A method to predict residues conferring functional differences between related proteins: Application to MAP kinase pathways

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Abstract
Physicochemical properties are potentially useful in predicting functional differences between aligned protein subfamilies. We present a method that considers physicochemical properties from ancestral sequences predicted to have given rise to the subfamilies of interest by gene duplication. Comparison between two map kinases subfamilies, p38 and ERK, revealed a region that had an excess of change in properties after gene duplication followed by conservation within the two subfamilies. This region corresponded to that experimentally defined as important for substrate and pathway specificity. The derived scores for the region of interest were found to differ significantly in their distribution compared to the rest of the protein when the Kolmogorov–Smirnov test was applied (p = 0.005). Thus, the incorporation of ancestral physicochemical properties is useful in predicting functional differences between protein subfamilies. In addition, the method was applied to the MKK and MAPK components of the p38 and JNK pathways. These proteins showed a similar pattern in their evolution and regions predicted to confer functional differences are discussed.

Keywords: MAP kinase; physicochemical properties; protein evolution and structure; sequence analysis

Evolution of novel protein function often occurs by gene duplication from a common ancestor, which is followed by divergence of the two subfamily sequences. It is of great interest to be able to identify the residues or regions of a protein that confer specificity. The difficulty in simply comparing the two proteins is that different residues may merely be unimportant. Some measure of the extent of constraint is required. Frequently, there is a good deal of sequence information that gives an indication of the level of constraint at a given residue. More distantly related proteins may also be available for study. In addition, since the duplication of the functionally divergent proteins, there has been evolutionary change between species among the two groups of sequences. A useful objective is to develop methods that can quantify and visualize such evolutionary comparisons. Livingstone and Barton presented a method of visualizing regions that are specific to a subfamily (Livingstone & Barton, 1993). This allows the degree of constraint within two subfamilies to be compared and can provide some clues. They did not attempt to validate the utility of this method.

The mitogen activated protein kinases (MAPKs) represent a group of related and interacting signaling proteins, whose functional roles are incompletely characterized. There is some experimental evidence assigning functional specificity to particular regions. Therefore, it would be of great interest to predict regions conferring functional specificity, both to validate the computer methods relying on available experimental data, and to provide computer generated models of which regions are functionally important, which can, in turn, be validated by subsequent experimental analysis. These proteins have undergone noticeable gene duplications with associated diverged functions. For example, p38 and c-jun N-terminal kinase (JNK) have evolved distinct functions since the divergence of multicellular mammals from fungi. In addition, there are deeper levels of duplications (giving rise to the hyperosmolarity and pheromone pathways in Saccharomyces cerevisiae), which have apparent orthologues in the mammalian stress (p38/JNK) and classical/ERK pathways, respectively. There are also more recent duplications in vertebrate lineages, for which the protein sequences have different tissue distributions or subtle differences in activating ability that may account for the maintenance of these so-called isoforms.

A typical MAPK module consists of a MAPK that is activated by an upstream MEK (MAPK/ERK kinase) by dual phosphory-
lation. This MEK is activated by its upstream MEKK (MEK kinase) such that a cascade of kinases (MEKK \rightarrow MEK \rightarrow MAPK) can be activated by various extracellular signals and upstream kinases that, in turn, lead to phosphorylation of transcription factors and various other substrates (Cobb & Goldsmith, 1995). In animals and yeast, there are several parallel and distinct MAPK modules that appear to be activated by different extracellular signals, and thus lead to activation of different transcription factors and other proteins leading to different phenotypes. Recent evidence suggests that the MEK and MAPK components of the mammalian JNK and p38 pathways arose from the duplication of common ancestors (2K' and 1K') that was likely to be activated in a similar manner to the present-day yeast hyperosmolarity pathway (Caffrey et al., 1999). This duplication occurred prior to the divergence of Caenorhabditis elegans and mammals, but after the divergence of fungi from C. elegans.

The JNK and p38 pathways appear to have evolved distinct biochemical properties since their duplication (Figs. 1, 2A, 2B). In the p38 pathway, the MEK components (MKK3 and MKK6) specifically activate p38 isoforms but not JNK isoforms (Raingeaud et al., 1996). Activated p38 translocates to the nucleus and activates a number of transcription factors including ATF-2 (Raingeaud et al., 1995) and CHOP/GADD153 (Wang & Ron, 1996). In the JNK pathway, the MEK component MKK7 specifically activates JNK isoforms. MKK4 (a MEK) activates JNK, although it also activates the p38 isoforms, and so the pathways are not entirely distinct (Moriguchi et al., 1997). Activated JNK also translocates to the nucleus where it can activate transcription factors such as ATF2 and c-jun (Livingstone et al., 1995). It is thought that JNK

**Fig. 1.** Schematic diagram of the stress-induced MAP kinase pathways in animals and yeast at the MAPK and MKK levels. Upstream and intermediate activators are described in the text and reviews. Black arrows represent protein phosphorylation and activation. The large arrows represent gene duplication events from a common ancestor as deduced from Figure 2.

