$$H = -\frac{1}{2} \sum_{i,j} J_{ij} \vec{S}_i \cdot \vec{S}_j + \sum_i D(\vec{S}_i \cdot \hat{n})^2, \quad (1)$$

where  $\vec{S}_i$  is a classical spin located at site  $i$  ( $|\vec{S}_i|=3/2$  for  $\text{Co}^{2+}$ ) and  $J_{ij}$  is the exchange parameter between spins at sites  $i$  and  $j$ . The second term in Eq. (1) describes the hard-axis easy-plane crystal anisotropy,  $\hat{n}$  is a unit vector along the WZ  $c$  axis, and the zero-field splitting for  $\text{Co}^{2+}$  taken from electron paramagnetic resonance<sup>21</sup> is  $D=2.76 \text{ cm}^{-1}$ .

We have explicitly checked that the magnetic anisotropy established by electron paramagnetic resonance (EPR) for a single Co ion in a ZnO matrix transfers to the case of WZ CoO. In Fig. 1 we show the total energy as a function of the angle,  $\alpha$ , between the magnetization and the WZ  $c$  axis for both CoO and ZnO:Co. These have been calculated from DFT including spin-orbit interaction<sup>22</sup> and using the local density approximation (LDA) of the exchange and correlation functional. One can immediately note that indeed DFT predicts the hard-axis easy-plane anisotropy found in EPR. Moreover and most importantly the anisotropy for CoO is essentially the same as that of a single Co ion substituting for Zn in ZnO.

The values for the various exchange parameters,  $J$ , for bulk WZ CoO have been calculated previously<sup>19</sup> from DFT using the LDA+ $U$  extension of the LDA. The procedure used was to fit the total energies of a number of reference DFT calculations for different magnetic supercells to the Hamiltonian of Eq. (1). In the DFT calculations the parameters of the LDA+ $U$  functional, the on-site Coulomb repulsion  $U$  and exchange  $J$ , are fixed to reproduce the lattice constant of the rocksalt phase of CoO. This is a known antiferromagnet and a good fit is obtained for  $U=5 \text{ eV}$  and  $J=1 \text{ eV}$ . The same parameters are then used to calculate the exchange interaction in WZ CoO.

For WZ CoO four different  $J$ 's are enough to describe the dominant magnetic interaction with an error over the reference DFT calculations smaller than 1 meV/Co. We have then

TABLE I. Summary table of all the parameters used for the Monte Carlo simulations. The exchange constants  $J_n$  are calculated in Ref. 19 while the zero-field splitting,  $D$ , is from EPR measurements (Ref. 21).

Parameter	Value (meV)
$J_1$	6.1
$J_2$	-36.7
$J_3$	-0.2
$J_4$	-5.2
$D$	0.34

found:  $J_1=6.1 \text{ meV}$ ,  $J_2=-36.7 \text{ meV}$ ,  $J_3=-0.2 \text{ meV}$ , and  $J_4=-5.2 \text{ meV}$ , where the index  $n$  in  $J_n$  refers to the neighbor degree (i.e., “1” is for first near-neighbors  $\text{Co}^{2+}$ ). Note that both  $J_1$  and  $J_2$  refer to nearest-neighbor Co ions with the difference that  $J_1$  describes the interaction along the WZ  $c$  axis while  $J_2$  that in the  $a$ - $b$  plane. Thus the dominant interaction,  $J_2$ , is for first nearest neighbors in the  $\{001\}$  plane and it is antiferromagnetic. This is the origin of the frustration and of  $T_C$ 's below room temperature for the bulk. Note that qualitatively similar exchange parameters have been calculated previously in the literature for the diluted phase of ZnO:Co.<sup>23,24</sup> Notably in Ref. 24 also  $J_1$  is found antiferromagnetic although rather small. We attribute this minor difference to the fact that our calculations were performed for the dense CoO phase while that of Ref. 24 are for a Co-Co pair incorporated in a large ZnO cell and to the fact that in addition to the on-site LDA+ $U$  corrections in Ref. 24 the native ZnO gap was also corrected. Our parameters are finally used for the calculations of finite particles presented here. A summary of all the parameters used in the calculations is provided in Table I.