**Fig. 2.** Phylogenetic trees for the JNK/p38 pathway gene duplications at the (A) MAPK and (B) MEK levels. (C) This shows the tree used to analyze differences between p38 and ERK. Branch lengths correspond to inferred rates of evolution. The bold lines correspond to the respective period in time that pathways are likely to have evolved into distinct pathways since their gene duplications from a common ancestor.

and p38 bind different regions of ATF2 (Treisman, 1996). JNK isoforms and splice variants can also vary in their ability to activate a particular transcription factor (Kallunki et al., 1994; Gupta et al., 1996). The resulting activation of the p38 and JNK pathways can lead to expression of cytokines, apoptosis, and mitotic arrest, depending on the cell type and stress conditions. The p38 and JNK pathways can be activated by similar extracellular stresses that
lead to similar phenotypes, but it is clear that these pathways are not completely redundant. The absence or disruption of MAPK or MKK components in the fly, mice, and different cell lines can have dramatic effects (Dong et al., 1998; Ganiatsas et al., 1998; Ip & Davis, 1998; Lu et al., 1999; Nishina et al., 1999). Furthermore, it is likely that the ability or inability of these respective pathways to interact with scaffolding proteins will provide additional specificity (Whitmarsh & Davis, 1998).

Since the divergence of mammals from C. elegans and Drosophila melanogaster, these kinases have duplicated to give various isoforms of p38 and JNK. Likewise, at the MEK level, MKK3 and MKK6 could also be considered isoforms. It is not entirely clear that all these isoforms provide additional enzymatic specificity or function. The JNK and p38 pathways had evolved into specific pathways prior to the divergence of D. melanogaster and mammals. In fact, the presence of orthologous sequences in C. elegans suggests that these distinct pathways existed prior to the divergence of C. elegans, insects, and mammals, although these pathways have yet to be experimentally characterized in C. elegans (Caffrey et al., 1999). In Drosophila, HEP activates DJNK, which is mirrored by the orthologous MKK7 → JNK pathway in mammals (Sluss et al., 1996). The p38 pathways are also very similar for mammals and Drosophila (Han et al., 1998). It follows that the evolutionary changes that occurred after the duplication of the p38 and JNK pathways contributed to the majority of present-day specificity. Although there is clear experimental evidence showing that the p38 and JNK pathways had diverged prior to the split of mammals and Drosophila, the pattern of gene duplication indicates that the pathways arose prior to divergence of C. elegans and mammals.

Here we present a novel method that compares protein evolution immediately following gene duplication vs. evolution in the more recent period. A duplicated gene is more likely to be retained if it can rapidly acquire a novel and beneficial function by altering the amino acid sequence that it codes for. This method is validated by comparison with experimental data defining regions conferring specificity for p38 and ERK (extracellular regulated kinase) chimeras. We then focus on the evolutionary events that occurred after the gene duplications of the p38/JNK, and upstream MKK 3/6/4/7 progenitors.

**Results**

**Comparison of p38 and ERK**

The average accuracy for predicted ancestral sequences p38', ERK', and their common ancestor 1K* was 0.8 in each case. A subset of sites was classed as important for pathway specificity based on experimental evidence (see Materials and methods). In practice, the regions represent an almost continuous stretch within the protein sequence, which is largely associated with substrate binding and interaction with upstream MKKs. However, it must be emphasized that experiments indicate that regions and not any one residue confer specificity. BAD (burst after duplication) scores were calculated separately for ERK and p38, with high scores indicating residues that have undergone many physicochemical changes on the ancestral branch but are subsequently conserved to a high degree since speciation events within the subfamily clade. These were summed to give a BADT score and are shown in Figure 3. Sites involved in pathway specificity are found between position 134 and 275. Residues within this region tend to have noticeably different BADT values. This difference mainly reflects an absence in highly negative scores. This indicates that sites in this region are either conserved for both p38 and ERK, or they have undergone many changes in physicochemical properties since gene duplication that have subsequently been conserved for p38 and/or ERK. The difference in distributions between experimentally important and other sites was determined to be significant ($p = 0.005$), using the Kolmogorov-Smirnov two-sample test. In contrast, the SST method, which does not use ancestral information (see Materials and methods), fails to show a pattern as distinct (Fig. 4), and the distributions were not significantly different ($p = 0.625$). Likewise, the individual p38 SS values and the ERK SS values that are summed to give SST did not have significantly different distributions between experimentally important and other sites (data not shown).

The experimentally important region is also more conserved than other regions of the protein. It is important to demonstrate that evolutionary conservation across p38 and ERK does not simply account for the difference in BADT values. The family conservation (FC) score differentiates somewhat between the experimentally important and other regions, but not as strongly ($p = 0.047$) as the BADT score. Thus, BADT is a better predictor of the experimentally important region and unlike FC, it has the additional capacity to identify individual sites that are likely to contribute to pathway specificity. Other components of the BADT score (e.g., the p38.BAD.ERK.BAD, and the components RC (recent conservation) and AC (ancestral conservation) scores for each) did not differentiate experimental and other sites as efficiently as BADT. This indicates that the approach of comparing the ancestral and recent patterns of evolution is worthwhile. A simpler calculation of BAD using the most likely ancestral residues, rather than summing over all likely ancestral residues did not differ markedly. However, the method incorporating the ancestral residue probabilities is likely to be more informative in the assessment of a site.

The highest scoring residues are potentially of particular importance in determining kinase function. However, because the experimental assessment of function has generally been limited to regional chimeras rather than systematically replacing individual residues of p38 with ERK (and vice versa), it is not clear whether these residues are indeed of particular importance. However, high BADT values generally cluster along the primary sequence (boxed regions, Fig. 3). The boxed regions are of interest given that they are found in a region that has few negative scores and is known to be experimentally important. The first box contains a cluster of residues that includes Tyr62/His64, Glu64/Lys66, and Leu67/Tyr69 of α-helix c in the ERK/p38 structures. This region has been shown to direct interaction with the upstream MKKs for p38 and ERK (Brunet & Pouyssegur, 1996; Horiuchi et al., 1998). These residues have not been examined individually for specificity. The highest scoring site in this boxed region corresponds to His59/Ser61 in the ERK/p38 structures. It has not been shown to direct functional differences between p38 and ERK but is located at the C terminus of loop 4 that joins α-helix c. The second box contains sites belonging to β5, loop 7, α-helix d, loop 8, and the beginning of α-helix c (Domains V-VIA). The two highest scoring sites are Tyr111/Asn114 and Lys112/Asn115 in α-helix d of the ERK/p38 structures. The entire region was shown to be important for recognition of downstream substrates (Brunet & Pouyssegur, 1996). The third box contains sites belonging to β7, loop 11, β8, with high scoring sites in β7 and loop 11, that correspond to Leu154/Ala157, Thr157/Glu160, and Thr158/Asp161 in the ERK/p38 structures. These are also likely to be important for recogni-
tion of downstream substrates (Brunet & Pouyssegur, 1996). Interestingly, the highest scoring residue in this region is residue “X” of the TXY motif (BADT = 7), where the threonine and tyrosine are phosphorylated by MKKs. This residue does not solely contribute to recognition by upstream MKKs. However, it is believed to direct downstream substrate recognition in combination with other domains and residues from loop12 (Jiang et al., 1997). Unfortunately, many of the residues in loop 12 could not be assessed due to alignment gaps, but are also likely to contribute to differences in substrate recognition.

Visualization of these high scoring residues on the three-dimensional structure of p38 reveals that they cluster in particular regions. These regions are near the ATP binding region and also close to a region corresponding to the peptide binding groove identified in the kinases cAPK (Knighton et al., 1991) and twitchin (Hu et al., 1994) (see Discussion). This provides circumstantial evidence that these residues are indeed more likely to be functionally important. Table I describes the relationship between sites of interest and their structural interaction. With the exception of position 296, secondary structure is conserved despite the observed changes in physicochemical properties since gene duplication. Likewise, solvent accessibility is mostly conserved. Residues that are hydrogen bonded to the site of interest are described for main chain to main chain, side-chain to main-chain amide, side-chain to main-chain carbonyl, and side chain to side chain. The former are generally represented in secondary structure, while the latter are generally involved in conformation and structural interaction (Mizuguchi et al., 1998). There are several examples of hydrogen bond pairs that are unique to a given subfamily and may have been gained or lost as a result of the observed mutations. Some of the residues involved in hydrogen bonding are of particular interest in that they also have a high BADT and suggest correlated evolution between sites. Position 133 and 136 are close in primary sequence and join loop 4 and α-helix c. Hydrogen bonds between position 136 and 138 also contribute to the α-helix c secondary structure. In the p38 structure, site 141 forms a hydrogen bond with site 136 and is likely to influence structural differences between p38 and ERK for α-helix c. In α-helix e, the hydrogen bonded sites 209 and 205 both have a high BADT, and their interactions in both p38 and ERK could contribute to differences in structural function. There are several other residues forming hydrogen bonds to only one of the subfamilies for a given site. Sites that are hydrogen bonded to sites with high BADT values but are distant in primary structure do not themselves have
high BADT values. The total number of changes in a property for all sites of each subfamily is given in the bottom 2 rows. Hydrophobicity, polarity, smallness, and charge are the most common changes. This is probably due to the large number of residues that have these properties. Most noticeably, there are a large number of changes in charge \(-10^2\) and relatively few sites that retain charge \(*\). This may be consistent with observation that compensating amino acid changes within proteins often conserve overall charge \(\sim\) Neher, 1994. For example, positions 133, 136, 138, and 141 interact and show a gain and loss in charge in ERK since the duplication.