The ground state of a given particle is found by the simulated annealing method since frustration introduces many low-energy configurations differing considerably from the ground state but with minor energy differences from it. Such an energy landscape clearly makes the conjugate gradient and the steepest descent schemes ineffective. The temperature dependence is then investigated with Monte Carlo simulations. We use the standard Metropolis algorithm where the acceptance probability of a new state is 100%, if the new state has an energy lower than that of the old one and it is given by the Boltzmann factor otherwise. For each different magnetic cluster we equilibrate the system at a given temperature and then extract thermodynamical quantities by sampling over several millions MC steps. In particular, we extract  $T_C$  from the peak in the specific heat,  $C$ . This becomes necessary since an obvious order parameter is difficult to find for these highly frustrated clusters.

Such a computational scheme is not free of uncertainty. First, the reference DFT calculations are dependent on the specific choice of exchange and correlation functional used. LDA+ $U$  is certainly suitable for CoO and our parameterization of the  $U$ - $J$  parameter is based on total-energy considerations, i.e., on fitting the structural properties and not the band structure.<sup>19</sup> Second the Heisenberg model used contains

only  $J$ 's extending over a limited range and includes only pairwise interaction. The first approximation appears acceptable given the good quality of the fit to DFT while the second one is more difficult to assess. Nevertheless we have used rocksalt CoO to estimate the error and find that typically our  $T_C$ 's for the bulk are underestimated by about 30%.<sup>19</sup> Considering that the Co valence in rocksalt and WZ CoO is the same, we speculate that the same error found for the rocksalt phase can be transferred to WZ.

Still the uncertainty of using bulk parameters for finite clusters simulations remains. However, it is important to bare in mind that here we do not consider free-standing CoO nanoparticles but instead CoO clusters embedded into a ZnO matrix. This means that the local chemical coordination of each Co atom (i.e., the fourfold coordination to O) in the cluster is identical to that of bulk CoO. Since the magnetic interaction is driven by the short-ranged superexchange mechanism, which is sensitive only to the valence of the transition metal and to the local chemical environment, we expect that the exchange parameters,  $J$ , evaluated at the surface of the nanoparticle and in the bulk will be rather similar. For this reason bulk parameters are used for the nanoclusters.

Our argument, of course, would be inadequate in the case of free surfaces or grain boundaries for which a new parameterization is needed. Likewise one might expect  $J$ 's deviating from their bulk values in the case of ultrasmall nanoparticles. However, even if ultrasmall clusters display extremely large exchange interaction, still they will be superparamagnetic, i.e., they will not contribute to the apparent ferromagnetism often found in experiments.

## II. RESULTS

If present, it is likely that CoO nanoclusters form in ZnO either during the growth process or during postgrowth treatment. DFT calculations in fact confirm that there is an energy gain when moving Co ions to nearest-neighboring positions<sup>7</sup> so that clustering is highly probable. This can possibly be tuned by growth parameters and eventually the presence of additional dopants.<sup>25</sup> Still, even assuming that ZnO:Co during the growth has enough kinetic energy to form large CoO clusters, it is hard to predict whether such clusters should have a preferential shape. Indeed simulations of spinodal decomposition<sup>26</sup> seem to suggest the formation of highly Co-rich regions without any particular geometrical structure. This is then a different situation from that of free-standing nanoparticles, where the presence of free surfaces drives the particle geometry. For this reason we have looked at three different particle shapes, namely, spherical, cylindrical, and perforated spherical. The last ones are spherical particles where a fraction of the Co sites is randomly removed.