Comparison of p38 and JNK

In our analysis we do not assume that JNK/p38 pathway specificity evolved prior to the split of \(D.\ melanogaster\) and \(C.\ elegans\), despite the gene duplication occurring prior to this event. Instead, pathway specificity is considered to have occurred prior to the split of \(D.\ melanogaster\) and mammals. The average accuracy for predicted ancestral sequences \(p38\), JNK, and 1K' (JNK/p38 common ancestor) was 0.9 in all cases. The long branch that leads to the JNK family indicates that the majority of the evolution has occurred in the JNK family \(\sim\) Fig. 1A \(\sim\), because the duplication of p38 and JNK from their common ancestor \(\sim\) 1K. This is reflected in higher BAD scores for JNK \(\sim\) Fig. 5 \(\sim\) than for p38 \(\sim\) Fig. 6. BADT \(\sim\) Fig. 7 \(\sim\) has a similar pattern to JNK BAD scores, but may be a better measure of pathway specificity for a given site. Residues 134 to 275 \(\sim\) region identified from ERK \(\sim\) p38 studies \(\sim\) are again strikingly different from the rest of the protein for both p38, JNK, and the combined BADT score \(\sim\) Figs. 5–7 \(\sim\). Scores are higher in this region than for the rest of the protein. That is, sites are either highly conserved or have undergone a burst of evolution after duplication, followed by high conservation. This is reflected in a highly significant \(p\)-value distinguishing this region from the rest of the protein using the Kolmogorov–Smirnov test of unequal distributions \(p = 0.012\) for BAD_JNK, \(p = 0.000\) for BAD_p38, and \(p = 0.000\) for BADT.

BAD scores of 3 or greater have been boxed into proposed clusters. In JNK \(\sim\) Fig. 5 \(\sim\), the first box corresponds to \(\alpha\)-helix c, loop 5, and \(\beta\)-4 (domains III–IV) that contribute to p38 and ERK interaction with upstream MKKs (Brunet & Pouyssegur, 1996). The two highest scoring sites are Asn119 and Lys79, Asp80, and Lys121 in loop 5 (JNK/p38 structure). The second box spans \(\beta\)-5, loop 7, \(\alpha\)-helix d, loop 8, and \(\alpha\)-helix e (domains V–V1a). The four
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*Sites correspond to those with a BADT of 4 or greater in the boxed regions of Figure 3 that are important for pathway specificity. The structural position and alignment position are given in the first column. The common family ancestor residue and ancestor residues for the respective subfamilies are given in column 2. + indicates that a given property has been acquired, − indicates that a given property has been lost, * indicates that the property has been retained for a given mutation. Hydrogen bonds considered are side-chain to main-chain amide, side-chain to main-chain carbonyl, side chain to side chain, and main chain to main chain. Residues that are hydrogen bonded to a given residue are denoted by their alignment position. Residues that are in italics, and those with a BADT of 4 or more are in bold. The final two rows show the sum of changes (+ and −) for each property across all sites for each subfamily.
The highest sites are Asp150/Gly110 of loop 7, Cys154/Asn14 of helix d, Asp162/Thr123 of loop 8, and His163/Asp124 of α-helix e. These residues are most likely to be involved in the recognition of downstream transcription factors (Brunet & Pouyssegur, 1996). The third box spans loop 11, β8, loop 12 (domain VII). Scores greater than 4 include: Lys198/Asn159 and Thr202/Glu163 of loop 11, Gly181/Asp176, Pro222/Gly181, and Gly237/Asn196 of loop 12. Loop 12 contains the phosphorylation lip, and ERK/p38 studies would suggest that this region contributes along with other domains to the recognition of downstream substrates (Jiang et al., 1997). The N-terminal region also has a lot of high scoring sites. These were not boxed as there are also many negative scores, and data from the ERK and p38 study would suggest that such regions are not important for functional differences.

In p38 (Fig. 6), scores are generally lower, and this is consistent with the p38 subfamily having a shorter branch than the JNK subfamily since gene duplication. The higher scores are all surface exposed, and this is not always the case for JNK. This suggests that JNK may have undergone structural reorganization after gene duplication. The boxed region spans β5 (His107/Glu147), loop 7, and α-helix d (Asn14/Cys154 and Asn15/Gln155). The sites correspond to a region defined in p38/ERK chimeras to be important in recognition of specific downstream transcription factors. This region also scores high for JNK (Fig. 5) and is reflected in the BADT score (Fig. 7). In addition, the site corresponding to His199/Gly239 in the C-terminal region of loop 12 scores high.