### A. Spherical nanoparticles

We consider spherical particles first. These are constructed by simply removing all the atoms beyond a sphere of a give radius,  $R$ . In doing so the position of the center of the sphere influences the atomic details of the external surface so that different particles with the same radius can be

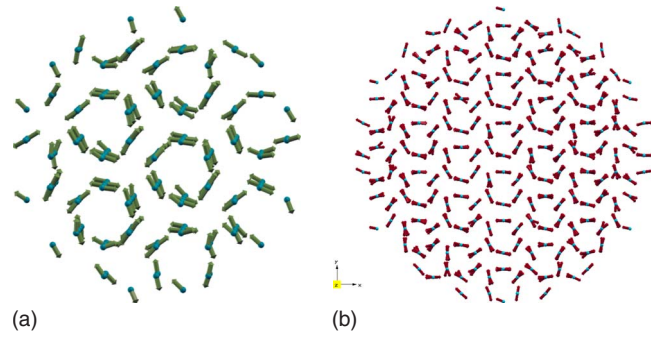


FIG. 2. (Color online) Ground-state spin configuration of spherical particles of different radius: 12 Å (top) and 18 Å (bottom). Note the large degree of compensation in the inner core of the particles and the presence of noncompensated spins at their surfaces.

made. We find that all the particle properties are relatively sensitive to the position of the particle center (chosen within the WZ unit cell) for small particles but they become progressively center independent as the radius gets larger. This is expected since larger surfaces allow all the thermodynamical quantities to self-average. For this reason we perform averages over the position of the center only for the smaller particles investigated.

We start our analysis by presenting the ground-state spin configuration as calculated with simulated annealing. This is shown in Fig. 2, respectively, for a small ( $R=12$  Å) and a large ( $R=18$  Å) particle. In the figure the particles are oriented with the WZ  $c$  axis pointing perpendicularly to the page so that the  $a$ - $b$  planes are visualized. The figure displays a clear spin compensation of the particle inner core. As for the case of bulk WZ CoO, the strong first nearest-neighbor antiferromagnetic exchange constant in the  $a$ - $b$  plane,  $J_2$ , drives the frustration. As a result the spins in the  $a$ - $b$  plane align at  $120^\circ$  with respect to each other and the net moment per plane vanishes. At the surface the frustration is lifted by translation symmetry breaking and a net uncompensated moment,  $\mu$ , emerges. Its direction and intensity depends on the particle size and for small particles on the details of the surface geometry. In any case the moment is always rather small and it is then difficult to visualize by simply looking at Fig. 2.

In Table II we list such a ground-state uncompensated magnetic moment (per Co atom) for spherical particles of different sizes. In the table for each radius we report the upper value obtained over a number of different geometrical realizations of the particle. In general, the uncompensated magnetic moments are rather small so that the cluster model itself is at best capable of explaining only weak magnetism in ZnO:Co. The table also allows us to extract an upper limit for the magnetic moment at room temperature due to WZ CoO particles. In fact, by assuming that magnetization reversal is driven by coherent rotation we estimate that particles with radii larger than 15 Å (containing about 800 Co atoms) are large enough to be superparamagnetically blocked at room temperature. Their ground state (at  $T=0$ ) magnetic moment is calculated between  $0.1\mu_B/\text{Co}$  and  $0.05\mu_B/\text{Co}$  (Table II). Thus, if magnetism originates from CoO clusters with

TABLE II. Table listing the uncompensated magnetic moment per Co in the ground state,  $\mu$ , and the critical temperature,  $T_C$ , extracted from the specific heat, for spherical particles of different radius. We also report the number of Co atoms contained in the particle,  $N_{\text{Co}}$ , and both particle volume,  $V$ , and area of the surface,  $S$ .

$R$ (Å)	$V$ (Å <sup>3</sup> )	$S$ (Å <sup>2</sup> )	$N_{\text{Co}}$	$\mu/\text{Co}$ ( $\mu_B$ )	$T_C$
6	940	452	52	<0.225	81
7	1436	615	64	<0.225	100
8	2143	803	94	<0.18	107
10	4186	1256	174	<0.18	126
12	7234	1808	324	<0.09	136
17	20569	3629	920	<0.045	163
19	28716	4534	1300	<0.03	169
22	44580	6079	2016	<0.015	176
29	102109	10562	4634	<0.0015	187

uncompensated spins then a ferromagnetic signal at room temperature cannot be associated to magnetic moments in excess of  $0.1\mu_B/\text{Co}$ . In fact particles with larger  $\mu$  have a radius smaller than 15 Å, they cannot be superparamagnetically blocked at room temperature and hence they cannot contribute to the ferromagnetic signal. However, even for the possibility of weak ferromagnetism to be sustained one has to demonstrate that the small magnetic moments survive at room temperature.