The BADT values have also been boxed (Fig. 7). The three regions are α-helix C to β4 (domains III–IV), β5 to α-helix e (domains V–Vla), and β7 to loop 12 (domains Vib–VII), and are likely to be important for functional differences between p38 and JNK. Some of these sites are described in relation to their structural interaction and amino acid function in Table 2. Again, secondary structure and solvent accessibility are primarily conserved despite the observed mutations. The p38 sites form hydrogen bonds with more residues than JNK. Some of the residues with high BADT score interact with each other. Site 188 of loop 7 has a high BADT and forms hydrogen bonds with site 190 (α-helix d) in both structures and with site 205 (α-helix e) in JNK. In the JNK structure, site 205 also forms hydrogen bonds with site 207 and 209, and are likely to influence the overall structure of α-helix e. Sites 240 and 245 are at opposite ends of loop 11 and are part of the β hairpin formed by β7 and β8. There are several other residues forming hydrogen bonds to only one of the subfamilies for a given site. Again, as for the ERK/p38 comparison, sites listed that are quite distant in primary structure do not have a high BADT. The total number of changes in a property for all sites of each subfamily is given in the last two columns. Hydrophobicity, smallness, and charge are the most common changes. This is probably due to the large number of residues that have these properties. There are very few changes in polarity given the number of residues that have this property.
The BAD scores for individual subfamilies are not in themselves highly correlated (e.g., JNK and p38 BAD scores only have a correlation of 0.2). This suggests that the identified region is not simply a consequence of a high degree of interdependence between the statistics calculated at a residue from the same alignment for different subfamilies.

Relationships between BAD/BADT scores and structure

Exposed residues for p38 and JNK have BAD scores that are a lot more variable than buried residues (Figs. 5, 6). This indicates exposed sites have a greater degree of freedom to evolve. The JNK and p38 structures can be superposed with little rotation (Xie et al., 1998). The similarities and differences in structure for p38, ERK, and JNK are summarized in Figure 8. Sites that have a BADT of 4 or greater for p38 or JNK or p38 or ERK have been boxed. There are more high BADT scores for the p38/ERK comparison than the p38/JNK comparison (Fig. 8), and this is a reflection of the more ancient duplication. Table 3 shows the relationship between BADT and residues buried or exposed in p38 and JNK. High BADT scoring sites (score of 4 or greater) are primarily exposed. It is expected that exposed sites will be more variable than buried sites. However, such sites have remained highly conserved since the divergence of D. melanogaster from humans, despite the initial burst in evolution that occurred after the divergence of fungi from animals. This is consistent with a role in functional specificity, because exposed sites that are conserved are more likely to interact with substrates or activators. However, BADT scores of −4 or less are primarily exposed also. A possible interpretation is that exposed sites are generally unconstrained unless they are involved in directing functional specificity. Many of these unconstrained sites may form part of a general protein–protein interface, but active sites directing specificity are generally conserved (Grishin & Phillips, 1994).

Sites identified in MKK3/6, MKK4, and MKK7

The average accuracy for predicted ancestral sequences MKK3', MKK4', MKK7', and their common ancestor was 0.7 in all cases. The individual BAD scores for each MKK subfamily (see Supplementary material in Electronic Appendix) were difficult to interpret as the common ancestor (2K') has given rise to three subfamilies. The majority of the high BAD scores is found in the MKK7 subfamily (data not shown), which has undergone accelerated evolution in the same period as its downstream JNK. MKK4, which can also activate JNK, has some high BAD scores (data not shown). The MKK3/6 subfamily has few high BAD scores, and like its downstream p38, more closely resembles the MKK that existed in the ancestral stress pathway (data not shown). Otherwise, the patterns of BAD score distribution along the primary sequences of MKK7, MKK4, and MKK3/6 are too complex to interpret. These are most easily summarized by considering the
totals for the three (Fig. 9). A region between 403 and 640 is distinguished in having high scores with few negative scores. This pattern resembles that observed in Figure 3 for experimentally important regions in ERK and p38. Region 375–688 of the MKK alignment aligns to the ERK-p38 alignment 93–419. The experimentally important region in the ERK/p38 data set 134–275 corresponds to positions 416–554 in the MKK alignment. Thus, there is a degree of overlap in these observed patterns for MAPKs and MKKs. If we were to extrapolate from the MAPK model, we might suppose that this region is important for functional differences that direct upstream and downstream interactions. To date, groups have focused on the N-terminal deletions and insertions that are only found in the MKK subfamily members. The first 43 to 45 residues that are unique but not conserved in MKK4 thus, not included in analysis) have been suggested to interact with JNK, p38, as well as upstream MEKK1 (Xia et al., 1998). Similarly, an N-terminal region unique (but not conserved) in MKK7 members has been shown to interact with JNK (Tournier et al., 1999).