Unfortunately for these frustrated systems the magnetization is not a good order parameter and no sublattice magnetizations can be identified. Moreover both the uncompensated moment and the particle susceptibility turn out to be rather noisy quantities so that no  $T_C$  can be extracted from them. We then calculate  $T_C$  from the analysis of the specific heat,  $C$ , as a function of temperature. This is shown in Fig. 3 for a number of nanoparticles of different radii. The upper curve corresponds to  $R=6$  Å and the lower to  $R=30$  Å with the curves in between corresponding to radii incrementing by 1 Å. From the curves we can clearly identify a peak that becomes more diffuse as the particle size grows. Neverthe-

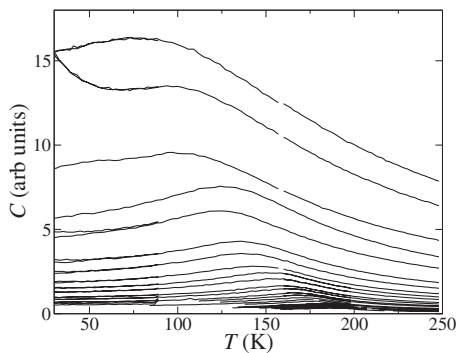


FIG. 3. The specific heat,  $C$ , as a function of temperature for finite clusters of wurtzite CoO. The curves are for particles of different radii, ranging from 6 Å (top) to 30 Å (bottom), in increment of 1 Å

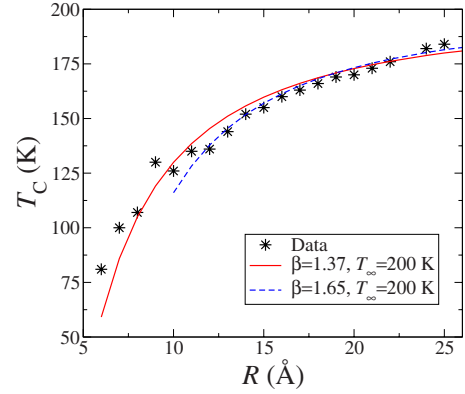


FIG. 4. (Color online) Critical temperature as a function of the particle radius  $R$  (\* symbols). The lines are fits to finite-size-scaling theory [Eq. (2)]. The solid red line corresponds to the fit obtained for  $R > 6$  Å while the dashed blue curve is for  $R > 10$  Å.

less we can still assign to the peak position the  $T_C$  of each particle. These are also reported in Table II. Interestingly the  $T_C$  calculated for bulk WZ CoO with the same parameterization used here is only 160 K,<sup>19</sup> i.e., it corresponds to a particle with a radius of 16 Å.

Finite-size-scaling theory for the Heisenberg model and ferromagnetic interaction<sup>27,28</sup> predicts a variation in the critical temperature with particle radius of the form

$$\frac{T_\infty - T_C(R)}{T_\infty} = \left(\frac{R}{R_0}\right)^{-\beta}, \quad (2)$$

where  $R_0$  and  $T_\infty$  are, respectively, the correlation radius and the critical temperature for the bulk. This cannot be applied directly to our results since  $T_\infty$  is smaller than  $T_C(R)$  for large clusters, i.e., the left-hand side of Eq. (2) becomes negative for some  $R$ . We have then fitted the calculated  $T_C(R)$  to the Eq. (2), by assuming a different  $T_\infty$ . The results are reported in Fig. 4. In the curve we show two fits obtained by taking  $T_\infty=200$  K and performing the fit, respectively, over the range  $R > 6$  Å (solid red curve) and  $R > 10$  Å (dashed blue curve). As expected the fit improves when particles with small radii are excluded since the scaling law is strictly valid in the vicinity of  $T_\infty$ . In any case we clearly observe that a rather good fit can be obtained for critical exponents in the range of that expected for the Heisenberg model ( $\beta \sim 1.42$ ), when  $T_\infty$  is about 200 K. For these values we find a correlation radius on the order of 6 Å.