Discussion

The observation that the same or overlapping region of protein, corresponding to the putative substrate and upstream kinase binding sites, is distinguished when each of three protein data sets are investigated (p38/ERK, JNK/p38, MKK3/6/4/7) is of great interest. In the case of the MKK3/6/4/7 data, the region of overlap is not exact, and there has been no experimental analysis carried out on this region of the protein. Our results suggest that a high BADT score alone for a single residue does not in itself correctly predict a site involved in pathway specificity. However, a residue with a high BADT value that is also found in a region highly conserved for the entire family is likely to be important. This is consistent with the idea that critical sites remain highly conserved among subfamilies with the exception of those residues that provide subfamily specificity. We have identified several of these sites that belong to regions or structural elements thought to direct p38/ERK specificity (see Results). The derived statistics for each protein are not entirely independent, because they are based to some extent on common evolutionary events. However, they are intended to distinguish events on independent lines. We believe the correlation is not merely due to the possible statistical dependence of these separate analyses, but actually reflects a biologically interesting region that is conserved except when the substrate, or possibly the activator specificity of the protein, undergoes a marked change associated with gene duplication and functional divergence. One of the lines of evidence favoring this interpretation is that the BAD scores for individual proteins are not in themselves highly correlated (e.g., JNK and p38 BAD scores only have a correlation of 0.2), yet the identified structural regions overlap strongly.

To date, groups have focused primarily on functional differences between ERK and p38 (Brunet & Pouyssegur, 1996; Jiang et al., 1997; Horiuchi et al., 1998; Wilsbacher et al., 1999). However, the more recent gene duplications giving rise to p38 and JNK along
Table 2. Relationships between evolutionary change in physicochemical properties and structural function for selected residues across JNK (top) and p38 (bottom)\(^a\)

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<th>Tiny</th>
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</table>

\(^a\)Sites correspond to those with a BADT of 4 or greater in the boxed regions of Figure 7 and are presented as described in Table 1.
with their upstream activators have not been considered. It is likely that p38, ERK, and JNK have similar binding interfaces. However, residues conferring functional differences between p38 and ERK are unlikely to be the same ones contributing to differences between JNK and p38. Thus, the evolutionary events leading to these kinases should be taken into account.

It is possible that some of the high BADT values are more important in structural folding and stability rather than directly

Fig. 8. JNK, ERK, and p38 are aligned as in alignments used for ancestral analysis. Uppercase = buried, lowercase = exposed, $\alpha$ = alpha helix, $\beta$ = beta strand, $\beta$--$\alpha$ = 3–10 helix, bold = hydrogen bond to main chain amide, underline = hydrogen bond to main-chain carbonyl, italic = positive phi, tilde = hydrogen bond to the other side chain. Residue positions in brackets refer to the structural positions, and alignment positions used in the ancestral analysis are given above the sequence. The approximate position of subdomains is given above the sequence also. Residues disordered in the JNK3 structure are in a smaller font. Sites that have a BADT of four or more for the p38

ERK or p38

JNK comparison are boxed.
participating in protein–protein interactions. Given that the majority of high BADT values were exposed, it is unlikely that they are more important in correct folding. It is also likely that residues that are both exposed and conserved within a subfamily are likely to direct a specific activity rather than contributing to part of the generic protein–protein interface. Some groups of residues described in Tables 1 and 2 often interact with each other. It is not clear if these are simply compensatory changes (Neher, 1994), or if they play a more direct role in protein–protein interactions. In Table 1, there are several residues in and around α-helix c that have undergone significant changes. It is unlikely that these are just compensatory changes, as this region of p38 and ERK do not superpose very well, suggesting that overall protein shape is affected (Wang et al., 1997). Other residues described do not interact with other sites having a high BADT, and occasionally interact with residues that are quite distant in primary structure but not in tertiary structure. Until structures are available for the interacting proteins, it is difficult to speculate on their function in protein–protein interactions. It will be of interest to determine whether the evolution of novel protein–protein interactions is dominated by individual isolated residues making contacts between proteins, by alterations in structural conformation (such as α-helix C) that create larger scale changes in the interacting surface, or if both of the

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<td>16</td>
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<td>113</td>
<td>302</td>
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**Table 3. The relationship between BADT values and exposed/buried for JNK and p38**

![BADT scores for MKK3/6, MKK4, and MKK7](image-url)

**Fig. 9.** Prediction of regions conferring functional differences between MKK7, MKK4, and MKK3/6. The burst after duplication (BAD) scores for MKK7, MKK4, and MKK3/6 are summed to give a BAD total (BADT) and are plotted on the vertical axis. The graph is plotted similar to Figure 3.
above contribute equally. Figure 10 maps residues with a high BADT (for p38 and ERK) to the regions that direct pathway specificity. There is no clear pattern to the distribution of residues in the three-dimensional space, although they tend to surround the cleft that lies between the N-terminal domain (composed largely of β-sheets) and C-terminal domain (composed largely of α-sheets). This cleft contains the ATP binding site and is fairly conserved for most kinases. Sites highlighted on α-helix d and α-helix e are of interest, as the corresponding helices contribute to substrate interfaces for cAKP (Knighton et al., 1991) and twitchin (Hu et al., 1994) where structural information is available.

Other methods have also been designed to address similar questions using primary sequence information alone. Pollock et al. (1999) used tree information to help identify coevolving or correlated sites in myoglobin sequences that might be close in three-dimensional structure or share functional similarities. A similar approach that relies on predicted ancestral residues has also been employed (Shindyalov et al., 1994). Correlated mutations have also been used to try and predict residues involved in protein–protein interactions (Pazos et al., 1997). The challenge is to combine these different methods and the alternative perspective presented here into a unified approach that can provide multiple models of protein function and interaction with appropriate means to test their validity.

Materials and methods

Multiple alignment and prediction of ancestral sequences

Three data sets (Table 4) were aligned using the CLUSTALX package (Thompson et al., 1997). The ERK/p38 group was investigated first, as most mutagenesis work on the MAPK family has been achieved by constructing p38 and ERK chimeras. The other two data sets were used to analyze the evolution of the JNK and p38 pathways at the MAPK and upstream MKK level. Each data set has at least one outgroup that provides additional information for prediction of ancestral sequences. All alignments were carefully edited using seaview (Galtier et al., 1996). Tree structures were determined by the neighbor joining method (Saitou & Nei, 1987). The trees were tested by bootstrap analysis (1,000 replicates), and all branches had a bootstrap support of 60% or greater. The unrooted tree structure and present day sequences were provided as input for the ANCESTOR program (Zhang & Nei, 1997). All Ancestral sequences were derived from the MAPK and MEK alignments using the distance method computed by the ANCESTOR program (Zhang & Nei, 1997). The ANCESTOR program requires a predetermined tree topology but estimates branch lengths using the least-squares method before calculating the ancestral sequence by a posterior probability method. ANCESTOR outperforms parsimony techniques and gives similar results to maximum likelihood and other bayesian methods (Zhang & Nei, 1997). ANCESTOR does not account for multiple amino acid replacement, and this may be a caveat associated with the prediction of the deeper ancestral nodes. In other words, the method predicts probabilities that particular amino acids are ancestral, but assumes that the ancestral residue is found in at least one of the present-day descendents. ANCESTOR does not predict ancestral residues for gapped positions. The addition of outgroups to the MAPK and MEK alignments introduced the occasional gap. The ancestral sequences that correspond to the nodes outlined in Figure 2 were identified. The key ancestral sequences were aligned to the present-day sequences. Consistent with Figure 2, the common family ancestral sequences leading to the JNK/p38 pathway components are called 1K’, 2K’, while the subfamily ancestor sequences are called JNK’, p38’, MKK3’, MKK4’, and MKK7’. In the case of the ERK and p38 data set, the common ancestor is called 1K″, and the subfamily ancestors are called p38’ and ERK’. The multiple alignments are available from our website at http://acer.gen.tcd.ie/~dcaffrey/sapk/.

Calculation of burst after duplication (BAD) scores

The general approach is to compare changes in physicochemical properties for each residue position along certain evolutionary branches with those changes on other branches. This is achieved by predicting the most likely ancestral residues and their associated probabilities at each ancestral node within the tree. Clearly, the method requires accurate alignment and reasonably accurate estimation of tree topology and branch lengths. Specifically, we calculate an AC (ancestral conservation) score for each protein in turn by comparing the changes in physicochemical properties between the duplication node and the node, which is ancestral to the orthologous subfamily sequences. Then, for each protein an RC (recent conservation) score is calculated comparing the orthologues with their subfamily ancestor. The difference of these scores defines the burst after duplication score, BAD = RC − AC. For purposes of predicting what residue positions confer functional differences between subfamilies, the BAD for each protein can be summed to give a BAD total (BADT).