We are at this time uncertain of why  $T_\infty$  calculated from MC for an infinite system (in practice it is calculated for a finite system with periodic boundary conditions and sufficiently large cells) differs from that extrapolated from finite-size scaling. In general,  $C(T)$  for finite particles is much more diffuse than that calculated by using periodic boundary conditions so that the assignment of  $T_C$  may be affected by some errors. However, such an uncertainty is smaller than the difference between the two temperatures ( $\sim 40$  K) so that an alternative explanation is needed. We believe that the surface and the core of the particles contribute in a substantial different way to the  $T_C$  so that scaling theory cannot be applied directly. In fact, depending on the magnetic coupling

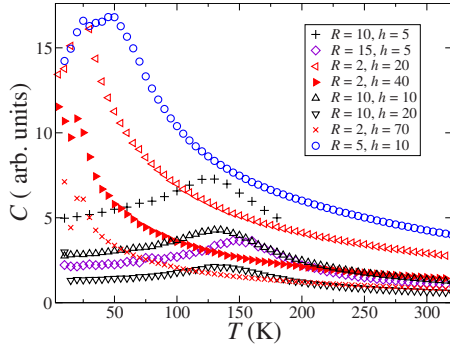


FIG. 5. (Color online) Specific heat as a function of temperature for a representative sample of cylindrical nanoparticles with different radii and lengths.

at the surface compared to that of the bulk, it was already demonstrated that  $T_C$  can either increase or decrease as a function of the particle size.<sup>29–31</sup> This means that depending on the details of the magnetic interaction  $T_C$  as a function of the particle size can approach  $T_\infty$  asymptotically either from below or from above. In the present case of frustrated interaction it appears that the bulk value is approached in a non-monotonic fashion so that there exists spherical particles with a  $T_C$  larger than that of the bulk. This aspect will be further investigated in the next section.

In any case we find that spherical particles, even for large radii present a  $T_C$  larger than that of the bulk, still fall quite short from being magnetic at room temperature. Furthermore the particles with the largest magnetic moment show the lowest  $T_C$ , which makes us concluding that spherical wurtzite Co particles cannot be at the origin of the room-temperature magnetism in ZnO:Co.

### B. Cylindrical nanoparticles

In order to further investigate the relative importance of the surface and bulk contributions to the magnetism we consider cylindrical nanoclusters, constructed with the cylinder axis oriented along the WZ  $c$  axis. By varying both the length and radius of such a particle the surface area may be considerably altered while the total volume and hence the total number of atoms in the cluster remains constant. In Fig. 5 we present the specific heat for a number of cylinders of different dimensions, where we can still clearly observe the

presence of a peak. As in the case of spherical particles we associated the critical temperature to the peak position.

These are presented next in Table III, where we list also the particle volume and the area of the surface. The most relevant feature emerging from the table is the rather sharp dependence of  $T_C$  on the particle radius and its insensitivity over its length. This results in the interesting finding that particles presenting the same volume but different aspect ratios can display rather different  $T_C$ 's. For example, the volume of a particle with  $R=5$  Å and  $h=30$  Å is about 30% larger than that of a particle with  $R=10$  Å and  $h=5$  Å. Nonetheless its  $T_C$  is a factor three smaller (44 K against 122 K).

In addition we find that the critical temperature of cylindrical nanoparticles becomes almost independent from the length of the cylinder beyond a certain critical length,  $h_C$ . For instance  $h_C$  is 2, 5, 10, and 20 Å, respectively for  $R$  being 2, 5, 10, and 15 Å. Thus it is tempting to propose the empirical relation  $h_C \sim R$ . As a consequence for particles with  $R/h < 1$  there is only one relevant dimension,  $R$ . In fact for long cylinders ( $R \ll h$ ) the surface to volume ratio remains constant at  $2/R$  so that the magnetic energy density does not change with the cylinder length. This seems to be at the origin of the saturation of  $T_C$  with  $h$ .

Interestingly for  $R=h$  the area of the surface of the cylinder and that of the sphere become identical while the volumes follow the relation  $V_{\text{sphere}}=4/3V_{\text{cylinder}}$ . In general, we expect that the  $T_C$  of a nanoparticle has both a surface and a volume contribution. In the case  $R=h$  the surface contribution is identical for spheres and cylinders while the volumetric contribution is expected to be larger for the cylinders since these have a larger volume. Thus we expect that the  $T_C$  of a sphere of radius  $R$  is lower than that of a cylinder of radius  $R$  and  $h=R$  as indeed confirmed by comparing the Tables II and III.

Finally we take a look at the magnetization, finding that this is always small, typically  $< 10^{-3} \mu_B/\text{Co}$ , and varies little with either changes in the particle dimensions or with the temperature. In summary, from our analysis it does not appear that cylindrical nanoparticles, similarly the spherical ones, are supportive of room-temperature ferromagnetism.

### C. Perforated spherical nanoparticles

Since empty Co sites in a CoO nanoparticle may serve to lift the spin frustration by eliminating a fraction of the neigh-

TABLE III. The calculated  $T_C$  for a number cylindrical nanoparticles with different radius,  $R$  and length,  $h$ . The radius is along the vertical axis and the length is along the horizontal one (both in Å). In brackets we report, respectively, the cylinder volume (Å<sup>3</sup>) and surface area (Å<sup>2</sup>).

$R h$	2	5	10	20	30	40	60
2	16 <sub>(25,50)</sub>	18 <sub>(63,88)</sub>	21 <sub>(125,150)</sub>	20 <sub>(251,276)</sub>	20 <sub>(377,402)</sub>	21 <sub>(502,527)</sub>	23 <sub>(754,779)</sub>
5	26 <sub>(157,219)</sub>	46 <sub>(392,314)</sub>	46 <sub>(785,471)</sub>		44 <sub>(2355,1099)</sub>		
10	102 <sub>(628,753)</sub>	122 <sub>(1570,942)</sub>	135 <sub>(3140,1256)</sub>	135 <sub>(6280,1884)</sub>	135 <sub>(9420,2512)</sub>	138 <sub>(12560,3140)</sub>	138 <sub>(18840,4396)</sub>
15	122 <sub>(1413,1601)</sub>	152 <sub>(3532,1884)</sub>	160 <sub>(7065,2355)</sub>	167 <sub>(14130,3297)</sub>	170 <sub>(21195,4239)</sub>	171 <sub>(28260,5181)</sub>	
25	128 <sub>(3925,4239)</sub>	158 <sub>(9812,4710)</sub>	171 <sub>(19625,5495)</sub>	181 <sub>(39250,7065)</sub>			
30	130 <sub>(5652,6029)</sub>	165 <sub>(14130,6594)</sub>	183 <sub>(28260,7536)</sub>	191 <sub>(56520,9420)</sub>			

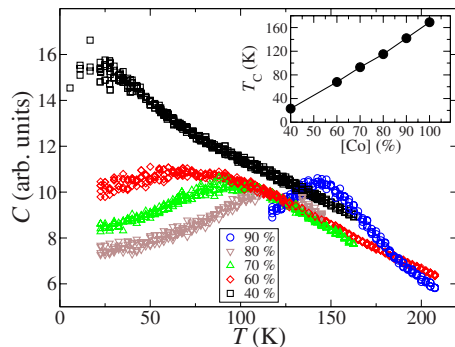


FIG. 6. (Color online) Specific heat against temperature curves for a perforated nanosphere of radius 19 Å and various Co concentrations, [Co]. We can clearly observe that by reducing the Co content the peak in  $C(T)$  moves to lower temperature and gets broader. In the inset we show  $T_C$  as a function of [Co] as extracted from the specific heat.

bors of each Co atom, it is worth analyzing the dependence of  $T_C$  over the Co concentration. This essentially corresponds to studying a random ZnO:Co alloy with large Co doping. In general we expect that, as long as the Co concentration exceeds the percolation threshold for the wurtzite lattice (19% for nearest-neighbor interaction), a magnetic order will be found. The question remaining is whether or not magnetization and  $T_C$  will increase with respect to their values for stoichiometric CoO when the Co concentration is reduced.