Conservation of physicochemical properties is calculated from a property index (Zvelebil et al., 1987). The number of differences in amino acid properties (where the properties are defined as hy-
drophobicity, polarity, small, proline, tiny, aliphatic, aromatic, positive, negative, charged) are subtracted from 9. Thus, the lower the conservation number, the greater number of differences in physicochemical properties. In the case of 100% identity, a conservation number is set equal to 10, but is equal to 9 when residues are not identical but share the same properties. As an arbitrary guide, a conservation number above 7 indicates strong conservation of physicochemical properties, while a conservation number below 7 represents a significant change in physicochemical properties.

However, ancestral residues are not always predicted with high confidence at all sites. Therefore, it was necessary to incorporate the probability of a particular residue occurring at the ancestral node. The probabilities of each residue $P_{\text{ancestor residue}}$ was determined from the ANCESTOR program, and an example with multiple probabilities at the gene duplication site is given in Figure 11. Equation 1 describes the summing of all possible ACs incorporating their probabilities for all possible candidate ancestral residues to give a final corrected AC:

\[
\text{Equation 1}
\]

### Table 4. Sequences used in analysis

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<th>Accession no.</th>
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*The JNK/p38 and MKK groups were used in the analysis of the JNK and p38 pathways. The ERK/p38 tree was used to validate the method for prediction of sites important for kinase pathway specificity. The trees for these groups are shown in Figure 2.*
would be of interest in predicting sites that are involved in substrate specificity for a given subfamily (Livingstone & Barton, 1993). To identify such sites, we subtracted the family conservation number from each of the subfamily conservation numbers (SC-FC) to yield a subfamily score (SS). The residue position as a whole is also assessed by summing SS for both subfamilies, as it is possible that only one of the two subfamilies concerned is highly conserved. This will be referred to as the SS total (SST).

The BAD and SS values differ in a few ways. The former selects for residue positions that have undergone significant evolutionary changes within a set period of time but are subsequently conserved to a high degree. Using the property index described of Zvelebil et al., an AC will range between 2 (His, Pro) and 10, while RC ranges between 0 and 10. In contrast, SS describes the number of properties that a given subfamily differs from another subfamily at a given residue position. SS will range between 0 and 10. In both cases, the score across the protein will be influenced by both the relative amount of evolutionary time on the ancestral branch, and by the total number of orthologous sequences included from different species.

**Analysis of BADT and SST predictions vs. experimental evidence**

The majority of mutagenesis and chimera studies defining regions conferring functional specificity in kinases involve p38 and ERK. Experimental studies for p38 and ERK were examined before assigning BADT and SST sites as either important or unimportant in specificity (Brunet & Pouysségur, 1996; Jiang et al., 1997; Horiuchi et al., 1998; Wilsbacher et al., 1999). In the majority of cases, an entire region rather than a particular residue was designated important, as very few studies have examined or found individual residues to be important. Therefore, it is likely that some residues have been incorrectly designated important, but despite our crude designation of a site, it was hoped that the information would aid in evaluating the method. All residue positions that were identical for both ERK and p38 (FC = 10) were not included in the analysis, as they are non-informative, and it is safe to assume that they are not important for specificity. Loop 16 has been implicated in pathway specificity (Wilsbacher et al., 1999), but this region could not be aligned to a suitable outgroup (which is required for prediction of ancestral residues). Wilsbacher et al. also refer to unpublished data whereby the MAPK insert was deleted from ERK and was no longer activated by its upstream MEK. However, they have not shown that insertion of the MAPK insert (from ERK) into p38 allows it to be activated by ERKs upstream MEK. Thus, it is possible that the ERK deletion has simply folded incorrectly, and so we did not designate this region important. The BADT and SST scores were plotted along the alignment for sites experimentally implicated and not implicated in pathway specificity. Differences between the distributions of BADT scores for experimentally important and other residues were assessed by the Kolmogorov–Smirnov two sample test, with corrected p values estimated using the STATA statistical package, version 5 (StataCorp, 1997). This is not very sensitive to residues with markedly extreme values and is correspondingly more sensitive to broad differences in the means and central spread of the distributions. It is fairly accurate in detecting clusters in a data set.
Solvent accessibility

The atomic structures of mouse p38 (1p38), rat ERK2, (1ERK), and human JNK3a (1JNK) were fetched from the Protein Data Bank (Zhang et al., 1994; Wang et al., 1997; Xie et al., 1998). The solvent accessibility of each residue was determined using JOY (Mizuguchi et al., 1998), which implements the algorithm of Lee and Richards (Lee, 1971). Residue positions were assigned as exposed (lowercase) or buried (uppercase) for JNK and p38 and compared with the BADT values.

Supplementary material in Electronic Appendix

The multiple alignments of extant and ancestral sequences are provided in CLUSTAL format as supplementary material. The BADT and BADT scores for all data sets are included. This information is also available from our website at http://acerc.gen.tcd.ie/~dcaffrey/sapk/.

Acknowledgments

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References

StataCorp. 1997. Stata statistical software. College Station, Texas.