The nanoparticles investigated here are constructed by taking one of the previously made spherical nanocrystals and then removing a chosen fraction of magnetic ions at randomly chosen sites. We consider particles with a radius of 19 Å, which are large enough to show a substantial  $T_C$  but not enough for the thermodynamical properties to saturate at their bulk values, i.e., the surface of the particles still makes a non-negligible contribution. Furthermore we find that particles with smaller radii are too sensitive to Co vacancies to the extent that, in general, at Co concentrations below 90% a  $T_C$  is not easily located. Note that, although we name Co vacancy a site where the Co ion is removed, the parameterization for the exchange interaction remains the one calculated for the perfectly crystalline CoO phase<sup>19</sup> so that the atom removal has only geometrical effects.

Our results are presented in Fig. 6 where we show the specific heat as a function of temperature for different Co concentrations, [Co]. In the same figure we also report the extracted  $T_C$ 's as a function of [Co]. The most notable feature is that the peak in  $C(T)$  shifts toward lower temperatures and gets broader as Co atoms are removed. This means that the presence of defects reduces the critical temperature so

that lifting locally the frustration does not help in improving the magnetic properties. We find that  $T_C$  decreases linearly with the fraction of vacant sites and extrapolates to  $T_C=0$  for [Co]=30%, which is larger than the nearest-neighbor percolation threshold. This, however, should not be surprising since deviation from the linear dependence is expected at low [Co]. Interestingly such a linear dependence of  $T_C$  on the defect concentration has been reported previously in both experimental and theoretical studies for Ni-Cu alloys.<sup>31,32</sup>

Finally we observe that none of the structures investigated present magnetizations greater than  $0.003\mu_B/\text{Co}$  above 100 K. We then conclude that the breaking of frustration by means of defects appears to have little impact on the magnetization and as a consequence perforated nanoclusters do not appear to sustain any room-temperature ferromagnetism.

### III. CONCLUSIONS

The focus of this paper was to test the feasibility of the proposal that uncompensated spins on the surface of CoO nanoclusters embedded in ZnO are responsible for the measured magnetic properties of ZnO:Co. Previously we have demonstrated that bulk wurtzite CoO displays a high degree of frustration so that no net magnetization is found even at low temperature. Furthermore the  $T_C$  calculated from the peak in the specific heat is substantially lower than room temperature.

The present study has revealed that finite-sized clusters are no more promising. Although the frustration is lifted at the interface, we found that usually the finite size reduces the critical temperature for magnetism with respect to its bulk value. This happens regardless of the shape of the particle and of the presence of Co empty sites. For some relatively large spherical and cylindrical nanoparticles we have found a marginal enhancement of  $T_C$  with respect to bulk, for which we speculate on a nonmonotonic dependence of  $T_C$  with particle size. In any case the residual magnetizations originating from the finite size remain extremely small at any temperature so that finite particles can hardly be considered at the origin of the claimed ferromagnetism of ZnO:Co.

In concluding we wish to remark once again that our model is based on a parameterization of the magnetic interaction rooted in DFT calculations for bulk CoO. It is indeed possible that such an interaction is substantially altered at surfaces so that much larger exchange constants may be found either at grain boundaries or at free surfaces or at the interfaces with the substrate. This can promote residual ferromagnetism. Furthermore our present model does not include intrinsic defects, which can both alter the local magnetic coupling or form complexes with Co which may interact over a long range.<sup>7</sup>

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